SECTION 4 CHARACTERIZATION OF FEDERAL GOVERNMENT RADIO SYSTEMS AND SPECTRUM USAGE

4.1 INTRODUCTION

The 1.7-80 MHz frequency range encompasses the high end of the medium frequency band (MF, 1.7-3.0 MHz), the high frequency band (HF, 3-30 MHz), and the low end of the very high frequency band (VHF, 30-80 MHz) portion of the spectrum. At HF frequencies and below, communications can be made possible over a very long distance (*i.e.*, thousands of miles) using skywave, ionospheric propagation. A significant feature of communications using the HF bands is the great variability in radio propagation and ambient radio noise levels. These variations as a function of time of day, season, year, and geographic location have been extensively studied and are well understood. Modern technology, especially automatic link establishment (ALE), has reestablished HF as an important, reliable mode of communications. At VHF frequencies, communications are more local, generally limited to tens of miles.

The 1.7-80 MHz band supports a variety of radio services that are adapted to the propagation characteristics inherent in this range. In all, thirteen radio services are supported in the 1.7-80 MHz band (Table 4-1). Most of these radio services are used by federal agencies and are instrumental to the Federal Government in meeting its various radiocommunications requirements and responsibilities.

Table 4-1: Radio Services in the 1.7-80 MHz Bands

4.2 ALLOCATIONS OVERVIEW

In the United States, the 1.7-80 MHz range is made up of 157 frequency bands. In accordance with the National Table of Frequency Allocations, each of these bands is designated for either exclusive federal use, exclusive non-federal use, or shared. The spectrum allocations in this band include many cases of band-sharing between the federal and non-federal users, and between different radio services. A total of 110 bands are shared by federal and non-federal users. Only 12 bands are allocated exclusively to the Federal Government for fixed and mobile services (Table 4-2). In comparison, 34 bands are allocated for non-federal use on an exclusive basis for various radio services including: amateur, amateur-satellite, fixed, land mobile, and broadcasting (Table 4-3). Over 50 footnotes to the allocation tables are associated with these bands, providing for additional spectrum sharing or constraints on operations.

Table 4-2: Frequency Bands Allocated Exclusively to the Federal Government
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25330-25550 kHz	30-30.56 MHz	38.25-39 MHz
26480-26950 kHz	32-33 MHz	40-42 MHz
27540-28000 kHz	34-35 MHz	46.6-47 MHz
29.89-29.91 MHz	36-37 MHz	49.6-50 MHz

Table 4-3: Frequency Bands Allocated Exclusively to Non-Federal Use³³

1800-1900 kHz	28–29.89 MHz
3500-4000 kHz	29.91-30 MHz
7000-7300 kHz	30.56-32 MHz
10100-10150 kHz	33-34 MHz
14000-14350 kHz	35-36 MHz
18068-18168 kHz	37-37.5 MHz
21000-21450 kHz	39-40 MHz
24890-24990 kHz	42-46.6 MHz
25005-25010 kHz	47-49.6 MHz
25210-25330 kHz	50-73 MHz
26175-26480 kHz	75.4-88 MHz
26950-27540 kHz	

The allocations to radio services in the 1.7-80 MHz band can be broadly grouped into four overall categories as shown in Table 4-4.

³³ Some bands are grouped together.

Service Category	Bandwidth	Percent of Total 1.7 – 80 MHz Band
Fixed & Mobile	40.9 MHz	52%
Communications		
Broadcasting (including	25.7 MHz	33%
shortwave & TV)		
Amateur/Amateur-Satellite	10.4 MHz	13%
Other	3.1 MHz	4%

Table 4-4: Frequency Allocations in the 1.7 – 80 MHz Band by Service Category³⁴

The largest category, fixed and mobile communications, includes a number of specific allocations for various land, air and sea communications services. For purposes of this summary, the "Other" category is comprised of the aeronautical radionavigation, radio astronomy, radiolocation, and standard frequency and time signal. Table 4-5 shows the breakdown of the total number of bands allocated to all the radio services, including their respective total bandwidths. This Phase 1 study focused largely on fixed and mobile communications systems. The NTIA Phase 2 effort will further explore other services.

Further discussion on these radio services and spectrum use are presented in Appendix C.

Radio Service	No. of Bands (Fed. Gov't)	Total Bandwidth (kHz)	No. of Bands (Non-Federal)	Total Bandwidth (kHz)
Aeronautical Mobile (R)	11	1331	11	1331
Aeronautical Mobile (OR)	10	845	10	845
Aeronautical Radionavigation	1	400	1	400
Amateur			12	7650
Amateur-Satellite			6	2700
Broadcasting	18	3720	20	25720
Fixed	58	19810	55	18235
Land Mobile			17	14064
Maritime Mobile	15	4857	15	4857
Mobile	42	17560	19	5531
Radiolocation	3	365	3	365
Radio Astronomy	4	2270	4	2270
Standard Frequency & Time Signal	13	90	13	90

Table 4-5: Summary of Bands Allocated to the Radio Services (1.7-80 MHz Band)

³⁴ Note that the combined percentage of spectrum for all the radio services exceeds 100 % of the total spectrum in the band. This is because a band could be allocated to two or more radio services.

4.3 OVERVIEW OF FEDERAL GOVERNMENT SPECTRUM USE

The Federal Government agencies use the 1.7-80 MHz band, specifically the HF band, extensively for emergency services, including communications support for the Department of Defense (DoD); Coast Guard operations for distress, digital selective calling, search and rescue, and other safety of life operations; Department of Interior (DOI) and Department of Agriculture (DOA) for the management, maintenance, and preservation of our natural resources; Department of Justice (DOJ) and Department of Homeland Security (DHS) for law enforcement activities, and backup or emergency uses of the other federal agencies. Backup systems play a crucial role in times of national security emergency preparedness (NSEP) emergencies, when regular communications links are disrupted, inadequate or non-operational. In an emergency situation, the Federal Government has a program for the use of government HF frequencies for the shared resources (SHARES) network. The SHARES network intends to provide backup capability to exchange critical information among federal entities by HF radio in crisis situations.

Federal agencies, especially the DoD and law enforcement community, utilizing this portion of the radio spectrum employ over the horizon and encrypted radios that may utilize ALE which samples channels periodically to determine channel availability. All these systems could be a part of the emergency communications network. As indicated earlier, the 1.7-80 MHz band, for the most part, is shared by the federal and non-federal users and is extensively used by both communities for numerous radio applications.

There are more than 59,000 Federal Government frequency assignments authorized in the 1.7-80 MHz band.³⁵ Table 4-6 shows the number of frequency assignments by radio service and by entity. These assignments support the numerous federal activities and requirements in the 1.7-80 MHz band (*see* Appendix C).

³⁵ Statistics on frequency assignments are current as of October 2003.

Entity	Aero. Mobile	Radio- nav.	BC	Fixed	Land Mobile	Mar. Mobile	Mobile	Radio - location	SF & TS	Others	Total by Entity
А	76		1	753	188		175				1193
AF	2491	18		1112	844	323	217	23		103	5131
AR	192	142	1	5521	2350	433	1844	20		30	10533
BBG			469	146	3						618
С	130			644	625	512	31	1	12	32	1987
CG	888	3		554	9	7034	15				8503
DHS	40			1118	33		72				1263
Е	232			252	301	17	86	2		4	894
EPA				90	30	10					130
FAA	293	1564		1506	129		24			1	3517
FCC				459	484						943
HHS				571	32		25				628
Ι	124		3	317	421	145	330				1340
J	2			1890	295	16	167				2370
Ν	2433			2950	2525	5346	2663	26		56	15999
NASA	16			72	41	62	26			6	223
NG	399	119		1158	1191	1252	646	144		1135	6044
S				118	3		10				131
SI					1	7	106				114
Т	149			56	199	8	708				1120
TRAN				137	9	6	3				155
TVA				22	82	2	144	2			252
VA				107	52						159
Others	5		8	1366	145	106	383			17	2030
Total Assignments									65,277		
Mar = Ma CG = Coa Administr	Legend: Aero = Aeronautical, Nav = Navigation, BC = Broadcasting, SF&TS = Standard Frequency and Time Signal, Mar = Maritime, A =Agriculture, AF = Air Force, AR = Army, BBG = Broadcasting Board of Governors, C = Commerce, CG = Coast Guard, DHS = Homeland Security, E = Energy, EPA = Environmental Protection Agency, FAA = Federal Aviation Administration, FCC = Federal Communications Commission, I = Interior, J = Justice, L= Labor, N = Navy, JASA = National Aeronautics and Space Administration, NG = Non-Government, NS = National Security Agency,										

Table 4-6: Federal and Non-Federal Frequency Assignments by Radio Service in the 1.7-80 MHz band

Aronautics and Space Administration, NG = Non-Government, NS = National Security Agency, ASA Nationa NSF = National Science Foundation, S = State, SI = Smithsonian Institution, T = Treasury, TRAN = Transportation, TVA = Tennessee Valley Authority, VA = Veterans Administration

4.4 SUMMARY OF REPRESENTATIVE FEDERAL GOVERNMENT SYSTEMS IN THE 1.7-80 MHz BAND

Federal agencies employ a number of radiocommunication systems that have a significant presence in the 1.7 - 80 MHz band. These systems, summarized in Table 4-7, are presented as the representative systems for certain radio services because they are prevalent (*e.g.*, the number of frequency assignments supporting these systems overwhelm the others) and their uses are widespread in the band. The functions and operations of these systems are described in Appendix C, as appropriate.

Radio Service	Freq. Band (MHz)	Federal Entity	Representative System
		Many federal agencies	HF Shared Resources (SHARES)
		DHS/FEMA	FEMA National Radio System (FNRC)
Fixed	2-30	Army/Corps of Engineers	HF Emergency Operations Net
		FAA	National Radio Communications System (NRCS)
Fixed	30-50	Many federal agencies	Base Stations (Repeaters)
Land Mobile	2-30	DHS/US Customs	Custom's Over the Horizon Enforcement Network (COTHEN)
Land Mobile	30-50	DoD	Single-Channel Ground and Airborne Radio System (SINCGARS)
Maritime Mobile	2-30	DHS/USCG	Global Maritime Distress and Safety System (GMDSS)
Aeronautical Mobile (R)	2-30	FAA	Air Traffic Control (VOLMET)
Aeronautical Mobile (OR)	2-30	DoD	Tactical Radios (AN/ARC Series)
Radionavigation	74.8-75.2	FAA	Marker Beacons
Radiolocation	2-3.4	DoD	Over the Horizon Radars (OTHR)
Broadcasting	2-30	BBG	Voice of America (VOA)
Standard Freq. & Time Signal	2-30	DOC/NIST	WWV & WWVH Stations

Table 4-7: Summary of Representative Federal Government Radio Systems in the 1.7-80 MHz Band

4.5 REPRESENTATIVE TECHNICAL CHARACTERISTICS OF FEDERAL EQUIPMENT

The technical characteristics of equipment in the 1.7-80 MHz band can be largely grouped into uses below and above 30 MHz, with considerable consistency within these two frequency bands. Table 4-8 summarizes representative technical characteristics of federal radio equipment in the 1.7-80 MHz band. Appendix C provides a more in-depth presentation of these technical characteristics.

Radio Service	Station Type	Freq. Band (MHz)	BW (kHz)	Antenna Gain (dBi)	Antenna Height (ft)	Antenna Type/Pol	Modulation Type
Fixed	Fixed	2-30	2.8	0-2	30-140	Dipole/V&H	J3E, simplex operation
Fixed	Fixed	30-50	16	0-3	10-400	Whip/V	F3E, simplex and half duplex
Land Mobile	Base	2-30	2.8	0	30-100	Whip/V&H	J3E, simplex operation
Land Mobile	Land Mobile	2-30	2.8-3	0-2	6-32	Whip/V&H	J3E, simplex operation
Land Mobile	Base	29.7-50	16	3	30-400	Whip/V	J3E, simplex and half duplex
Land Mobile	Land Mobile	29.7-50	16	0	6-32	Whip/V	J3E, simplex and half duplex
Aeronautical Mobile (AM(R)S)	Aeronautical (Ground)	2-30	2.8	0	unknown	Various/V	J3E, simplex operation
Aeronautical Mobile (AM(R)S)	Aircraft	2-30	2.8	0	18000-40000	Conformal/V	J3E, simplex operation
Aeronautical Fixed (NRCS)	Fixed (Ground)	2-30	6	0	unknown	Whip/V	J3E, simplex operation
Aeronautical Mobile	Aircraft	30-50	16	0	18000-40000	Blade/V	J3E, simplex operation
Maritime Mobile	Ship & Coast	2-30	2.8	0-2	unknown	Whip/V	J3E, simplex operation
Maritime Mobile	Ship & Coast	30-50	16	2	30-100	Whip/V	J3E, simplex operation
Aeronautical Radionavigation Services (ARNS)	Aircraft	74.8-75.2	0.8-6	-2.5 - 2	0-3000	Blade/H	A2A
Standard Freq. & Time Signal	In-home	2-30		0	6-30	Whip/V	A2
Radio Astronomy	Fixed	13.36-13.41 37.5-38, 73-74.6		23	100	Parabolic	Receive Only

Table 4-8: Representative	Technical Characteristics	s of Receivers in the 1.7-80 MHz Band
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Legend: Freq. = Frequency, Pol. = Polarization, V = Vertical, H = Horizontal

J3E = Single sideband with suppressed carrier, using a single channel containing an analog signal for telephony

F3E = Frequency modulated, using a single channel containing an analog signal for telephony

NON or PON = No modulating signal and no information transmitted

A2A = Double sideband using a single channel containing a quantized or digital signal with modulating subcarrier

4.6 SENSITIVE OR PROTECTED FREQUENCIES IN THE 1.7-80 MHz BAND

All spectrum regulatory organizations, including the FCC, NTIA, and the ITU, have long recognized that certain frequencies or bands in the radio spectrum, including the 1.7-80 MHz range, require special protection because of the critical or sensitive functions they support. Some of these functions include: distress and safety, standard frequency and time signal, radio astronomy, and radionavigation.

Three parts of the FCC Rules and Regulations, Parts 15, 80 and 87, provide specific lists of protected frequencies in this range. While all three impose limitations on licensed services or unlicensed intentional radiation devices in these bands, the concept may be relevant as well to the unintentional radiation from BPL systems because of the interference risks. The ITU Radio Regulations, Appendices 13 and 15, provide similar lists of protected frequencies. Table 4-9 summarizes and compares these lists of protected frequencies adopted by the FCC and ITU, showing the various functions being protected.

Based on these FCC and ITU sources, NTIA proposes a candidate list of 41 protected frequencies for BPL systems. This candidate list, shown in Table 4-9, comprises a total of less than 6% of the spectrum in the 1.7-30 MHz range and about 5.5% of the spectrum in the 30-80 MHz range. Operations supported by these frequencies are vital to certain federal communications requirements such as safety of life and property, disaster communications, reception of weak galactic signals by the radio astronomy community, and safety of flight. In some cases, these frequencies or frequency bands provide for essential communications incident to or in connection with disasters or other incidents that involve loss of communication facilities normally available or that require the temporary establishment of communication facilities beyond those normally available.

The applicability of these candidate sensitive frequencies or others with respect to BPL systems will be examined further in the NTIA Phase 2 effort.

FCC 15.205	FCC 87.149 80.229	ITU-R App15 (GMDSS) ³⁶	ITU-R App 13 (Non-GMDSS)	ITU-R App 27 AM(R) S	FUNCTION	CANDIDATE LIST OF PROTECTED FREQUENCIES FOR BPL
	2091					
2173.5-2190.5	2174.5, 2182, 2187.5	2174.5 2182 2187.5	2174.5 2182 2187.5		NBDP-COM RTP-COM DSC	2173.5-2190.5
	2500				SF&TS	2495-2505
				2850-3025	ATC	2850-3025
	3023	3023	3023		AERO-SAR	3023-3026
				3400-3500	ATC	3400-3500
	4000					
4125-4128	4125-4128	4125	4125		RTP-COM	4125-4128
4177.25-4177.75	4177.5	4177.5	4177.5		NBDP-COM	4177.25-4177.75
	4188					
4207.25-4207.75	4207.5	4207.5	4207.5		DSC	4207.25-4207.75
				4650-4700	ATC	4650-4700
	5000				SF&TS	4995-5005
	5167.5					
				5450-5480	ATC	5450-5480

Table 4-9: Lists of Protected Frequencies Recognized by the FCC and ITU in the 1.7-80 MHz Band

³⁶ ITU RR AP13-8 "... any emission capable of causing harmful interference to distress, alarm, urgency or safety communications [on these frequencies] is prohibited."

FCC 15.205	FCC 87.149 80.229	ITU-R App15 (GMDSS) ³⁶	ITU-R App 13 (Non-GMDSS)	ITU-R App 27 AM(R) S	FUNCTION	CANDIDATE LIST OF PROTECTED FREQUENCIES FOR BPL
				5480-5680	ATC	5480-5680
	5680	5680	5680		AERO-SAR	5680-5683
6215-6218	6215	6215	6215		RTP-COM	6215-6218
6267.75-6268.25	6268	6268	6268		NBDP-COM	6267.75-6268.25
	6282					
6311.75-6312.25	6312	6312	6312		DSC	6311.75-6312.25
		6314			MSI-HF	
				6525-6685	ATC	6525-6685
	8257					
8291-8294	8291	8291	8291		RTP-COM	8291-8294
	8357.5					
8362-8366	8364		8364		Survival Craft	8361-8367
8376.25-8386.75	8375, 8376.25- 8386.75	8376.5	8376.5		NBDP-COM	8376.25-8386.75
8414.25-8414.75	8414	8414.5	8414.5		DSC	8414.25-8414.75
		8416.5			MSI-HF	
				8815-8965	ATC	8815-8965
	10000				SF&TS	9995-10005
				10005-10100	ATC	10005-10100
				11275-11400	ATC	11275-11400

FCC 15.205	FCC 87.149 80.229	ITU-R App15 (GMDSS) ³⁶	ITU-R App 13 (Non-GMDSS)	ITU-R App 27 AM(R) S	FUNCTION	CANDIDATE LIST OF PROTECTED FREQUENCIES FOR BPL
12290-12293	12290	12290	12290		RTP-COM	12290-12293
	12392					
12519.75-12520.25	12520	12520	12520		NBDP-COM	12519.75-12520.25
	12563					
12576.75-12577.25	12577	12577	12577		DSC	12576.75-12577.25
		12579			MSI-HF	
				13260-13360	ATC	13260-13360
13360-13410	13360-13410				Radio Astronomy	13360-13410
	15000				SF&TS	14990-15010
	16000					
16420-16423	16420	16420	16420		RTP-COM	16420-16423
	16522					
16694.75-16695.25	16695	16695	16695		NBDP-COM	16694.75-16695.25
	16750					
16804.25-16804.75	16804	16804.5	16804.5		DSC	16804.25-16804.75
		16806.5			MSI-HF	
				17900-17970	ATC	17900-17970
		19680.5			MSI-HF	
	20000				SF&TS	19990-20010

FCC 15.205	FCC 87.149 80.229	ITU-R App15 (GMDSS) ³⁶	ITU-R App 13 (Non-GMDSS)	ITU-R App 27 AM(R) S	FUNCTION	CANDIDATE LIST OF PROTECTED FREQUENCIES FOR BPL
				21924-22000	ATC	21924-22000
		22376			MSI-HF	
	25000				SF&TS (Not Currently Used)	
25500-25670	25500-25670				Radio Astronomy	25500-25670
		26100.5			MSI-HF	
37.5-38.25 MHz					Radio Astronomy	37.5-38.25 MHz
73-74.6 MHz					Radio Astronomy	73.0-74.6 MHz
74.8-75.2 MHz					Aeronautical – Instrument Landing System Marker Beacons	74.8-75.2 MHz
DSC = Digita MSI-HF = Marino NBDP-COM = Narrov RTP-COM = Radio	affic Control I Selective Calling e Safety Informatio	n – High Frequenc ting – Communica nunications		·		

4.7 CONCLUSION

Frequencies between 1.7 MHz and 80 MHz are allocated to a total of 13 radio services, with the Federal Government using all but two, in varying degrees, to satisfy various mandated mission requirements. Federal agencies currently have over 59,000 frequency assignments in this frequency range. Allocations for the fixed and mobile services accommodate communications for homeland security, distress and safety, and other critical functions. These communications occupy over one-half of the frequency range and were chosen as the focus of this Phase 1 study. Characteristics of fixed and mobile equipment can largely be grouped into uses below 30 MHz and above 30 MHz and the equipment characteristics show considerable consistency within these two categories.

Both NTIA and FCC have long recognized that certain frequencies or bands in the radio spectrum require special protection from interference because of the critical or sensitive functions they support, including distress and safety, radio astronomy, radionavigation, and others. NTIA identified forty-one (41) such frequency bands between 1.7 MHz and 80 MHz, totaling approximately 4.2 MHz (5.4% of the total spectrum under study), and proposes that they receive special protection from interference by licensed and/or unlicensed transmitters.