UNITED STATES OF AMERICA DEPARTMENT OF COMMERCE

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COMMERCE SPECTRUM MANAGEMENT ADVISORY COMMITTEE (CSMAC)

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MEETING

+ + + + + MONDAY NOVEMBER 8, 2010

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The Advisory Committee met in Room 4830, Herbert C. Hoover Building, 1401 Constitution Avenue, N.W., Washington, D.C., at 10:00 a.m., Dale Hatfield and Bryan Tramont, Co-Chairs, presiding. PRESENT DALE N. HATFIELD, Independent Consultant and Adjunct Professor, University of Colorado BRYAN TRAMONT, Managing Partner, Wilkinson Barker Knauer, LLP LARRY ADLER, Product Manager, Google Inc. (By teleconference) DAVID E. BORTH (by teleconference) MICHAEL C. CALABRESE, Vice President and Director, Wireless Future Program, The New American Foundation MARTIN COOPER, Executive Chairman and Co-Founder, ArrayComm, LLC (by teleconference) SUSAN CRAWFORD, J.D., University of Michigan Law School MARK E. CROSBY, President & Chief Executive Officer, Enterprise Wireless Alliance

DAVID L. DONOVAN, President, MSTV, Inc. GARY EPSTEIN (by teleconference) BRIAN FONTES, Chief Executive Officer, National Emergency Number Association (by teleconference) HAROLD FURCHTGOTT-ROTH, President, Furchtgott-Roth Enterprises ROBERT M. GURSS, Director of Legal & Government Affairs, Association of Public-Safety Communications Officials KEVIN C. KAHN, Senior Fellow, Director of the Communications Technology Lab, Intel Corporation (by teleconference) JAMES ANDREW LEWIS, Senior Fellow and Director of the Technology and Public Policy Program, Center for Strategic and International Studies MARK A. MCHENRY, President, Shared Spectrum Company DARRIN M. MYLET, Adaptrum Inc. (by teleconference) THE HONORABLE JANICE OBUCHOWSKI, Founder and President, Freedom Technologies, Inc. ROBERT PEPPER, Senior Managing Director, Global Advanced Technology Policy, Cisco Systems, Inc. NEVILLE RAY, Senior Vice President, Engineering and Operations, T-Mobile USA, Inc. (by teleconference) RICHARD REASER, Jr., Head, Spectrum Management Department, Raytheon Space & Airborne Systems GREGORY ROSSTON, Ph.D., Deputy Director, Stanford Institute of Economic Policy Research, Stanford University R. GERARD SALEMME, Executive Vice President, Strategy, Policy, and External Affairs, Clearwire Corporation JENNIFER WARREN, Vice President, Technology Policy & Regulation, Lockheed Martin Corporation JULIE ZOLLER, Program Director, ITT Advanced Engineering & Sciences Division

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1	P-R-O-C-E-E-D-I-N-G-S	
2	10:11 a.m.	
3	MR. HATFIELD: If we could get	
4	started please. Okay, good morning and	
5	welcome to the sixth meeting of the Commere	
6	Spectrum Management Advisory Committee or	
7	CSMAC. We've got a good agenda today and I'm	
8	looking forward to the conversation. As we	
9	are following our tradition, I ask everyone to	
10	please use the microphone so we have a good	
11	record. And following our tradition, I will	
12	go around the room and then go by the, on the	
13	conference call to take our role. So I could	
14	start Mark down at the right-hand side.	
15	DR. McHENRY: I'm Mark McHenry	
16	with Shared Spectrum Company.	
17	MS. OBUCHOWSKI: Janice Obuchowski,	
18	Freedom Technologies.	
19	MR. REASER: Rick Reaser from	
20	Raytheon.	
21	MR. ROSSTON: Greg Rosston,	
22	Stanford.	

Page 5 MR. PEPPER: Robert Pepper, Cisco. 1 2 MS. WARREN: Jennifer Warren, Lockheed Martin. 3 MS. ZOLLER: Julie Zoller, ITT 4 5 Corporation. 6 MR. SALEMME: Gerry Salemme. 7 MR. STRICKLING: Larry Strickling, 8 NTTA. 9 MR. NEBBIA: Carl Nebbia, NTIA. 10 MR. CALABRESE: Michael Calabrese, New American Foundation. 11 12 MS. CRAWFORD: Susan Crawford, Princeton. 13 14 MR. CROSBY: Mark Crosby, Enterprise Wireless Alliance. 15 16 DR. FURCHTGOTT-ROTH: Harold 17 Furchtgott-Roth, Furchtgott-Roth Enterprises. 18 MR. DONOVAN: David Donovan, the 19 Association from Maximum Service Television. 20 MR. GURSS: Bob Gurss, Association 21 of Public-Safety Communications Officials. 22

Page 6 DR. LEWIS: Jim Lewis, SCIC. 1 2 MR. HATFIELD: Thank you and we'll turn to the conference bridge. 3 Is there 4 anybody on the line that would like to 5 identify themselves? I heard Marty Cooper. 6 Is there anyone else? 7 MR. BORTH: David Borth. 8 MR. HATFIELD: David Borth, okay. 9 MR. MYLET: Darrin Mylet. 10 MR. HATFIELD: Darrin Mylet. Don't 11 go too fast there. Brian Fontes, okay. 12 Anybody else? Kevin Kahn from Intel. 13 MR. RAY: Neville Ray from T-14 Mobile. 15 MR. HATFIELD: Any others? 16 MR. ADLER: Larry Adler from Google. 17 18 MR. HATFIELD: Any others? Okay, 19 thank you very much. I'll turn it over then 20 to assistant secretary Larry Strickling. 21 Larry? 22 MR. STRICKLING: Thank you Dale.

1	I will be very brief. I just want to welcome
2	everybody. I look forward to a productive
3	meeting today. I'm going to come back in the
4	last hour when we are going to talk about the
5	future of this committee. Believe it or not
6	our charter is up for renewal after the
7	beginning of next year. I think we have time
8	for one more meeting before we have to re-
9	chart ourselves. We are going to spend the
10	last 45 to 50 minutes today focused on how we
11	go about working with this group next year
12	assuming we go forward and re-charter it. So
13	I'll save most of my remarks and comments for
14	that period of time. I would just mentioned
15	for those of you who weren't already aware
16	that earlier this morning NTIA released a
17	report on broadband adoption in the U.S. I
18	have a couple of extra copies here. There are
19	a bunch of them in the hallway down by the ESA
20	offices about 50 feet down the hall if anybody
21	wants to get one. Thanks. Bryan?
22	MR. TRAMONT: Thank you Larry. We

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1	are now going to turn the program over to Karl
2	Nebbia. As everyone knows its been a very
3	busy few months at NTIA on the spectrum front
4	and Karl is going to give us an overview of
5	the work that's been done and how it might
6	inform of our deliberations going forward. So
7	Karl.
8	MR. NEBBIA: Thank you Bryan. In
9	June of this year everybody knows the
10	president directed the Department of Commerce
11	via NTIA and together with the FCC to develop
12	a plan and time table to make 500 megahertz of
13	spectrum available for fixed and mobile
14	broadband within the next ten years. This
15	spectrum was suppose to come from the federal
16	bands currently allocated to the federal
17	government. Also from bands allocated to the
18	non-federal users. And then to be made
19	available for broadband for possibility, a
20	number of possibilities. One is exclusive
21	licensing. Another is license broadband
22	shared with incumbent services. Then the

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possibility that unlicensed broadband would share with incumbent services. And lastly the potential for making spectrum available for unlicensed services alone where we would assume either there were no license services or the license services would vacate. NTIA worked through what's called the policy and plan steering group. It is an advisory committee of federal agencies at the assistant secretary level. It includes the National Economic Council, the National Security Staff, OMB and other components of So it's a group that goes beyond the OSTP. normal Iraq agencies. It was subdivided into

15 three working groups. One dealing with spectrum. One dealing with incentives and the 16 17 last dealing with the third issue in the memo, 18 which was sharing technologies. I had the 19 privilege, the difficulty of leading the 20 spectrum working group. So by October 1 21 deadline, we completed the effort to draw up 22 the plan and time table, identifying

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approximately 2,260 megahertz , all below 1 2 4,400 megahertz that we thought offered 3 sufficient potential to warrant further 4 investigation. For example, bands that were 5 very narrow, we did not feel offered that 6 potential. Also bands with international 7 agreements, for example, for passive or 8 sensing from satellites didn't seem very 9 workable, nor did those for instance that are currently being used by GPS. 10 The 2,260 megahertz included the approximately 280 that 11 12 the commission had included in the national broadband plan. Therefore the total of 13 14 additional spectrum we're going to consider is somewhere just under 2,000 megahertz. 15 And as 16 we look at that, the plan itself lays out a process of prioritization of these bands can 17 then be followed by individual band review in 18 19 depth on a rolling basis. Now, the fact that 20 a band is on the current list that we put 21 together or not on the current list, doesn't 22 mean that it will come out the other end of

		Page 11
1	this process. So the goal is spectrum	
2	available within ten years. But as you know,	
3	also that is going to have to include	
4	potentially multiple steps at the commission	
5	in terms of rule makings. If relocation is	
6	involved under the CSEA there will be a number	
7	of steps involving identifying costs and	
8	schedules ultimately conducting auctions. And	
9	then finally the actual relocation process.	
10	All of that the goal being to have done within	
11	a ten-year process. So if we are going to	
12	make spectrum available through sharing, the	
13	means of how that, determining how that	
14	sharing will occur to give protection to	
15	incumbents and so on also needs to be proven	
16	during that period.	
17	Now in addition to the plan and	
18	time table we are asked by the administration	
19	under a fast track process to look	
20	specifically at the 1755 to 1780 megahertz	
21	band. And then at other bands that might	
22	offer the opportunity for decision by October	

		Page 12
1	1 and to be made available within five years.	
2	So, within that we added the band 1675 to	
3	1710, 3,500 to 3,650 and 4,200 to 4220 and	
4	companion piece of spectrum at 4,380 to 4,400.	
5	Now the time constraints that we had for this	
6	fast track necessarily prevented consideration	
7	of some different approaches. One was	
8	significant relocation of systems out of these	
9	bands. Because essentially the three months	
10	that we had, it would not have been possible	
11	to make a decision and specify a band	
12	transition for those agencies and identify	
13	spectrum for them to go to. We could not	
14	direct the now in that three-month period	
15	without knowing where they were going. Also if	
16	we were going to seek to use some sort of	
17	sharing, we felt that within that time frame	
18	to come up with a definitive conclusion we had	
19	to work with sharing methods that had already	
20	been proven to suggest in that three months	
21	that we were going to come up with a new	
22	technique and give any guarantee of the	

agencies that were going to have to live with 1 2 We just did not feel that was a credible it. 3 approach. Couldn't approve new techniques in 4 those three months. So, as assistant 5 Secretary Strickling noted in a recent 6 presentation. This was 1695 to 1710 megahertz 7 band and 3550 to 3650 as we saw holding the 8 most immediate potential. Both of those bands 9 will require geographic exclusion zones since once again no plans could be made in the few 10 months we had to actually move out satellite 11 systems or receivers or to move the radars out 12 13 of the 3550 to 3650 band. So, while some also 14 pose the idea of transitional sharing in the 15 1755 to 1780 band. No suggestions have really 16 been presented concerning where the airborne 17 systems, the surveillance systems and other 18 military tactical systems could go. Some of 19 these are still moving out of the 1710 to 1755 20 band and their operations have not yet been 21 made whole and the 1755 to 1850 band. So to 22 throw them again into a transition to us

created too complex an issue and didn't seem 1 2 reasonable in the time that we had. Therefore 3 this band of course will go into the longer 4 term plan. It will be given a high priority 5 and of course given much more attention and 6 time to resolve those issues that I mentioned. 7 The 4200 band was appealing because the 8 measurements that NTIA had performed, we had 9 never seen emissions in the top or bottom 20 10 megahertz of that range. However, this band is tied or these portions are tied to the 4200 11 to 4400 band that has ITU and IKO agreements 12 13 that currently govern them. Given the lack of 14 a licensing requirement at this point at NTIA or at the FCC, we didn't feel like we had 15 16 enough information to actually be sure there 17 were no devices operating in those top and 18 bottom 20 megahertz. So the outcome, we 19 believe this first, this 150 megahertz is a 20 significant commitment and that these bands 21 will be continue to be considered in the 22 longer context of the ten year plan. Ι

understand the two reports are nearing the end 1 2 of the agency review process and we hope to 3 see them shortly. So I appreciate your time this morning and I would love to be able to 4 5 actually be handing across the table here 6 today at the meeting today. That will just 7 have to wait for another day. Okay, that's 8 all I've got this morning. 9 MR. TRAMONT: Questions for Karl? MR. CALABRESE: Michael Calabrese. 10 11 Could you say a bit more about the NOAA band 12 1675 in terms of I believe the area of 15 megahertz and so what kind of constraints we 13 14 could expect on the use of that, what you 15 expect the megahertz be used for? 16 MR. NEBBIA: Well the 15 megahertz 17 that we identified within this process was the 18 top 15 megahertz, the 1659 up to 1710. 19 Currently in that range are predominantly 20 polar orbiting satellites. These satellites 21 for the most part speak to a number of major 22 government facilities but there are other

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organizations that are receiving the signal 1 2 that they transmit in that range. So, the 3 process that we are looking at is to create exclusion zones around a number of these major 4 5 facilities so that they are protected from 6 interference from the mobile units in this 7 band is what we specifically analyzed. What 8 it will be used for, what 15 megahertz? There 9 has been significant debate as to how much 10 spectrum people need. Some have suggested 20 or more is what they are really looking for. 11 12 Others have said that they can use less. But we at this point will be making it available 13 and the commission will have to start whatever 14 15 rules that it chooses to propose. So we will 16 go from there. Now of course the analysis was based on this being a mobile handset band in 17 18 more or less an FDD configuration. So it was 19 analyzed on the basis of its potential 20 matching with the AWS-3 band but that 21 certainly is not a necessary outcome. 22 However, the analysis stands on that basis.

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1	So if you were for instance to choose to put		
2	TBDD base stations in here, the distances		
3	might have to be different, some other tool.		
4	MR. TRAMONT: Any other questions		
5	for Karl?		
6	MS. WARREN: Given that there's no		
7	relocation involved but accommodating new		
8	users, what are the methods for funding or		
9	making whole and the agencies that have to		
10	make changes?		
11	MR. NEBBIA: At this point one of		
12	the significant issues in this 1695 to 1710		
13	band is that NOAA and this created, love		
14	relationship between NTIA and our fellow		
15	commerce agency here. NOAA had to, NOAA		
16	already had plans for the next general of GOES		
17	satellites up above 1695. Fortunately the		
18	process, their processes were still early and		
19	their replanning how they are going to pack		
20	the portion below 1695 where we have not only		
21	the GO satellites but we have radiosondes in		
22	there. So, that planning as you look at the		

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1	incentives and assistance portion of the
2	document, that component without a change in
3	the CSEA will have to be done through
4	allocations of funding through the normal OMB
5	processes.
6	MS. WARREN: Thank you.
7	MR. TRAMONT: Now are there any
8	other questions? Anyone on the phone? Great.
9	Thank you Karl very much. We'll now move to
10	the subcommittee reports. We have one report
11	in final, two drafts and an update on the
12	sharing committee. We'll start with David
13	Donovan's Adjacent Band Interference/Dynamic
14	Spectrum Access Subcommittee report which was
15	presented and adopted with editorial
16	privileges in Boulder. David is going to give
17	us a little update on the final draft and
18	we'll move to final adoption. David?
19	MR. DONOVAN: Thank you Mr.
20	Chairman. Just a matter of process. This was
21	voted on at the July 27 meeting in Boulder.
22	At that meeting we received, there was a

1 lively discussion which is reflected in the 2 transcript of the meeting. We also received 3 at the time a comet filed by M2Z making 4 certain suggestions and some things that quite 5 candidly the committee had not looked at, I 6 think in sufficient detail.

7 Post that meeting I received one 8 set of edits from a member of the committee 9 and those edits have been incorporated into 10 this document. My understanding is based on the transcript is that edits which we made to 11 12 the extent they were wholly and consistent 13 with the report that was presented in Boulder. 14 Two weeks ago we sent out a copy of the revisions with the edits to the subcommittee. 15 I've had one additional comment from a member 16 of that subcommittee which edit needs to be 17 18 reflected in this document that we have before 19 Last week I believe the document was put us. 20 on the list serve for review by the committee 21 as a whole. It is a lengthy document and 22 without, go through in awful detail if you

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1	would prefer point by point. Sufficed to say
2	that I think there was general concerns and
3	let me just address them here. The first was
4	that the document seemed to be one-sided in
5	favor of the incumbents versus sort of a
6	balanced approach. Certainly while the
7	committee's position was that those who have
8	made investments in communication systems
9	deserve to have those investments protected.
10	It wasn't the committee's intent to make that
11	sort of a one-sided affair. What we have and
12	you will see in the executive summary, an
13	attempt to provide a more balanced approach
14	that will be consistent with the public
15	interest.
16	There are also some other specific
17	issues. One that we did not discuss the issue
18	of harmful interference or attempt to define
19	it. That is correct. We did not. And that is
20	so now noted in the document with the
21	suggestion that needs to be done. I think
22	there was a concern at least on this committee

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1	that questions of how one defines harmful
2	interference and vary from context to context,
3	system sharing with another system. And that
4	may be best done on a case by case basis. But
5	the issue regarding how one defines the
6	importance of that and its potential effect on
7	investment in future communication services is
8	now in the document that has been discussed
9	and ready. So I think that was a major
10	concern.
11	The other thing is, is that as I
12	said we wanted a balance of the document
13	reflects a more balanced approach. I would
14	note, however, that I think that there may
15	have been some confusion in that when we were
16	listening to tools and the techniques to avoid
17	interference, guardbands got listed first.
18	And I think people thought that was our first
19	priority and our first recommendation. That
20	was there frankly because of historical
21	significance that's what we've used. So it
22	got discussed first in the document. There is

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now a paragraph in here explaining that what 1 2 we claim to do here is to discuss this as a toolbox and that would include guardbands and 3 4 some instances dynamic spectrum access techniques in terms of both spectrum sensing 5 6 and geo location. Getting into some 7 specifics, I think one of the critical debates 8 that you will see in the discussion in the 9 transcript was the idea of whether or not the database should be made public. And that we 10 went around and around on that. 11 What I have 12 done in this document is made the edit indicating that the databases should be made 13 14 public subject to of course national security concerns and also there may be some other 15 16 private business issues that we have not 17 foreseen yet. But the presumption should be 18 that in effect the language now says where 19 appropriate database information should be 20 made available to the public to provide 21 transparency and proper oversight. Such 22 access must be consistent with the concerns

regarding national security. From an 1 2 operational standpoint, however, which I think 3 was the balance, government inspector managers 4 may find it appropriate to limit real time 5 access to the database information to those 6 devices that have been certified or approved 7 to use the database by NTIA, the FCC or an appropriate government agency. And I think 8 9 that's important because you only want devices that have been certified to use the database 10 11 to have operational access to use it in real 12 But in addition in the interest of time. 13 transparency, provisions may be made to allow 14 for non-realtime access by other parties 15 interested in improving spectrum utilization. 16 This was an edit that came from a member of the committee that the data contained such 17 18 details about national security, the nonrealtime access might contain aggregated data 19 20 that protects sensitive details. So the goal 21 was, the presumption is to make it public 22 unless there is some other reasons not to.

There was also some discussion regarding 1 2 realtime use of the data. The database, the 3 original suggestion that all these databases 4 should be in realtime. There are spectrum 5 efficiency tradeoffs. The better, the more 6 realtime a database, the more accurate you 7 could have in terms of other people using 8 them. On the flip side, there are reasons in 9 terms of costs of equipment or things that may make it not the most efficient way to have a 10 real time database. So, we included, insert 11 the word where appropriate. 12 There are some other, I don't know 13 14 Mr. Chairman how extensive you want me to walk 15 you through all the major changes but I'm more 16 than happy to do so. I don't want to tie up 17 the full committee's time. We could go to discussion. 18 19 I think if there is MR. TRAMONT: 20 a relatively high bar to constitutes major, 21 that would be fine. 22 We have changed a MR. DONOVAN:

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1	number of things since Boulder. There are	
2	substantial things that were in response to	
3	edits. I think it is worth flagging.	
4	MR. TRAMONT: Okay. I think there	
5	was one issue that the document came out very	
6	much in favor of harmonization, particularly	
7	on an international basis. We did receive an	
8	edit indicating that if you have too much	
9	globalization and harmonization it may impact	
10	innovation of the interim. And that, there's	
11	a real balance there. So what we did is we	
12	included some additional language that says	
13	while recognizing these benefits meaning	
14	harmonization following policymakers should	
15	also consider the potential impact of such	
16	harmonization on the development of new	
17	innovative services and wireless technologies.	
18	MR. DONOVAN: I think, trying to	
19	fit the high level. I think one of the key	
20	things that was recommended and I actually	
21	think it is a very good idea. In terms of	
22	enforcement, one of the things that we didn't	

look at was the aspect of what happens if you 1 2 have two legal authorized entities operating 3 but for some reason they are throwing out 4 interference to each other, harmful 5 interference. And I think probably the best 6 example of that is years ago with sort of the 7 next health public safety where two perfectly 8 legal entities were throwing a lot of 9 interference. Now without taking the position 10 that once you have enforcement rights against 11 the other because they are both legal, the thought was that we shouldn't keep track of 12 that information, keep track of that data. 13 14 And you will see a bullet put in there on page 15 14, the last bullet, which recognizes that we 16 out to have a streamline process for the 17 maintenance and keeping of that data from a 18 policy perspective, which we think is important. 19 20 The last sort of high level issue 21 is and it will appear on page 17 and also as 22 footnote five and also discussed in the text.

1	And that was the use of probablistic methods
2	of calculating interference. The committee
3	really didn't go into that in sufficient
4	detail. But what this report now says is that
5	future reports should probably look at the
6	issues of what the improbablistic models
7	regarding interference as opposed to, I mean
8	we use sort of the traditional approach. I
9	don't think we felt comfortable shifting at
10	this point. But certainly that was raised and
11	it was raised by M2Z at the last meeting. It
12	certainly is something that we might want to
13	look at. And I think with that I think I will
14	probably stop and be happy to discuss any
15	issues.
16	MR. HATFIELD: Great David. David
17	I want to thank you and the committee for some
18	really great work. I think I said in Boulder
19	that I learned a lot by reading the report.
20	Again re-reading it last night I did have one
21	thing that did strike me and I would like to
22	ask you about it. On page six, the first of

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the bullet of items there and this may, I 1 2 should probably say in advance that this may 3 come from the fact that your in part listing 4 quardbands as sort of the first. So this sort 5 of jumped out at me. It seems in reading this 6 that there's still sort of a presumption in 7 favor of the incumbent and I went back and 8 looked at the report where you talk about 9 that. I couldn't find much more explanation My concern is the situation where the 10 there. 11 new entrant would have to give up let's say ten million dollars worth of spectrum for a 12 13 guardband that would normally only cost one 14 million dollars for the incumbent to improve their receivers. So I think the economically 15 efficient solution in that case would somehow 16 17 to figure how to pay off that person with the, 18 the incumbent pay off the incumbent someway 19 with the one million dollars and free of ten 20 million dollars worth of spectrum. So, I 21 notice in the second bullet point you do have 22 sort of a savings there where you say that

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1	would be in the public interest. But actually	
2	I think as written that's a little bit too	
3	absolute and would prevent, could prevent what	
4	would be an economically efficient. I'm	
5	looking at my economist here in the room to	
6	see if I have butchered the, I guess we are	
7	sort of talking about coast there I guest and	
8	see if I'm butchering the comment too much.	
9	MR. REASER: Who would pay that	
10	though. Would the guy who wants to share pay	
11	that? Where does the money come from?	
12	MR. HATFIELD: That is where you	
13	probably need some language because it decided	
14	the government taking a look at ten million	
15	versus a million. It just makes sense to do	
16	it this way and do it through some sort of an	
17	order, voluntary encouraging or facilitating	
18	voluntary negotiations. I think my point	
19	wasn't necessarily how but the fact that there	
20	seemed to be a presumption in favor of the	
21	incumbent even when it would make economic	
22	sense. Again, I keep looking at my economist	

1 friends here.

2	MR. DONOVAN: Thank you Mr.
3	Chairman. And I do feel bad. My deepest
4	apologies and concern. Your point is well
5	taken and we could certainly put in the
6	language if consistent with the public
7	interest, which I think would take into your
8	account. I think what we were trying to drive
9	at here was when should the government put its
10	thumb on the scale. Now it may be for example
11	that it would be more efficient for a new
12	incumbent to come in and certainly purchase
13	someone to do relocation costs or cover new
14	equipment or things of that nature. I guess
15	the question would come is when does the
16	government decide to say yes you must do this
17	or yes you must not. So I think that's really
18	what we are trying to get out is where does
19	the thumb come down on the scale. Having said
20	that, certainly on a going forward basis there
21	may be a variety of issues that come up where
22	it may make more sense to have type of

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1	negotiation with the entity seeking access who	
2	is willing to pay for relocation costs to	
3	achieve that or to pay to have a guardband.	
4	So with your permission if its okay, I would	
5	like to put if consistent with the public	
6	interest to try to discuss that could even put	
7	some additional language in the text if you	
8	would prefer.	
9	MR. HATFIELD: I don't think it is	
10	for me to decide but rather the committee but	
11	maybe I will turn to my economists here.	
12	MR. REASER: So I guess part of it	
13	in my mind, I think your thought is absolutely	
14	right with one tweak which is to the extent	
15	that the incumbent sort of has a property	
16	right in the spectrum already then the	
17	government has to think about taking, the	
18	question of taking it away versus facilitating	
19	a negotiation between the parties. I think	
20	that's how I would like to think about it	
21	rather than necessarily thinking that the	
22	government should sort of weigh the ten	

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		Page	32
1	million versus one million and pick the		
2	cheapest if it has already established a		
3	quasar property right in the spectrum.		
4	MR. HATFIELD: Because that would		
5	discourage future investments if people were		
б	afraid the government unilaterally stepped in.		
7	MR. DONOVAN: And that was a		
8	balance that we tried to achieve here is that		
9	we want to stimulate investment of		
10	communication services and if suddenly someone		
11	could come in and take a spectrum from you		
12	that may discourage it. So it's a delicate		
13	balance. It's not it's a tough call.		
14	MR. HATFIELD: Further discussion.		
15	MS. OBUCHOWSKI: Would that not be		
16	a topic that we could potentially either key		
17	up or say next round or almost recommend to		
18	the FCC and its advisory committee. Because		
19	in a way there's a pretty profound question		
20	there. And you a raised a point of view about		
21	property rights and applied property rights		
22	which also ties into investment. It is going		

		Page
1	to have to be address because sharing is going	
2	to require that kind of discussion to be had	
3	pretty routinely.	
4	MR. REASER: I think it gets back	
5	to what is the role of the regulator in these	
6	types of matters and this would be a much,	
7	right now I think a lot of this is sort of	
8	based on the market and then if somebody wants	
9	to have something, they are going to go pay	
10	for it, whatever the market price is and	
11	certainly on the commercial sector side. If	
12	we want to have enhanced involvement by	
13	regulators in helping sort through those	
14	things in the goal of efficiency defining how	
15	that mechanism would work in both the federal	
16	and non-federal spectrum. It is a very, very	
17	important matter because there's some	
18	significant changes in terms of how we do	
19	business today.	
20	MR. TRAMONT: I think we would	
21	like to wrestle to the ground language. Is	
22	there, if it is consistent with public	

		Page 34
1	interest that it respond fully or is there	
2	something?	
3	MR. HATFIELD: Well my own	
4	reaction, yes, I think it is important. That	
5	is sort of a minimum to have in there whether	
б	we wanted it in, back in the text but I'm a	
7	little hesitate to reopen, suggest reopen	
8	this. So if that simple language change would	
9	do it with maybe the footnote that this may be	
10	worth coming back and taking a further look,	
11	that might let us move forward today.	
12	MR. TRAMONT: Is everyone	
13	comfortable with that approach? Okay, with	
14	that, can I have a motion to adopt the report	
15	with the friendly amendment that was just	
16	offered?	
17	MR. PEPPER: So moved.	
18	MS. WARREN: Seconded.	
19	MR. TRAMONT: All those in favor?	
20	EVERYONE: Aye.	
21	MR. TRAMONT: Any opposed?	
22	Excellent. Hearing none, the report is	

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1	adopted. Congratulations Mr. Donovan.		
2	MR. DONOVAN: Thank you.		
3	MR. TRAMONT: We now have two		
4	first draft reports starting off with Michael		
5	on the Incentive Subcommittee and then we will		
6	turn to Gerry on the Unlicensed.		
7	MR. CALABRESE: The committee has		
8	been tackling this rather long going and		
9	contentious issue of incentives, but obviously		
10	a very important one I think as we will see in		
11	the context of the NTAA's spectrum blueprint		
12	forthcoming because we have to get the		
13	incentives right. So, we have in this report		
14	not a complete agreement you could say. It is		
15	an ongoing disagreement which I will flag		
16	because we really need input from the full		
17	committee. We want to make sure this has the		
18	flavor of the full CSMAC as much as possible		
19	by the time we adopt a final report. So this		
20	is as Brian said a draft and we are		
21	encouraging your feedback since we will have		
22	to have some further discussions before the		

next meeting. As we said in the last meeting 1 2 in Boulder, we wanted to foster those outcomes 3 that are bolded right at the top of the first 4 page which are not surprising at all. And 5 we've come forward with three recommendations 6 which I think all three could be fairly 7 impactful and relevant to debate right now. 8 So the first is to internalize 9 opportunity costs through spectrum fees. And I should flag right away that this was one 10 where there's not a consensus on the 11 12 subcommittee. In fact it was more along the lines of four to three in terms of whether 13 14 these make sense, particularly for federal 15 spectrum users, which is where most of our 16 debate occurred. And the group ended up as I 17 said kind of a majority supported instituting 18 initially a relatively low flat simple fee. 19 You might say a below market fee initially 20 that would increase over time to get closer to 21 market rates. Of course the more we dug into 22 it, the more tricky questions there are

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concerning implementation of any such fee. 1 So 2 at the bottom of page four there is more 3 questions than answers about the exact 4 implementation of this. We had an alternative 5 viewpoint that spectrum fees particularly for 6 federal users were not going to cost benefit 7 basis, not worth doing. And some disagreement 8 as well about how to represent that view. Tn 9 this draft it is appended as a, it is an 10 appendix, in a separate appendix a, additional 11 considerations concerning spectrum fees, which are all mostly skeptical. And although there 12 was a desire I think by the three committee, 13 14 subcommittee members to have it in the main 15 body of the report and to present these side 16 by side as sort of alternative perspectives on 17 spectrum fees. So, in addition to getting 18 some feedback on substance, it would be helpful if anyone feels strongly in terms of 19 20 how this is presented in the final report 21 because that's a subject of ongoing discussion 22 within the subcommittee and there's no hard

fast rule apparently within the CSMAC for
 doing that.

The second recommendation more 3 4 promising, we have much more consensus on the 5 next two, which is to strengthen OMB Circular 6 A-11. And that is right now a fairly vague 7 process, which it says precisely spectrum 8 should generally not be considered a free 9 resource but rather its value should be included to the extent practical and economic 10 11 analysis of alternative systems. But there's 12 really no specification about how that is to 13 be done and it seems to suggest more that the 14 exercise is more about determining the value 15 of the spectrum to be used rather than kind of 16 going through a real cost benefit analysis process that is disclosed for some 17 18 accountability. So what we've done is that 19 we've moved, we proposed a rewritten section 20 33.4, which is the spectrum section of 21 Circular A-11 that is on pages eight and nine. 22 So actual language that can be just pumped

		Page	39
1	right in to Circular A-11 to replace what's		
2	there. And we've moved to more of a specific		
3	checklist that would demonstrate a cost		
4	benefit analysis process has been carried out.		
5	So for example, agency should indicative the		
6	system selected was the most spectrum		
7	efficient solution among those that were		
8	qualified, among the qualified bids and if		
9	not, why not? Also, whether the RFP included		
10	requirements for respondents to address		
11	spectrum efficiency factors and if not why		
12	not? Whether the system will share spectrum		
13	with other federal agencies or non-federal		
14	etc.? And those are on page nine. So we		
15	think its actually a way of turning the screws		
16	a bit tighter, giving more transparency, more		
17	accountability and we hope to sort of bake		
18	these considerations into the procurement		
19	process more strongly. But again, suggestions		
20	are welcome.		
21	The third area of recommendation		
22	is for a spectrum innovation fund. So		

currently spectrum users have little 1 2 incentive, some would probably say a disincentive to undertake the costs and risks 3 4 to upgrade systems or processes to share the 5 bands that they are assigned, whether with 6 other federal and particularly with non-7 federal users. There is one exception to 8 this, to this disincentive which is we think 9 a very good precedent. And that's under CSEA, the commercial spectrum enhancement act, which 10 11 creates a spectrum relocation fund with auction proceeds. So similar to the way to 12 the DTV transition was done, the auction, 13 14 proceeds from the auction were earmarked into 15 the spectrum relocation fund and used to 16 reimburse agencies for the cost of new systems 17 or upgraded systems so that they could 18 actually relocate the different frequencies. 19 So, our recommendation is that the authorized 20 purposes of the spectrum relocation fund be 21 broadened turning it into a revolving fund for 22 modernizing federal systems not only to

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relocate when conditions permit but to 1 2 facilitate the shared or more efficient use of 3 other bands, not just auction bands. Agencies 4 then would have the resources to pay for 5 research, up-front costs including research, 6 planning, testing as well as potential 7 upgrades to their radio system capabilities 8 without depleting their appropriated mission 9 budgets. So we recommended that this be 10 called a spectrum innovation fund, that it be 11 created within and managed by the NTIA and the Department of Commerce, of course. 12 I see 13 Matthew Hussey from Senator Snowe's office 14 over there and a version of this is already, 15 something along these lines is already in legislation that I know Matthew drafted which 16 17 I should acknowledged. We discussed that a 18 There's two other aspects of our bit. 19 recommendation. One was that you will see on 20 page 12 that this could, this spectrum 21 innovation fund could be narrow or broader in 22 In other words we thought it should at scope.

a minimum provide up-front funding for 1 2 research for studies because right now we 3 can't even, in fact probably perhaps the reason that Karl and NTIA here were 4 5 handicapped a bit because maybe work that 6 could have been done with targeted budget was 7 not done over the preceding years to study 8 these options. So at a minimum but there 9 could be broader in scope. It is said to include perhaps the cost of planning, testing 10 11 and even actual system upgrades and yet we 12 were also concerned that this not get out of hand to the extent it could be a runaround the 13 14 procurement process for things that are not 15 spectrum related or which were goldplated. 16 And so we also suggested OMB would have to approve recommendations from the NTIA. 17 18 The final aspect of the 19 recommendation was we recommend that this fund 20 should not only be ongoing but budget neutral. 21 And so we say that the initial increment of 22 funding should come from the next federal

spectrum option or certainly some future 1 2 federal spectrum option. But we did not agree 3 on any particular revenue source to replenish 4 the fund on an ongoing basis beyond mirroring 5 what CSEA does with the current spectrum 6 relocation fund. That is using auction 7 revenue. There was some disagreement on 8 whether to specify options. So in other words 9 we agreed we wouldn't endorse any particular revenue option for replenishing the fund. 10 But 11 there was also this agreement about whether we should even discuss it in terms of laying out 12 13 any particular options that could be 14 considered such as possibly user fees on those 15 who benefit from the spectrum, spectrum users. 16 And that could include and in fact they are in 17 this draft on page 14 that include spectrum 18 fees themselves if we were to actually go that The device certification fees, which is 19 way. 20 noted might be particularly useful relevant to 21 unlicensed devices, which wouldn't be bidding 22 at an auction. Or spectrum leasing fees to

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1	the extent that secondary markets were used to	
2	use our license commercial use of federal	
3	bands. And as I said you know some of the	
4	feedback that we welcome is about whether we	
5	should go into this question of how could such	
б	a fund self-financing or whether we should	
7	just say it should be and leave it at that.	
8	So, I think that pretty much weighs it out but	
9	others on the committee should offer some	
10	additional context or comments if they wish.	
11	MR. TRAMONT: Just one note on	
12	process. At this point we have a draft. The	
13	public and the members of the committee will	
14	now have a period of time to react the draft.	
15	Michael will continue to shepard it during the	
16	course of th next few weeks with the idea of	
17	recirculating a complete draft for vote in a	
18	week in advance of our final meeting or at	
19	least a week in advance of our final meeting.	
20	And then we would vote at the next meeting.	
21	So that's both for this report as well as for	
22	Gerry's that we are going to hear about in a	

That's the process that we intend to 1 moment. 2 undertake. So with that, you are open for questions or comments. 3 4 MS. CRAWFORD: This is Susan 5 Crawford. As Jennifer's question indicated, 6 this is a huge stumbling for agencies making 7 sure that they are not nickel and dimed by on 8 these as they make transitions. And whatever 9 we can do to make this report as substantive, 10 meaningful, particularly with the revenue neutral aspect. Whatever the subcommittee can 11 do to connect with OMB even informally and 12 13 with Matthew and others and really make this 14 is an attempt to work out this problem. That could be very useful in the contribution. 15 So it seems to me that's this section of the 16 17 subcommittee report could be deeply fruitful 18 if there was more done at this point and it won't be easy. But I would think that's the 19 20 whole point of this advisory committee. 21 Michael, would you MR. TRAMONT: 22 be willing to take on reaching out to OMB and

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1	particularly pursuing some of the comments		
2	that Susan just made? Okay, great. That's		
3	worth doing. Yes, Jennifer.		
4	MS. WARREN: Just a follow up on		
5	the spectrum innovation fund. I think that's		
6	a good point. I think the concern was that		
7	there hadn't been a full discussion yet of the		
8	options. So it just wasn't right. We wanted		
9	to have that since it was full agreement or		
10	generally full agreement on the spectrum		
11	finance, budget neutral element that these		
12	weren't ripe yet. I agree with you that going		
13	forward there needs to be a figuring out, a		
14	very detailed approach to how to fund it. It		
15	is going to be meaningful and that may be that		
16	Congress if its important just allocates money		
17	for it as opposed to being budget neutral. But		
18	I think all of those things needs to actually		
19	be discussed in more depth rather than perhaps		
20	just throwing out a few ideas that there was		
21	still ongoing discussion before even		
22	finalizing language there. That's just my		

comment for now. 1 Thank you. 2 MR. REASER: I was the quy who was 3 anti-revenue neutral guy although I could 4 probably go along with that. My experience of 5 being a former program director for a major 6 system program at DoD is that if the 7 departments and agencies want to do something 8 and it is important to them, they will fund 9 it. And we will get money to go do that. Software to define radios is an example of 10 that. A lot of things we did in the GPS 11 spectrum was funded out of the federal budget 12 Those things come 13 and justified in that way. 14 and go and maybe that's the issue about 15 continuing. But typically if something is 16 important enough to be important it will attract funds to do that. That could come 17 18 from a very limited sources. The reason why 19 we kind of said, okay revenue is okay because 20 departments and agencies may elect to invest 21 in technology. Many of the agencies DHS, FAA, 22 NASA, DoD have, you know, research and

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	I
1	development budgets. We devoted a lot of
2	money in the DoD, which was looking at
3	spectrum efficient. So in terms of the
4	overall pipeline, certainly revenue neutral in
5	terms of going in for new money to the top
6	line. But that decision was made within the
7	department to take a look at spectrum
8	efficiency and so forth that was done that
9	way. So like I said, my view is if its
10	important enough then it would attract the
11	funding to make it happen. And typically most
12	funds that the government is interested in
13	either attract money, people or reorganization
14	was the three methods.
15	MR. PEPPER: That actually is an
16	example of revenue neutral. So it is a
17	question of defining budget neutral in ways
18	that actually incorporate the range of the way
19	things are funded without changing the overall
20	budget. It could be through reprogramming of
21	existing funds from projects into priorities.
22	What I think is really nice about this is that

	I
1	it highlights, identifies, the real benefit of
2	the program. And by highlighting that it
3	provides the opportunity for people to do some
4	reprogramming saying this actually is more
5	important than some other things we are doing.
6	But in terms of overall budget, it is budget
7	neutral. Additionally, and this is one of the
8	issues where embedded in the draft, the
9	balancing difficulty is the extent to which
10	there are savings to existing agency budgets
11	as they move forward and do, and actually do
12	some reforming, incorporating more efficient
13	technologies. How do you ensure that they
14	actually get the revenue and the budget to be
15	able to do that, that any savings aren't then
16	dissipated and go elsewhere. So, again
17	there's an opportunity to use some of those
18	savings and keep it internalized within the
19	system so that the agencies that benefit from
20	the technology also benefit from the future
21	replenishment going forward with R&D and the
22	technologies. So I think that one of the

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1	discussions going forward because again its	
2	sort of the beginning. I like the idea. Like	
3	on the whole subcommittee for embedding this.	
4	I think its great going forward but I think it	
5	is just the beginning of the conversation. It	
6	may not be ready for the next meeting. I	
7	think that this is one area of thinking more	
8	broadly about what does it mean to be budget	
9	revenue neutral in a much broader sense where	
10	the benefits of the process actually accrue	
11	back into the loop for the agencies that	
12	actually benefit from it and that need the	
13	increased deficiencies.	
14	MR. HATFIELD: Just to make sure I	
15	understand the nuances of that and going back	
16	to Rick your comment. An individual agency	
17	may not have the incentive to make the R&D	
18	investment to be more efficient if the	
19	efficiency accrued to other agencies and	
20	therefore you would have a market failure, I	
21	think from an economic so the notion is you	
22	have to do it at a higher level than one	

		Pag
1	individual agency. So I agree with you	
2	agencies should have the incentive but I'm not	
3	sure they do. I think this is what you were	
4	saying that I interpret what you said.	
5	MR. REASER: The dilemma is that	
6	most agencies manage things program by	
7	program, P1 r line, R1 line at a time. I can	
8	give you examples of wire program and I was	
9	the chief engineer at the time. Rather than	
10	going after new spectrum for GPS for the new	
11	military codes, my direction was reuse	
12	existing spectrum. Now, we have like 15	
13	billion signals in this one set of little	
14	band. Actually it is a big band. But we have	
15	a whole bunch of things going on. And we	
16	agreed to share that with other countries. We	
17	did all these other things. So whereas I	
18	didn't really use less spectrum, I didn't use	
19	more. Most other programs that I'm involved	
20	with at my company have to do with common data	
21	links. Where basically we have a certain	
22	allocation of a big band with what are we	

I

trying to do? Pack more data in it. 1 Rather 2 than going after 15 more CDLs, we are trying 3 to figure out how to get way more bandwidth or effective EVDO into an existing band rather 4 5 than going for spectrum. So that's the other 6 problem we have. In terms of many of you are 7 following the UAB debate about remote sensing 8 and so forth. I mean that's a huge problem over any other systems that want to do remote 9 10 sensing. So we don't have new bands. So many 11 of the technologies that are getting vested by DHS, because they are in this program as well. 12 It is somehow use existing bands more 13 14 efficiently. That doesn't really necessarily 15 free up more spectrum. It just prevents you 16 from going after more spectrum. And I think that's the other real difference in terms of 17 18 what goes on here. I think there's lots of 19 opportunities if you wanted to speed up the 20 federal narrow banding process. That could be 21 done through investment. But in the end what 22 ends up happening is people are going to want

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1	to have more channels, more radios. But one of	
2	the things that could happen is you could go	
3	down at 6.25 and you could get a lot more	
4	efficiency in those bands if you wanted to	
5	innovate and have those technologies be	
6	introduced quicker and sooner. But you know	
7	it all comes down to money. I was talking to	
8	some police officers just over the weekend at	
9	a track meet or a cross country meet.	
10	UNKNOWN SPEAKER: You have to	
11	clarify where that was.	
12	MR. REASER: It was a cross county	
13	meet. They are talking about the whole	
14	business on the radios. I mentioned I deal	
15	with spectrum. Oh you are one of those evil	
16	spectrum people. How come our radios don't?	
17	But see it all gets back to investing to be	
18	more efficient because they still have like	
19	these monster radios. Anyway.	
20	MR. TRAMONT: Julie and then maybe	
21	Janice. Julie do you want to go?	
22	MS. ZOLLER: Sure. Thank you	

		Page	54
1	Bryan. I would like to touch on the issue of		
2	fees and to say that from the time the		
3	subcommittee began meeting I think it was		
4	clear that there were legitimate differences		
5	between our various views on how we felt about		
6	these. We focused most of our effort on the		
7	circular A-11 and spectrum incentive fund		
8	issue and return to the fees I would say late		
9	in October in a real meaningful way. In our		
10	text providing the alternative view, we		
11	developed that at the end of the process		
12	expecting that to be incorporated in the body		
13	of the report as sort of a balanced approach		
14	to looking at fees. And I appreciate		
15	Michael's comments on how we got to where we		
16	are. But our expectation was to see that in		
17	the body of the report and to provide the sort		
18	of balanced report that we saw from the		
19	adjacent band interference/dynamic spectrum		
20	access community. And we would like to go		
21	forward in that manner with our final report.		
22	Thank you.		

		Page
1	MR. TRAMONT: Janice.	
2	MS. OBUCHOWSKI: I mercifully gave	
3	over the chair to Michael. I wanted to first	
4	off thank you Michael for his work on the	
5	committee and subcommittee. I do want to	
6	return to the topic which I will now have a	
7	document to look at. I appreciate that the	
8	committee while our mandate is not to address	
9	FCC issues, did refer to the need to apply	
10	fees as a tool in an even-handed manner. That	
11	is a very material concern to me. And	
12	frankly, you know, as I look, the FCC just	
13	stood up in an advisory committee need, the	
14	technical advisory committee but with a very	
15	broad mandate. I took note and Mr. Co-	
16	Chairman Hatfield with you as an exception out	
17	of the 42 members, I don't think there was any	
18	other person whose dominate career path had	
19	much to do with recognizing federal	
20	priorities. And so as the FCC and it is	
21	chaired by of course Mr. Wheeler of brown	
22	renown but closely associated with the trade	

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association, the beaten back fees at every 1 2 turn despite the fact that its always been in 3 the president's budget bipartisan budget. So, as we go forward I would just like to note for 4 5 the record that even handed recommendation is 6 one that is critical to my signing on to the 7 idea of fees as a valuable tool at least for 8 consideration across the board. The second 9 issue I think that a well calibrated report is going to need to address more closely at the 10 The U.S. top of the international impacts. 11 stands very much alone in how we use spectrum. 12 13 We remain the company with the most broad 14 reaching expectations both in space, for NASA 15 and for our security objectives. And I think 16 anything we say about fees will be weaponized against us in the international environment. 17 18 And I don't think that's just a loose threat. We saw that just happen in Guadalajara where 19 20 the U.S. was talking about maybe moderating 21 its perspective on the Internet and had 22 various calibrated domestic perspectives. But

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of course the Chinese don't see those 1 2 calibrations the same way we do. So as we launch satellite networks or other networks 3 around the globe, I would be very careful of 4 5 how we describe the benefits of these as a 6 tool and will they matter to us enough and 7 where they really don't. 8 And then my last point and I will 9 the floor, I think all this discussion probably would benefit from a certain amount 10 We are in an environment 11 of budget realism. 12 where we don't even have a budget. We are 13 operating under continuing resolution and 14 depending on and every other major agency is 15 trying to figure out what its going to do in 16 that context. And all that may change, is 17 looking at a reality of declining budgets, 18 perhaps desirable for a long time to come. 19 So, frankly as I look for example at the 20 security agencies but probably a lot of 21 I don't think there's any way that others. 22 fees can generally be applied in anything

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other than a punitive way. So while the 1 2 economic rationales are clear in a theoretical 3 world, I'm not sure that's how they could 4 possibly work. And while it is a footnote 5 point, when we talked about this spectrum 6 efficiency fund, again theoretically something 7 we can all support. But as I see the idea of 8 auctions and spectrum relocation being sold on 9 the Hill, its not being sold as something that will be funding major upgrades and 10 efficiencies with federal systems. 11 It is 12 being seen as a way to deal with the budget 13 crisis that exists in the country. So I just 14 think that realism has to exist and as we look 15 hopefully at a draft that we can all sign on 16 I think its got to be manifested in the to. 17 report. 18 MR. TRAMONT: Thank you. Bob and 19 then Bob. 20 MR. GURSS: I just want to note 21 that I did see in the appendix portion some 22 brief reference to the fee should not apply to

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1	safety or services and certainly every effort	
2	by licensed fees or spectrum fees, whether	
3	federal or non-federal is generally excluded	
4	public safety and I certainly saw that in the	
5	appendix, I would assume it would be in the	
6	final report because I think its not only is	
7	there a lot of logical reasons for it	
8	politically you are not going to get fees if	
9	they are going to apply to public safety.	
10	MR. PEPPER: I want to first agree	
11	with Janice that we need to think about the,	
12	whatever we do is huge internationally. But	
13	if we are looking is also using a degree	
14	courses for spectrum management, that's part	
15	of the balancing. So there are pros and cons,	
16	right? And whatever we do will be used in	
17	some instances to create barriers for making	
18	spectrum available globally for both defense	
19	systems as well as U.S. companies. On the	
20	other hand using those mechanisms to lead to	
21	more market oriented approach to spectrum	
22	management I think is the upside. So we have	

Page 60 to that as sort of the balancing within the 1 2 international context. But we absolutely need 3 to take that into account. On the fees issue, it strikes me that this is a subcommittee 4 5 report draft. We've known from forever 6 including previous CSMACs that fees and 7 administration pricing issues are the most 8 controversial. I think that ultimately we are 9 going to have to have that as a discussion of 10 the body as a whole. And it not just the reflects just the subcommittee. Michael, 11 you've done a great job but you shouldn't have 12 13 to bear the brunt of everything. Janice had 14 to do that last time. Very seriously I think 15 that we really need to set time aside, perhaps 16 at the next meeting to actually go through the 17 pros and cons, the costs and benefits of 18 working through these fees issues because we have to get beyond the traditional legacy 19 20 The reality is that with the positions. 21 budget constraints, you know, it is non-22 trivial to be able to have internalized

funding for the kinds of development, 1 2 migration, reforming RMD that we are going to 3 need going forward to retain leadership in 4 spectrum. And this is one way to do that and 5 I think if we think of fees in a broader sense 6 about funding the work that needs to be done 7 within the spectrum ecosystem here in the U.S. 8 Thinking of it that way as opposed to money 9 that's thrown off to go off to a budget I think we would actually make some 10 process. 11 real progress. Problem is when the money is 12 identified just something that goes into a deficit reduction attempt or we don't see the 13 14 benefits back in the spectrum. I think we 15 maybe need to think about how we can think 16 about getting benefits back into the spectrum for the R&D, the migration and reform. 17 18 MR. TRAMONT: Jennifer. 19 MS. WARREN: Thank you. Just to 20 add on discussion of fees. I think we made a 21 lot of progress when we look at the principles 22 that we all agree we wanted to achieve and the

1	context of the incentive subcommittee
2	promoting efficiency and expanding access to
3	existing spectrum capacity, ensure that
4	spectrum based solutions are required to
5	ensure the protection of system serving
6	important public needs, military or public
7	safety and the like. Those are really four
8	guiding principals that we use. And the real
9	heart of the debate on spectrum fees at least
10	as they apply to the government users, the
11	non-revenue generating users was will there
12	really be an efficiency impact. And that's
13	where the heart of the difference is. What is
14	for certain is that there will be an
15	administrative cost, a bureaucracy of overlay
16	to do the transfer of funds to develop, etc.
17	etc. With absolutely nothing but speculation,
18	as to whether or not it will actually drive
19	again greater effective and/or efficient use
20	of the spectrum that is being used again for
21	non-revenue generating purposes. And some of
22	us on the subcommittee feel very strongly that

1	it is very important to have an integrated
2	balanced view. I think Bob mentioned pros and
3	cons. That may be an approach which is to
4	make sure that the policymakers have the
5	benefit of thinking of all of those who have
6	views on this or what are the pros and cons
7	whether it be international dimensions,
8	whether it be the economic dimensions,
9	whatever dimension is relevant, that be
10	reflected in an integrated balanced outcome,
11	which may have some recommendations that some
12	support and some recommendations that others
13	support. But this has been going on for quite
14	a while and I think that we've been doing
15	these in subcommittees and ultimately the
16	product ought to be one that the policymaker
17	see the benefit of the, its only been three
18	years for me I know. Others have said 30 and
19	40 years of discussion on this. So perhaps
20	that might be the way forward. I would
21	certainly welcome that discussion. Thank you.
22	MR. TRAMONT: I think David

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Donovan is next and then James.

1

2 MR. DONOVAN: Thank you Mr. 3 Chairman. Just a couple of thoughts. One is 4 and Michael I thought I had mentioned this. 5 Coleman Bazelon whose a well-known economist 6 in this town and has kept me very, very busy 7 over the last year, wrote a marvelous article 8 when he was at CBO on spectrum fees and their 9 efficiencies. I just commended, I'll try to 10 get a copy of it and send it to you all and to send it to the full committee because I do 11 12 believe its worth looking at. The second 13 thing is to tack on to Dr. Pepper's. Michael 14 this is terrific work. No one knows more than 15 I do on trying to balance the give and take. 16 But I think that Dr. Pepper's work I'll be honest I think in terms of facilitating and 17 18 stimulating communication services and 19 investment and jobs and what have you, fees 20 are a huge issue here. I am new to the 21 community so I haven't had the experience of 22 fast lifetimes on earlier committees but have

		Page
1	had experience of commission when all this	
2	gets discussed. So it might be since this may	
3	become a committee of a whole sort of issue is	
4	to the extent having opposition that's in an	
5	appendix to the extent that it has any	
6	implication to it, we might want to just kind	
7	of maybe put an A and a B. But again I'm new	
8	so I can sit back on that. But from what I	
9	understand there's a 50-50 split. Is that	
10	correct?	
11	MR. TRAMONT: 4-3.	
12	MR. DONOVAN: 4-3, okay. So, I	
13	think the committee as a whole really ought to	
14	look at all of this because this is going to,	
15	I truly believe probably this is the most	
16	important issue that we'll have to address	
17	next to adjacent channel interference. This	
18	requires some serious thought. If for no	
19	other reason, the imposition of spectrum fees	
20	whether you are using by megahertz pop or what	
21	have you will necessarily imply value	
22	judgments at an administration or any	

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		Page
1	particular administration because you may want	
2	to facilitate certain things. So I applaud	
3	your effort Michael and look forward to	
4	working with you on this.	
5	MR. TRAMONT: I think today's	
б	discussion at the beginning and the	
7	committee's consideration of this and	
8	obviously that's what going to happen next.	
9	We will relatively move on quickly, Dr. Lewis	
10	and then Mark and then Rick.	
11	DR. LEWIS: I've been upgraded	
12	from Mr., thanks. This has been a very	
13	interesting process. I want to congratulate	
14	Michael on having herd the cats, very	
15	difficult. I think what we heard is basically	
16	right. There is some very large issues for	
17	the group. This might very well be a make or	
18	break issue for the group. So it might come	
19	back in the discussions at the end. We need	
20	to think about what some of our goals are. If	
21	our goals are better management to allow more	
22	productive use of spectrum, we have to ask how	

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we get there. We have to ask what the role of 1 2 market mechanisms is as opposed to commander 3 I think that's underlaying a lot of control. 4 our discussion but we haven't really tackled 5 it. We need to think about what is the best 6 way to produce both competition and innovation 7 and its not clear to me that a process that is 8 oriented towards incumbent rights will produce 9 innovation. Incumbents are not going to be producing to innovations. So we do this as a 10 11 whole group thing. I do think we need to put it in a large international context, but not 12 13 only the potential for fees but the potential 14 for loss which many of us perceive. And the 15 potential for balancing market mechanisms with 16 the more traditional approach to spectrum. 17 In that sense, you know we've had 18 this discussion before and I welcome what the 19 chairs see and what they think the best way to

21 this out and start talking about market versus 22 commander control, competitive and innovation

move ahead. I think we do need to broaden

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1	versus incumbent. Those might be the issues		
2	that are before us.		
3	MR. TRAMONT: Mark and then Rick		
4	and then Susan and that's a wrap.		
5	MR. CROSBY: Real brief. We are an		
6	advisory committee so the information of this		
7	committee is not only on the benefits of		
8	implementing use of fees for user fees. There		
9	is just as much value saying the risk of doing		
10	it as well and all the complications. And		
11	that is just as important. I think at the end		
12	of the day there won't be any real winners or		
13	losers in this. We will just be a lot of data		
14	that people will be able to use to make		
15	judgments about how to go forward. That's		
16	all.		
17	MR. TRAMONT: Rick.		
18	MR. REASER: But when we do the		
19	committee as a whole it might be useful to get		
20	some presenters that talk about some of these		
21	things that are very difficult to monitize and		
22	that's the problem I had with fees in general.		

How does the National Science Foundation put 1 2 a price per megahertz on radioastronomy? How 3 does that happen for some of these net bands, 4 you know that just give us 15 megahertz. But 5 it would be very, those kind of things need to 6 be talked about. Because some of these things 7 are just, we are not selling radioastronomy 8 sites to homeowners. We are not doing that. So I think we should have some discussion 9 10 about that and maybe a couple of short 11 presentations about what people, how they should react to. My views obviously are at a 12 13 very low level because when they talked about 14 revenue, I think about my own little tiny 15 budget and my tiny little program. We out to 16 probably have some outside participation and 17 some briefings about what some of these things 18 that are difficult to monitor. Because the 19 public safety is another one. The police 20 officer when you see -- they have no money to 21 buy new radios much less pay fees. They are 22 having a hard time. So having that discussion

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1	I think may be some presentations when we go	
2	to this thing and maybe Karl can help with	
3	that.	
4	MR. TRAMONT: Dr. Crawford.	
5	MS. CRAWFORD: Just very briefly.	
6	As we get to the point of having meeting as a	
7	whole, we are going to need much better data	
8	than I think we have now. One thing that	
9	would be helpful would be a detailed dive on	
10	the experience because they will say that it	
11	doesn't matter what the price is you set.	
12	Just a price changes behavior. Now whether	
13	that's true or not, we will have to wait to	
14	find out. But we will need some so let's	
15	just hear what the facts are.	
16	MR. TRAMONT: And indeed I believe	
17	we have some of the thoughts to process over	
18	the course of the last three years. There is	
19	pain everywhere on this one. I want to make	
20	one observation which is going to be	
21	underscored in the last half of our meeting	
22	which is that we are nearing the end of our	

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charter in April and I think more than a half 1 2 of the members, the committee's terms expire This topic has been as we all 3 in January. 4 reference now, part of the initial charge and 5 now part of this charge. I think for all of 6 our purposes would like to put an end to this 7 particular chapter of report. It may well be 8 that there is subsequent work to be done with 9 the next chartered committee but since we are 10 changing out more than half of the membership, I think it would be a disservice to Larry and 11 12 everyone if we were not to give him something 13 from this group instead of starting all over 14 again or kicking it again to another committee. So, I would like to make that data 15 16 point. We do have somewhat of a time frame 17 here and it may be that we will not be able to 18 do as deep of an assessment of some of these 19 issues as we otherwise would have liked. Ι 20 would like to try and move on to Gerry's 21 report if we can. 22 MR. NEBBIA: I need to make one

		Page
1	quick standard comment. It is very important	
2	that in the end we see something that stands	
3	out as a recommendation. Right now Michael	
4	read the recommendations and I would have read	
5	them as just the headings of sections. In	
6	David's document, not only does it as NTIA	
7	should and then there's a list but its in blue	
8	which I really appreciate. So in this	
9	document as you move toward closure, it is	
10	very important that it comes out as here are	
11	the should type of recommendations. It is in	
12	blue bold.	
13	MR. DONOVAN: I was told red was	
14	an inappropriate color.	
15	DR. LEWIS: Bryan before you move	
16	on can you tell us where we stand on this	
17	because I agree completely that we need	
18	recommendations out of this. We are not going	
19	to get them from a consensus process. So	
20	that's what I think. Maybe two drafts are the	
21	way to go. One that has recommendations that	
22	are along the lines of what Karl would like	

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and one that has the objections. And doing 1 2 that process might be useful to flush out. It 3 is up to the chairs as I understand it. So 4 what do you want us to do next? And you can 5 take a pass on that one if you want. 6 I didn't think you MR. TRAMONT: 7 implied otherwise. 8 MR. DONOVAN: The only point on 9 that is you can do it a number of ways. The 10 offset that we are going to have two documents. You won't have sort of the debate 11 12 and drive towards consensus that process sort 13 of brings. 14 DR. LEWIS: Since you are new the last time we did that it failed. 15 So I'm not 16 a big fan of consensus on this one. MR. HATFIELD: If people here could 17 18 -- I feel like I'm always lagging up here. We 19 need some sort of a proposal to get this done 20 between now and early January and what are the 21 proposals on the table then for getting it 22 done? In other words I think there's an awful

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1	lot to be said, even if its very interim at		
2	this point. By the way, let me say first of		
3	all, I think there's an awful lot of		
4	innovative thinking that's gone into this, in		
5	the innovation fund in the A-11 and I would		
б	hate to have the discussion here, the dispute		
7	over fees somehow pollute or diminish the		
8	other really great work that's been done. So,		
9	I think, I'd like to see us move forward but		
10	its not clear how we can get done between now		
11	and January and maybe we have to tee up some		
12	things for later. I really think we need to,		
13	this is next to the last meeting of this		
14	formation. So we need to get somehow closure,		
15	something we can vote on if you will at the		
16	next meeting.		
17	MR. TRAMONT: I also want to note.		
18	There is 18 pages in this draft of which four		
19	are devoted to fees. So we are talking about		
20	a relatively narrow section of this draft. In		
21	addition, there's the Appendix A language		
22	which is another four pages roughly. It is		

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1	not clear to me that all of the Appendix A	
2	materials are such that there would be a split	
3	vote on them. I think many of the issues	
4	raised in Appendix A could well be folded into	
5	a consensus draft. But I think we need to, to	
6	Dale's point, have a process in place to move	
7	this forward. Jennifer did you want to weigh	
8	in on that topic?	
9	MS. WARREN: Yeah, I wanted to	
10	actually respond to Dale's question whether	
11	there was a proposal on how to go forward. I	
12	think it goes back to what I know the	
13	subcommittee knows put on the table and which	
14	was having the A and B. And each A and B can	
15	have integrated into the whole document and so	
16	you could start off by all the positives where	
17	we have full consensus as Dale referenced.	
18	The A-11, general inspection innovation fund	
19	and then this other long standing issue can	
20	have two views and each view could even have	
21	its own recommendations if you buy the	
22	philosophy and the concerns that underlie or	

underpin each of those views and then it is 1 2 not anything we've produced compare automatically, directly implemented. 3 It is 4 for policymakers to consider we are advising 5 and when we look at the charter this committee 6 is to provide our advice, the fact that we have different advice is something that the 7 8 policymaker should consider. And that would 9 be the approach, the structures already been submitted to the subcommittee toward that 10 which then we could benefit from the full 11 12 committee further discussion and elaboration of each of those views. That would be my 13 14 proposal. Thank you. 15 MR. TRAMONT: Gerry. 16 MR. SEALMME: I was going to say I think that would be fine but I would want the 17 18 committee to actually vote and see if there's 19 a majority opinion and minority opinion. And 20 I think if you did that it would be a good 21 way. 22 MR. TRAMONT: Is there a counter

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1	proposal to how to proceed?	
2	MS. OBUCHOWSKI: I do have a	
3	decision on the vote today.	
4	MR. TRAMONT: We are not voting	
5	today. I'm sorry. That wasn't clear. We are	
6	not voting today.	
7	MS. OBUCHOWSKI: Not even deciding	
8	that we are going to vote or not vote. I don't	
9	think I think that should be discussed and	
10	the work going forward.	
11	MR. TRAMONT: I'm sorry. You	
12	don't think we should reach a consensus on	
13	process today?	
14	MR. GURSS: I think what Janice is	
15	saying that strategy I'm mean usually in a	
16	trial you throw everyone back, you send them	
17	back into the jury room and work hard to come	
18	up with a consensus. Maybe ultimately we have	
19	a hung jury but we need to work hard to see	
20	MR. TRAMONT: To make sure that's	
21	what we have.	
22	MR. GURSS: Yes.	

		Page '
1	MR. TRAMONT: Commissioner?	
2	UNKNOWN SPEAKER (TT): Is there	
3	anything wrong with the minority report?	
4	MR. TRAMONT: Commissioner, I will	
5	turn you back. I think that Bob's point if I	
б	can summarize is that if we have a minority	
7	view, then we should have a minority report,	
8	but its not clear that we have to a minority	
9	view at this stage. It is premature to set it	
10	up that way.	
11	DR. FURCHTGOTT-ROTH: I just want	
12	to reinforce the points you are making Bryan	
13	that the process of timing. This subcommittee	
14	has met many, many times and an enormous	
15	number of hours have been spent on this and it	
16	is not I think for want to try that a	
17	consensus has not been reached. I think there	
18	are simply very deeply divided views about the	
19	best approach for the U.S. going forward on	
20	this issue. But I do think its really	
21	important that we have a very clear process	
22	for final meeting and I would think very clear	

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1	time frames about how much time this full		
2	committee wants to dedicate to this because if		
3	its open ended we will never finish. So you		
4	need to have a really clear idea that we are		
5	not going to spend more than half an hour or		
6	an hour or some amount of time to figure out		
7	how to do this.		
8	MR. TRAMONT: And I suspect its		
9	logistically that		
10	DR. FURCHTGOTT-ROTH: It will have		
11	to be done by phone in advance of the final		
12	meeting as opposed to trying to do it at the		
13	final meeting and trying to get the language		
14	for the final meeting. So I think that's		
15	important.		
16	MR. CALABRESE: But one other		
17	possibility, I mean I see a bit of a conflict		
18	between, there's been an expression of the		
19	full committee to have input. But then voting		
20	this at the next full committee.		
21	MR. TRAMONT: That is why we need		
22	by open teleconference so it would be		

		Page	80
1	publically available is the way we would have		
2	to do it.		
3	MR. CALABRESE: I was just going to		
4	say that perhaps we should have some		
5	opportunity for the committee as a whole to		
6	meet. Just to meet to have a special session		
7	just to discuss this and get everybody else's		
8	views.		
9	MR. PEPPER: Is there a consensus		
10	not on the PTs but on the directionally on the		
11	incentive fund and the recommendations on A-		
12	11. Because again I think your point is		
13	compared to last time around, I think we've		
14	had huge progress on that. I think that there		
15	is broad agreement if not consensus in that at		
16	least on that we should try to get the next		
17	version to come to some closure and then		
18	narrow down where the difference of opinion		
19	are which is on administrative pricing, fees		
20	etc. and have that be the focus of the		
21	discussion.		
22	DR. LEWIS: Well I don't know if		

		Page	81
1	there's consensus to		
2	MR. PEPPER: I didn't say		
3	consensus.		
4	DR. LEWIS: separate A-11 from		
5	the fee question. The answer is you might not		
6	want to at least initially but it may come to		
7	that. On the spectrum fee, I've always had		
8	reservations. I'm the one who has pushed for		
9	it to be revenue neutral and I might even push		
10	for it to be more than revenue neutral. So		
11	those are closer, I agree. And I agree that		
12	we don't want to lose them but they are not		
13	ready for prime time.		
14	MS. ZOLLER: Thank Bryan. I think		
15	one of the things that we should decide when		
16	we re-engage on this process is what our		
17	milestones are so that we know what our		
18	targets are in terms of revised drafts,		
19	comments and a final report. And if I		
20	understood you correctly, you are looking to		
21	have a complete draft one week prior to the		
22	next CSMAC meeting. So I think we need to		

work backwards from that. 1 2 MR. PEPPER: So it has to take 3 place before January, when's our --MR. TRAMONT: 4 20th or some time. 5 12th and 13th. So let me and consistent with 6 what Julie just proposed, I'm going to rough 7 something out and then we'll send it around 8 once we finalize it based on everyone's 9 schedule. I think if we and Michael let me 10 know if this makes sense everyone. Within the next few weeks and before Thanksgiving 11 everyone on the committee would send edits or 12 13 thoughts to Michael who will then sympathize 14 to the extent possible what's in there, what's in the feedback. And that should include a 15 16 close examination of Appendix A and places 17 where you see a consensus. It also needs to reflect some bottom lines on where we are 18 19 headed on your feedback in terms of the bottom 20 line that you bring to fees. Then we will 21 convene a call, a conference call of the 22 entire committee in early December, early/mid-

		Pag
1	December.	
2	MR. HATFIELD: And that has to be	
3	noticed.	
4	MR. TRAMONT: How far in advance?	
5	MR. GATTUSO: Legally 15 days.	
б	MR. TRAMONT: Okay. That's about	
7	as soon as we could do it anyway. So early in	
8	December a committee of the whole would meet	
9	to walk through where we are. Then Michael	
10	and whoever else is working with him would set	
11	up a revised draft that would then be	
12	circulated ideally before January 1 and then	
13	we would meet somewhere after that. So we	
14	would try and do but the big bogie is everyone	
15	getting some feedback who have views about	
16	this to Michael on the draft that has been	
17	circulated and Appendix A within the two weeks	
18	before the Thanksgiving holiday. Does that	
19	make sense?	
20	MS. ZOLLER: I would say, just ask	
21	one other thing that those comments that are	
22	sent to Michael be distributed.	

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MR. TRAMONT: To the whole?		
MS. ZOLLER: Yes, not just one on		
one.		
MR. TRAMONT: Okay.		
MR. HATFIELD: I think this point		
going forward we are essentially committee the		
whole, yes.		
MR. TRAMONT: Okay. All of this		
will go to everyone. Okay, any other edits,		
revisions to the process?		
MR. REASER: One of the things		
that we talked about was like having and I was		
one with the single report but the case for		
these, the case or alternative views on these,		
maybe call it that way. Because I think		
that's and we are driven by world views by		
the way. There are fundamental reasons why		
people have different beliefs about it. That		
ought to be described I think.		
MR. TRAMONT: Well I think we're		
driving towards that idea. We just have to		
figure out how much, we would like to get as		
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much consensus as we can. And if there are 1 2 reconcilable differences, then they will be reflected in alternative views and we'll have 3 4 to figure out as a group where those are. 5 Okay. So that will be our process. But it is 6 super important and incumbent upon every 7 member of the committee to weigh within this 8 first window because its not fair to the group 9 to still try and be struggling with this on 10 January, whatever that date is that we 11 actually meet again, which we are going to try and work out. Okay, anything else on this? 12 13 All right. Great. Thanks. That's a very 14 robust discussion. Gerry, turning to you. 15 MR. SALEMME: Thank you. In the 16 subcommittee on unlicensed spectrum which was 17 comprised of Mike Calabrese, David Donovan, 18 Jennifer Warren, Larry Adler, Marty Cooper and myself, met a number of times. We've had 19 20 productive and stimulating meetings on the 21 role and the importance of unlicensed going 22 forward. I think that many of the members of

the subcommittee were fresh from the white 1 2 space FCC proceeding and that may have driven us to take a broad or more forward looking 3 4 approach than getting to the practical 5 discussion that Michael just withdrew of the 6 incentive subcommittee. And we pulled 7 together I think under Marty Cooper's 8 visionary guidance more of a conceptual piece 9 about what can the future look like with What is the, how can we convert 10 unlicensed. 11 through the great technological advances that 12 seem to be in front of us. The way in which 13 we review the spectrum licensing and can we 14 take a different approach if Sherry and the 15 technologies really do drive us to a new model. And it is, like I said, it is a 16 17 thought piece. It is more of a conceptual 18 piece. We didn't deal with some of the issues 19 that Karl and the administration, I think, may 20 want us to with regard to enforcement and some 21 of the very practical pieces and issues that 22 we deal with on unlicensed. But I think if

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you take this forward looking approach, then 1 2 we start now to develop policies that promote and facilitate the development of these 3 technologies. We do have a vehicle with the 4 5 government's activities to drive the onset of 6 these technology driven sharing platforms more 7 quickly and we think that it would be in the 8 benefit of all to be able to maximize the 9 spectrum of efficiency, which these new technologies, I think we all agree would 10 provide. Now the real debate is how real are 11 12 these technologies? What's a time frame that 13 is reasonable? There was a great divergence 14 of opinion on that matter. Some of us were 15 less bullish than others on how quickly you can make technology drive us to this. On the 16 other hand it is laid out there in the 17 18 recommendations to make sure that we are 19 promoting sharing in the appropriate fashion 20 and not doing, setting up more obstacles by 21 actually licensing regimes that would provide 22 for either economic disincentives or other

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1	technical barriers to allow these new
2	technologies to come forward was I think the
3	major recommendations of the piece. Again,
4	this is an early work Marty has another
5	version of a draft I'm told that we may be
6	able to circulate by even this week. And
7	there are issues around GPS timing. There are
8	issues around the technologies and how we get
9	these out there. I think the government just
10	should be cognizant of as they are moving
11	forward with their day to day practical
12	implications and that's what we try to get to.
13	I don't know if Marty or any of the members
14	want to add anything to that.
15	MR. COOPER: There is an important
16	point that I hope everybody will extract out
17	of this. That is that the technology of metro
18	improving metro efficiency is going to keep
19	improving and the difficult problems is
20	matching up the allocations of spectrum with
21	the evolution of the technology. The point
22	being that if you allocation all of the

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Page 89 spectrum now, there is not the opportunity to 1 2 introduce spectrum technologies and the recommendation is that there ought to be a 3 4 concept like a national spectrum plan. Can 5 everybody still hear me? There ought to be a 6 national spectrum or somebody or somebody 7 looking at the evolutionary practice and 8 various scientific ways in trying to match up 9 the technologies with allocation. MR. TRAMONT: 10 Thanks Marty. Any 11 other feedback for Gerry? 12 I just want to thank MR. DONOVAN: 13 Gerry and I want to thank Marty for some just 14 terrific work and Marty my hat's off to you. 15 Now I know why they named Alar after you but I mean you've done a terrific job. Thank you. 16 MR. TRAMONT: And I think one 17 18 thing Gerry wants you to keep in mind, David's 19 report touches on the same issues as your 20 report and I think that probably consistent. 21 MR. SALEMME: Having David on the 22 committee has kept us in I think a disciplined

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1	fashion. We will continue to work on that.		
2	Like I said, this is, we would not have had		
3	this release this quickly. We probably needed		
4	another couple of weeks. A lot of people were		
5	distracted with Michael. And Michael was		
6	distracted with his own incentives.		
7	(Laughter.)		
8	MR. SALEMME: We were definitely		
9	second chair in this process and I think in		
10	another couple of weeks, we'll be able to get		
11	something.		
12	MR. REASER: That is really		
13	interesting talking about the technology and		
14	I agree with Marty that the technology is		
15	going to keep advancing. I think that's a		
16	great thing to keep in mind. I think I had		
17	some concerns about how this is sort of		
18	conflating ideas of sharing technology in the		
19	regulatory regime without really explicitly		
20	saying how necessarily the unlicensed regime		
21	necessarily is the right thing to promote		
22	sharing technologies. It didn't come across		

		Pa
1	to me that there was a logical link between	
2	those two. They could have been made better	
3	in that and then some of the statements in	
4	there about sort of how innovation occurs and	
5	then it is only forced upon people that seem	
6	to be overstated as well. So I would, I'll	
7	try and provide you with specific comments on	
8	that as well.	
9	MR. SALEMME: Thank you. That is	
10	very helpful and it is something that we	
11	actually said that a lot of the technological	
12	advances are actually promoted in license	
13	spectrum advances as well as unlicensed. It	
14	is one or the other exclusive but that the	
15	government had an opportunity now to again be	
16	aware of both and be promoting it in both.	
17	MR. TRAMONT: So Gerry in light of	
18	you, you said there was potentially another	
19	draft coming. You know why don't we stop	
20	working on this just in case.	
21	MR. SALEMME: I think that would	
22	probably be best from a timing standpoint. If	

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		Page	92
1	we get something by the next 48 hours I'll get	2	
2	it out to you.		
3	MR. TRAMONT: Okay. So then our		
4	goal will be to get, everyone should get their		
5	edits to Gerry by December 1 seem fair? That		
6	should give you plenty of time. And then		
7	he'll re-circulate.		
8	MR. SALEMME: I'll be eating		
9	Thanksgiving dinner by then.		
10	MR. TRAMONT: Excellent,		
11	excellent. So the bogey will establish for		
12	the committee is edits by December 1 to Gerry.		
13	And then Gerry will re-circulate well in		
14	advance of the final CSMAC meeting which like		
15	I said will occur on a January date. Okay?		
16	MR. NEBBIA: Gerry, are you going		
17	to be looking at the enforcement issue and the		
18	unwanted omissions issue which are very much		
19	a critical part of this or is that not going		
20	to be included?		
21	MR. SALEMME: We hope to so yes.		
22	Why don't we say yes. And if not it will fall		

off the table.

1

2	MR. TRAMONT: Okay. Excellent,	
3	anything else for Gerry? Okay, so December 1,	
4	a shorter time frame on the Michael edits for	
5	the obvious reason that we have, there's more	
6	work to be done to reach consensus. With	
7	Gerry, we'll send him edits by December 1,	
8	okay? You all may have noticed that sharing	
9	subcommittee report is not included here. In	
10	light of the work that's been done by other	
11	committees and the shortness of time and some	
12	evolution in membership and employment status,	
13	we have decided for the moment to shelve the	
14	sharing subcommittee report and fold it into	
15	next year's, if there is a recharged CSMAC.	
16	I don't want to presume when we are going to	
17	discuss this next. Then it would be folded	
18	into the work. But a lot of it, when Gerry	
19	and others started looking at what the	
20	portfolio was of that subcommittee, a lot of	
21	it had been consumed by other folk's work.	
22	And so we decided to put that off for now. So	

	I
1	with that we are going to take a ten minute
2	break. We will reconvene at five minutes of
3	12 and then we'll go for, I don't know maybe
4	a half or so. Does that sound about right?
5	Okay. So we'll reconvene right before noon.
6	MR. STRICKLING: Let me give you
7	your assignment.
8	MR. TRAMONT: We have an
9	assignment during the break, wow.
10	MR. STRICKLING: That way we'll
11	save some time. What we want to focus on is
12	what you all think about CSMAC in terms of
13	where we are at today. But most importantly
14	how we can improve it going forward. And on
15	the table is the possibility that we choose
16	not to continue. So that's certainly a topic
17	for discussion. Here is what I would like
18	each of you to do during the break. Just take
19	out a piece of paper. I want you to jot down
20	your ideas on three questions. This is wide
21	open. This is in terms of the substance of
22	what we are doing, the process by which we go

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Page 95 about it, the people are doing it. This is 1 2 totally wide open. This is a fairly standard 3 analysis. Ouestion number one is what things 4 that CSMAC is doing now do you want to see 5 continued? Second question is what is it that 6 we are not doing now that we should start 7 doing? And the third one is I guess kind of 8 what upsets you or what bothers you. What 9 should we stop doing or change about what we 10 are doing? So those are the three questions and if you can have a couple of points under 11 12 I don't know that we will be able to each. collect everybody's input in the time we have 13 14 but we'll try to get a discussion going and 15 then maybe people can follow up by e-mail. So 16 take your break and that's where we will start when we come back. 17 18 (Whereupon the foregoing matter 19 went off the record at 11:50 a.m. and went 20 back on the record at 11:59 a.m.) 21 MR. TRAMONT: If we can everybody 22 seated we'll get started.

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	I
1	MR. STRICKLING: Did everybody do
2	their homework? So obviously let's make two
3	assumptions. One is that let's assume for
4	purposes of this session that the group is
5	going to continue and we'll recharter next
6	spring. But if anybody feels that this group
7	really has outlived its usefullness, feel free
8	to say that.
9	Second thing is, Bryan also
10	mentioned that for a lot of you your terms
11	expire in early January. That doesn't and
12	then he made a comment about half the people
13	rolling off the committee. That hasn't been
14	determined in any sense. Tentatively we are
15	thinking that having everybody whose terms
16	expire reapply and to go through a selection
17	process.
18	But no final decisions have been
19	made on that. So those two assumptions, what
20	I just want to capture and I don't think I've
21	got time to get an exhaustive list but what I
22	think I would to do is start around and we'll

		Page 97
1	just go around the room and just give me one,	
2	give your best idea in one of those three	
3	categories, which is to begin doing it, which	
4	I will put a B up, to continue doing it, for	
5	a C or to stop or change we will use S. So if	
6	somebody would have a burning suggestion they	
7	want to start with before I just call on	
8	somebody?	
9	MR. REASER: I think that we ought	
10	to begin, you did a pretty good job but I	
11	think the department needs to lay out some	
12	very specific things, specific things like	
13	specific recommendations. Give a specific	
14	task of things you want to do. This has been	
15	a problem in other federal advisory committees	
16	I've been involved with. They come up with a	
17	specific thing that you need help with and	
18	then structure the committee so that you can	
19	get the help you need to do stuff rather than	
20	us just flap our gums about what we think is	
21	important.	
22	MR. CALABRESE: But one of the best	

Page 98 parts of this was that we had when we started 1 2 it was very drab, 15, 16 17 things that were relevant that the committee could provide 3 4 advice on. And the opportunity that was very 5 helpful because I think that gets people's 6 passion up and interest up and participation 7 up and that was a good move. 8 MR. STRICKLING: So we did try to 9 at least put topics out there. I'm putting 10 yours as a nest to start to be even more 11 specific than we were. So I'm going to start 12 with you. We are just going to work away 13 around the room. Give me your best idea. 14 Greg? 15 MR. ROSSTON: I didn't pay 16 attention to your charge before the break. 17 I'll pass for now. I'm unprepared. 18 MR. STRICKLING: Usually when 19 people come to class unprepared they go back. 20 Well Janice has got her hand up. We'll go 21 that direction. Janice. 22 MS. OBUCHOWSKI: I think that the

debate is very healthy. I admire this 1 2 committee for actually engaging in debate and having a difference of opinion and what I 3 4 would like to tee up perhaps is finding a 5 couple of topics that we had either neglected 6 or haven't looked at more carefully and pursue 7 them. I want to again congratulate David. On 8 the topic of sharing it is going to be as 9 important as anything economic going forward. We are going to be in an environment. So I 10 11 would like to tee up that topic of sharing and perhaps take some of the tough issues that we 12 13 didn't quite resolve including the big 14 intellectual one of what happens with an 15 incumbent who pays, how deep the property 16 rights run, what is the proper role of 17 government and take it one step further 18 because that's going to be crucial. 19 MR. STRICKLING: Is there some way 20 we conduct the debates that could be improved? 21 Because in general we don't do much debate 22 here although it sounds like the next meeting

	Page 100
1	will have more of a debate flavor to it in
2	terms of the work of Michael's subcommittee.
3	But is there something about the way our
4	meetings are structured that would even make
5	this more important or better than we do it
6	now?
7	MS. OBUCHOWSKI: One thought I had
8	when we brought in experts, they have enlarged
9	our approach. And perhaps it would be good to
10	solicit some views before of experts we would
11	like to hear from.
12	MR. STRICKLING: And the
13	suggestion was made that maybe at the next
14	meeting to bring in a couple of people who can
15	talk authoritatively about the fee question
16	and use that as the jumping off point for the
17	debate people like that ideas.
18	MS. OBUCHOWSKI: I think facts are
19	good.
20	MR. STRICKLING: Facts are good.
21	DR. McHENRY: Karl asked for
22	specific recommendations for calling back the

	Page
1	next meeting. I don't understand your
2	recommendation or I don't agree. Rather the
3	debate, give it to the staff on what they
4	thought about it and too vague or send it
5	back, tweak it.
б	MR. STRICKLING: I wrote it as a
7	to have staff provide feedback on the
8	recommendations. That's your idea? Okay.
9	Bryan?
10	MR. TRAMONT: I guess I will just
11	piggyback off of Janice. I really the panel
12	of experts idea. I think we really have had
13	very robust discussions when we've brought in
14	outside people either within government, from
15	academia what have you. I just think it's a
16	very healthy process and that maybe that
17	should be baked into the delivery process on
18	reports that we have, as part of every meeting
19	or before there's ever a final report there's
20	a panel of experts that we listen to and probe
21	a little bit as a group on some of those
22	issues.

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1	MR. STRICKLING: Okay. Jim.
2	DR. LEWIS: I am going to just
3	reserve on my comments, okay. I know that may
4	not be entirely fair but we were the group
5	that suggested setting this place up. This
6	came out of the CSI report. I've been
7	thinking about it for a while now so I want to
8	give it a little more thought.
9	MR. STRICKLING: Okay. Anybody
10	who wants to, whether you get a chance to talk
11	today or not, feel free to send me comments.
12	My e-mail is just lstrickling@ntia.doc.gov.
13	I would be very interested in anything even
14	after the meeting.
15	MR. GURSS: One of them is fed
16	coordination with the FCC because so much of
17	what we are talking about really is not
18	strictly dealing with a federal spectrum.
19	MR. STRICKLING: Okay.
20	MR. DONOVAN: I think I sort of
21	like the structure of the way its laid out.
22	I think the idea of providing the specificity

		Page	103
1	recommendations is important. Otherwise we		
2	can spin off. If we keep with specific		
3	recommendations to help from that develop sort		
4	of the debates regarding basic principals		
5	regarding property rights. I don't want us to		
6	lose, I'm kind of a nuts and bolts guy. I		
7	don't want us to lose that idea of we need to		
8	provide specific recommendations rather than		
9	just become a more global debating issue.		
10	MR. STRICKLING: Very good.		
11	Harold.		
12	DR. FURCHTGOTT-ROTH: I have		
13	several suggestions.		
14	MR. STRICKLING: Give me your best		
15	one. We'll come back around.		
16	DR. FURCHTGOTT-ROTH: Well okay		
17	just very quickly. I would strongly consider		
18	setting up several smaller advisory		
19	committees. I think the chart for this		
20	committee has already been far too broad. I		
21	would think that the department and NTIA would		
22	get far better use out of smaller groups that		

	Page 104
1	they go to with narrow expertise but they
2	could go to more frequently with specific
3	issues that come up. I think that reinforces
4	Rick's point about coming up with specific
5	questions.
6	MR. STRICKLING: Okay, Mark.
7	MR. CALABRESE: I like, this is a
8	great committee. I like all the people. You
9	work hard. You've got a lot of visionaries,
10	a lot of smart people. At the end of the day
11	its got to be helpful as to change things, new
12	things, new innovative and capture things. So
13	it was very helpful with respect to inventory,
14	to have Karl's feedback. I mean he makes a
15	substantial my first reaction was, what but
16	when he really dove in it was extremely
17	helpful for the purposes of our thing. So I
18	like feedback coming back on whether we are on
19	the right track or not because it has got to
20	be helpful. Anybody can right words but the
21	words have to be meaningful and change things.
22	That was very helpful.

Page 105 MR. STRICKLING: Okay, we'll put 1 2 another checkmark back from staff. Susan. 3 MS. CRAWFORD: Following up on 4 what Mark just said. As someone trying to 5 participate from a distance, its almost 6 impossible. So, better staff support, staff 7 interaction. Knowing that the meeting 8 schedule is going to be nine months in advance 9 or six months in advance should be possible. Being able to see drafts of reports regularly 10 and understanding what the process is and 11 what's going to happen next, I think will be 12 13 very helpful. 14 MR. STRICKLING: Okay. Mr. Calabrese. 15 MR. CALABRESE: I would come back a 16 little bit with what I believe someone said 17 over here is that I think we are all maybe 18 more strongly, because I think we are overly 19 20 siloed in subcommittees and that we would 21 really benefit to have as we saw perhaps with 22 the fees question for example, to have a

Page 106 discussion of the, set aside time for 1 2 discussion of the whole early in the process of each subcommittee. And then that the 3 subcommittee go off and with homework in a 4 5 sense to work out some of the things that are 6 explicated by the full committee. And then 7 perhaps a second time at the end set aside 8 some substantial time for discussion by the 9 whole committee before the vote to give final approval to the report. Now I realize that may 10 mean that the committee needs to either have 11 somewhat longer meetings or perhaps meet an 12 13 extra time or two each year. But I think that 14 would have been valuable because right now, a 15 guy would bet that almost everyone of the 16 subcommittee reports at least since I've been on would be different, would have been 17 18 substantively different had we done it that 19 way. 20 MR. STRICKLING: Okay and maybe 21 that could be tied in with the expert panel as 22 well.

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1	MR. CALABRESE: Oh sure.
2	MR. STRICKLING: General
3	discussion, subcommittee goes off to write and
4	work. Dale.
5	MR. HATFIELD: I am going to turn
6	to substance and I think we've still got ways
7	to go on more clearly defining spectrum usage
8	rights and then a compound recommendation and
9	then more efficient effective predictable ways
10	of resolving those such disputes.
11	MR. STRICKLING: Gerry.
12	MR. SALEMME: More of the same.
13	(Laughter.)
14	MR. STRICKLING: No one has given
15	me a stop yet. Do any of you guys think we
16	are doing wrong or we should stop doing? You
17	didn't do your homework either.
18	MR. SALEMME: I am working on it.
19	MR. STRICKLING: We'll come back
20	to you. Julie. Right after we go to Greg.
21	MR. SALEMME: I am ready this time.
22	MS. ZOLLER: I think we would

	Page 108
1	benefit from having some working methods, what
2	constitutes a forum, how do we settle
3	diverging views, what our time lines are for
4	developing texts, reviewing texts and so on so
5	that we know what to expect, how to manage our
б	workload and have everyone send those.
7	MR. STRICKLING: I'm sorry, you
8	mentioned voting. What were some of the other
9	specifics?
10	MS. ZOLLER: I said time lines,
11	what constituted a quorum.
12	MR. STRICKLING: Jennifer.
13	MS. WARREN: The advantage of
14	going last since Greg changed directions. I
15	would continue the broad representation. I
16	think when you get informed views then narrow
17	finalist of expertise that may not represent
18	the broader capabilities of the advisory
19	committee.
20	MR. STRICKLING: And are you
21	referring to the affiliations or skill sets or
22	both?

Page 109 Probably all of it. 1 MS. WARREN: 2 The broad representation, skill sets, 3 engineers, lawyers, economists, what have you. 4 I'm sure I'm leaving out something. Academic. 5 And then different backgrounds. 6 MR. STRICKLING: In terms of the 7 skill set issue does everyone feel that they 8 were productively engaged with regardless of 9 skill set they brought to this or did anybody kind of wonder gee what am I doing here? Yes? 10 11 No? 12 MR. TRAMONT: I do that all the 13 time. 14 I do strategy and this DR. LEWIS: 15 group doesn't do strategy. So what the heck. 16 What do you need a strategist for? 17 MR. STRICKLING: Mr. Pepper, Dr. 18 Pepper. 19 MR. PEPPER: Aligning with Dale on 20 I think that notwithstanding the substance. 21 frustration on the fees issues because its really hard. I think one of the values is 22

Page 110 that we actually focus on the hard issues and 1 2 start those conversations. And the big one 3 that sort of lurks behind everything is what 4 do we mean by interference with technologies. 5 Greq would not define what we mean by 6 interference in different contexts, especially 7 when we start, you know, at the hear of, 8 sharing is the heart of everything that we are 9 talking about. I don't think we've really taken that on. 10 11 MR. STRICKLING: Greq? 12 MR. ROSSTON: I am ready now. I'm 13 going to follow on Karl and the substance. I think that a lot of what we talked about is 14 15 the and somebody brought it up, having the FCC 16 input here. I think looking at what's the, 17 although it is sort of circumscribed as the rule of NTIA and the FCC, trying to figure out 18 where those lines really are and trying to 19 20 make things work together seeing what we can 21 do as a committee to make recommendations for 22 where there's better able to work together,

	Pa	age i
1	who should take charge of what things and how	
2	to get things done between the FCC and NTIA.	
3	MR. STRICKLING: Okay, so we'll	
4	come back around and see if folks have Oh	
5	I'm sorry, on the phone. Gerry are you ready?	
6	MR. SALEMME: I think if we could	
7	get earlier drafts from the subcommittees even	
8	in a bullet format, I think that it would help	
9	us help influence that process early on. So	
10	I know that the feedback, really it would be	
11	helpful.	
12	MR. STRICKLING: And do you have	
13	an idea as to how to do that?	
14	MR. SALEMME: I think that instead	
15	of waiting until there is a perfect document	
16	that is actually in a narrative form, that you	
17	can start to send out bullet points around	
18	ideas. This is an outline. I think an	
19	earlier outline and have the whole committee	
20	work on an outline would be better.	
21	MR. STRICKLING: Okay. On the	
22	phone, any ideas?	

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1	MR. COOPER: I would encourage us
2	to continue to look for offsite venues once or
3	twice a year at Washington, places where we
4	can go and actually be exposed to real world.
5	MR. CALABRESE: Santa Barbara.
6	MR. STRICKLING: Anybody else on
7	the phone? So let's go back around. You can
8	pass or if you have something
9	MR. REASER: I was just going to
10	say that the, on the duty side, the defense
11	side, where they use panels and then they have
12	deliberations as a group without the panel. It
13	is actually a fairly decent model of DoD. The
14	other thing, on the debate thing, one thing
15	that I do at Raytheon is actually hire a
16	professional moderator on that to help tee up
17	what the arguments are and keep things moving.
18	I think that actually is quite useful in
19	having sort of a agnostic person help sort of
20	tee up what the debate discussion is. And I
21	think just think that's really kind of a neat
22	model if you want to have a debate on

something like whether its these or whatever 1 2 else. 3 And lastly, is that one thing I would recommend, I talked to Jennifer. 4 Tt. 5 might be good as in conjunction is to schedule 6 some meeting rooms afterwards so we can 7 actually work on the text in realtime. I'm 8 sort of use to the IT model where we basically 9 change to a computer some place in some God forsaken hole in Geneva and have to bang out 10 texts in realtime. In the end that's it all 11 12 comes down to the text. And so it is better 13 to just sometimes have a physical meeting or 14 at least provide for that, that we can do that if we needed to. 15 16 MR. TRAMONT: Janice. I would like to 17 MS. OBUCHOWSKI: turn to international. We have the WRC coming 18 up. You saw it in Guadalajara a lot of 19 20 countries besides ourselves were thinking 21 very, I mean we weren't along and thinking 22 very strategically about what to do with the

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		Page
1	radio spectrum. Was it an accident that the	
2	French won the chair of that particular	
3	bureau? And I would like to sort of look at	
4	the positive, sort of sit back and say what	
5	makes us great? What, using this resource	
6	differentiates the U.S., makes us great, could	
7	be done better so that could shape up some of	
8	our thoughts going forward.	
9	MR. STRICKLING: So how should I	
10	articulate that?	
11	MS. OBUCHOWSKI: Well that could	
12	be tied in a little bit to bringing in	
13	experts. I mean, for example, I can talk	
14	about the DoD side of things. Somebody like	
15	John Stenowitz, defines net centric work	
16	there. I mean that's a very defining thing	
17	about U.S. strategy. I'm sure there are four	
18	or five experts on other sides, the space	
19	policy, unlicensed policy, somebody a top guru	
20	from one of the best operating wireless	
21	companies. I would like to hear from them.	
22	I would like to shape that debate on sort of	

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		Page
1	a high road of what we ought to be doing with	
2	spectrum that could make ourselves even better	
3	both domestically and internationally.	
4	MR. STRICKLING: Okay, good.	
5	Mark.	
6	DR. McHENRY: I think these	
7	meetings are too short. I mean we had ten or	
8	15 minutes of discussion on a topic and its	
9	over and then we go to the next one. It is a	
10	lot of trouble coming here and setting it up.	
11	We ought to spend the whole day and spend and	
12	hour or two on this. This whole thing today	
13	with incentives, it was very interesting and	
14	it was over.	
15	MR. STRICKLING: What about other	
16	things, let's just draw poles. Longer	
17	meetings? People generally like that idea?	
18	Don't like that?	
19	MR. PEPPER: I think the Boulder is	
20	an example of that because we were offsite.	
21	We spent more time and we actually longer	
22	deeper discussions that actually I think led	

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1	Page 116
1	to some things. So, we actually have a
2	natural experiment.
3	MR. STRICKLING: So people
4	generally like this idea, longer meetings?
5	MR. SALEMME: That was Rick's idea
6	of having time to work after the meeting
7	officially.
8	MR. STRICKLING: And maybe come
9	back to the group as a whole.
10	MR. SALEMME: And it would be
11	advanced scheduling.
12	MR. STRICKLING: Okay, good.
13	Bryan anything you want to add?
14	MR. TRAMONT: I'm good.
15	DR. LEWIS: I am not quite sure
16	how to do this. Maybe not making the members
17	of the group would be good but when you go to
18	other spectrum you have always these kids
19	coming up to you and saying they have new
20	thing. I don't know if we got populated
21	enough with that. So maybe having some way to
22	advise people and what, we don't want to do

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plans that are only going to be good for the next couple of years. What is five years to ten years and Dale you said you use to do this with the FCC. You had all sorts of crazy people.

6 MR. HATFIELD: A lot of this need 7 to be done with some sort of funding for 8 academics because a lot of this really meets 9 that as being done by young academics who cannot afford to come here. So I realize that 10 11 funding is an issue. Maybe we should pass the 12 hat, I don't know, among us or something to be 13 able to encourage really the right and yet up 14 and coming technology people to communicate to 15 us. 16 MR. STRICKLING: Maybe we could 17 start the Dale Hatfield CSMAC public interest 18 internship. 19 MR. GURSS: I agree with bringing 20 more experts and some of these new ideas but 21 at the same time I think its really helpful to 22 hear from the current, I'm sorry the community

	Dago 110
1	Page 118 because for every great idea there's an impact
2	on an incumbent. And so when we talk to
3	people from the federal site, the actual user
4	community of whether its not federal side. I
5	think that helps bring some of the theory into
6	reality.
7	MR. STRICKLING: Do we have people
8	on the phone, do we have access. Marty spoke.
9	We'll come back around. David anything
10	additional?
11	MR. DONOVAN: Yes. I don't know
12	if this is appropriate or not. I mean we talk
13	about special policies and investment and
14	developing for jobs and what have you but
15	should we hear from folks in the investment
16	community who are dealing with high tech
17	investments on a regular basis? What are they
18	looking at? I mean we are talking about
19	fundamental property rights and interference
20	rights. It would seem to some extent we might
21	want to see what they are thinking now. That
22	can be scary. I do understand that. My

		Page
1	401(k) certainly knows that. But I think it	
2	might be something worthwhile.	
3	DR. LEWIS: Just to elaborate on	
4	that a little bit. I think we are saying the	
5	same thing. These don't have to be new	
6	members.	
7	MR. STRICKLING: Mark, anything	
8	additional?	
9	MR. CALABRESE: This needs to be	
10	vetted a little further again because it	
11	changes sometimes and some people said no,	
12	some people yes. But you know one of the	
13	things that the FCC is working on, on many	
14	applications is what you have to submit to get	
15	your license renewed. And it seems like they	
16	have taken a bold step on a renewal and there	
17	is a lot of feedback. In other words have	
18	been cited? How are using? Where are your	
19	sites? What are you charging? Are you	
20	promoting secondary markets? All these type	
21	of things. You can see that it sort of	
22	leading up to whether you get a renewal or	

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1	not. And it maybe something of an alternative
2	with fees but maybe something we can look at.
3	In other words, do you automatically get a
4	renewal? By simply saying well I'm working on
5	it and we bid some innovative technology and
6	that's all you need to send, you get another
7	ten years. What do you get here? 100 years?
8	MR. REASER: Three to five,
9	sometimes 90 ninety days.
10	MR. CALABRESE: Really? 90?
11	MR. REASER: I do this. If I ever
12	got a five year, I've never gotten a five year
13	assignment at NTIA yet. I haven't got one
14	yet. Typically for a fielded operational
15	system that has been in the field for 30
16	years, I get like a two or three year
17	assignment. And then we have to do a renewal.
18	And I hate to say this, but part of this is
19	good because I'm going to tell you there is,
20	I didn't say this but there's some dead wood
21	in the database that we do need to somehow
22	deal with. Some of these things, there are

Page 121 systems that don't exist anymore. 1 We are 2 going through and retiring those. This five 3 year renewal, five year review process we are 4 going through every year and so we try to say, 5 okay we don't need this, we don't need that. 6 So having time lines does force you to go back 7 and say you know I had this carton of milk in 8 the refrigerator. Hmmmm that's three years 9 ago. And that's kind, I think its important. But five years is sort of the max and I 10 11 haven't gotten one of those yet, in four 12 years. 13 MR. NEBBIA: You are just one of 14 the people on our list Rick. 15 MR. STRICKLING: Susan, anything 16 additional? 17 MS. CRAWFORD: Just having 18 thoughts. More academic members and better 19 liaison to the FCC I think are two suggestions 20 that would be helpful. 21 MR. STRICKLING: Okay. Michael. 22 MR. CALABRESE: Yes, I think I

would second, in terms of the diversity a 1 2 little more, a few more non-industry members 3 whether academic or not. And building on what I said before, if we are going to expand the 4 5 amount of discussion time we have at the 6 general meetings through longer meetings and 7 more meetings, that I think we should also not 8 limit the discussion to the, we've been 9 dealing with sort of intermediate issues. In other words things that are right in front of 10 11 NTIA perhaps now in terms of, you know, 12 incentives or whatever. But also have maybe 13 some time set aside to talk about long range 14 national spectrum policy. Maybe that's where, 15 maybe that's where some of the experts that 16 Jan has mentioned come into play but what are 17 some of the big things that maybe people 18 whether they are industry or government have 19 their heads down on what they have to get done 20 in the next year or two while I'm thinking 21 A little more bigger term discussion. about. 22 MR. STRICKLING: Good, good. Dale

anything new? 1 2 MR. HATFIELD: No, I think I am 3 good. 4 MR. STRICKLING: Gerry, anything 5 additional? 6 MR. SALEMME: I just think the 7 reality check that Janice raises all the time 8 regarding budget, so whether its OMB budget 9 considerations, congressional budget office. I mean I do think a lot of the things that we 10 are talking about are driven by an industry or 11 12 an academic perspective with really the real 13 world was the daily budget issue that's being 14 dealt with. MR. STRICKLING: So what would 15 16 like me to board? 17 MR. SALEMME: You know, more 18 participation of congressional and 19 administration budget considerations. 20 MR. STRICKLING: Okay. Julie, 21 anything that we don't already have up here? 22 MS. ZOLLER: No.

Page 124 MR. STRICKLING: John? Jennifer? 1 2 MS. WARREN: I am done too. In 3 associations a lot of time non-participation 4 over a period of time results in, you know you 5 get kicked off the committee. You don't have 6 a right to vote. I think something to ensure 7 ongoing participation by members is a good 8 thing. And then building on Janice's point, 9 I think perhaps an educational brief on the international regulatory requirement. 10 Really what is the ITA and what does it do? 11 Because a lot of times people know it exists but only 12 at a certain level. And I said third. 13 With 14 the offsites that somebody suggested or maybe 15 several did. That might be an opportunity to 16 bring in local folks in those areas wherever 17 we have that as opposed to just trying, 18 dealing with Dale's travel issue, academics or 19 young folks. This might be a way where in 20 California taps some expertise there or ideas. 21 Okay. MR. PEPPER: So just 22 unpacking the panels. One of the things we've

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1	done in the past which was very effective was
2	actually, it was a subcommittee but anybody
3	from the committee of a whole that wanted to
4	would be three things by the agencies.
5	Remember Janice we did that? It was extremely
6	effective to really understand their
7	perspectives and that to me is a little
8	different than sort of panels. It is sort of
9	deeper. We could put it under that but it's
10	a deep dive with the user community, the
11	federal user community.
12	MS. WARREN: I think we did one or
13	two.
14	MR. ROSSTON: I would be happy to
15	host at Stanford so if you wanted to get some
16	input.
17	MR. STRICKLING: Okay, this is
18	very, very useful. Good discussion. I think
19	we got a lot of good ideas out here. We'll
20	take these back and come back to folks with
21	some suggestions for how we think this group
22	could be even more helpful to us than it is

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1	now. And I think these will go a long way
2	toward doing that. But in the meantime if
3	anybody wants to add any thoughts or send
4	anything to me privately that you didn't want
5	to express in front of everybody else like you
6	really got to rid of so and so. Just send
7	those to my e-mail address and we'll take
8	that. So I'm going to return the mic back to
9	our co-chairs, Bryan and Dale.
10	MR. TRAMONT: All right. Thank
11	you. Thank you very, very much. That was
12	very helpful. We are now going to open up for
13	public comment in reaction to the meeting
14	today.
15	MR. FELD: Thank you. I wanted to
16	place before you here for the committee.
17	You've done some work on openness and
18	transparency and I read the recommendations of
19	the transparency working group and the report.
20	And these are all very good, very positive.
21	What I would like to actually propose for the
22	committee to consider and I say this as coming

Page 127 from an organization where one of our goals is 1 2 to try to facilitate public debate on a number 3 of policy issues and if anybody has seen our 4 website, we try to break these things down for 5 public participation. There is a tremendous 6 opportunity and need to focus on even more 7 basic government ideas about openness. I say 8 this with the following. Number one, I 9 recognize the agency has made quantum leaps forward in the last year and a half with 10 regard to its efforts to do outreach to the 11 public and try to facilitate transparency. 12 13 Public transparency is expensive and it is 14 more resource intensive I know than people tend to give it credit for, which is another 15 16 very difficult problem. It is very easy when 17 you look at a well organized website that 18 flows easily and explains basic concepts about 19 the agency. To think well that's easy, 20 everybody ought to be able to do that. Ι 21 recognize that from an agency perspective this 22 is difficult. The issue though for us is we

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1	have been trying to explain things like what
2	is federal spectrum? What is NTIA? What is
3	the relationship between NTIA and the FCC? Is
4	that there is a lot of confusion and
5	misinformation even among people who are
6	participants in federal policy. And my issue
7	is I've mentioned to some NTIA staff is I
8	don't have time to spend trying to explain to
9	people how their conceptions of this are wrong
10	and neither does anybody here in this room.
11	What I would like to ask this committee to
12	consider as recommendations to the agency and
13	since the assistant secretary is here that we
14	as public knowledge and I've spoken to New
15	America Foundation and I suspect that there
16	other others such as Sunlight Foundation would
17	be very interested in helping the agency
18	trying to develop materials that could be
19	hosted either on the agency's website or on
20	our website, depending on I know sometimes
21	there are legal issues with regard to the
22	accepting of help from the private sector and

Page 129 non-profits. With regard to some of the basic 1 2 issues of what is going on here and try to 3 break these down. Try to highlight some of 4 the things that the agency is doing, point 5 people in the right direction if their issues 6 are addressed through other sub-parts of the 7 agency or at the FCC. In addition, we think 8 that there is a need for a debate on where the 9 debate should take place. As everybody is aware here in this room there are a lot of 10 issues now in policy. While I do not want to 11 pretend that suddenly this has become the 12 issue on which the election turned or anything 13 14 like that. Nevertheless it is true that the 15 number of people who are actually interested 16 in this and trying to find out information and 17 trying to determine where you go to have 18 debates about things like spectrum sharing and 19 federal spectrum and private sector use of 20 spectrum and spectrum efficiency, is again 21 just growing astronomically as compared to the 22 minuscule number who had previously been

Page 130 interested in such things. So we would just 1 2 like to raise this for this committee. Т would like to offer our assistance as an 3 4 organization in trying to provide some recent 5 sources for this and I expect that if the 6 committee made a recommendation that the 7 agency expressed interest that we would not be 8 alone in wanting to facilitate this sort of 9 basic transparency for the agency that would 10 help to inform this debate. Thank you. 11 MR. TRAMONT: Great. Thank you 12 very much. We will have to think about it as we move forward. 13 Yes? 14 MR. SNIDER: Jim Snider. I would like to elaborate on Harold's comments about 15 16 the transparency, this committee and agency more broadly. First of all I want to thank 17 18 the committee. I was at the last session for 19 a fairly extended discussion about the 20 transparency, the practices on the committee. 21 I recall I raised those issues at the last 22 four or five meetings. Some of them I

Page 131 consider quite significant issues. One great 1 2 breakthrough is it appears to be readable. Ι read it. I understood it. 3 That's a real 4 change and I appreciate that. Now, some of 5 the things that still have not been done? One 6 of the questions is Snider's question has been 7 raised at the last meeting. So I will raise 8 some of the ones that -- so video. As of last 9 night the video of the last meeting is not, it's a webcast of the meeting. Now unlike 10 11 previously where there's a little placeholder 12 for video that wasn't put up. In this case, 13 there's not even a placeholder, which maybe 14 the policy of putting the video has been abandoned. I don't know. 15 It was webcast but 16 there is no video placeholder and its now several months later and there is no video of 17 18 the meeting. 19 Last night I checked two of the 20 draft reports presented today were not there. 21 The incentives and the unlicensed, if you look 22 at the reports, they were dated November 4 and

November 1. At a minimum the dates should 1 2 accurately reflect when they are publically The impression was that some 3 available. 4 people on this committee had gotten those 5 ahead of time and seen them but they are 6 absolutely not up on the website. The general 7 principal the open government community uses 8 is outsiders should have equal access to 9 public information as insiders. And this committee has not followed that in multiple 10 ways. And I'll give you one which is 11 particularly annoying to me and I consider to 12 13 be very important and that is notice for these 14 meetings. So let me first of all, how many 15 people on the committee brought their notice 16 from going to the website for this meeting 17 today or going to the federal register to get 18 notice of this meeting. How many of you used that as your vehicle forgetting? Okay, well 19 20 I have requested repeatedly over the last year 21 to get e-mail notification of these meetings. 22 That is how it is done for busy people. Ι

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1	cover more than 400 organizations and	
2	websites. I do not have the time to	
3	constantly go check those websites to see if	
4	a regularly scheduled meeting may or may not	
5	be posted. I have had no success in getting	
6	that. I have gotten private e-mails from	
7	certain officials when I beg and plead tell me	
8	when its going to happen. But I have not been	
9	able to get those meetings. Not only are you	
10	able to get them but all the lobbyist and	
11	others affiliated with your organizations have	
12	been able to get those. The public should be	
13	able to get those notices and the public	
14	should be able to get them. So when you decide	
15	to have the meeting on a specific date, the	
16	public has equal access to that information.	
17	Now at the last meeting I did try to call in.	
18	So I was told it was going to be webcast. So	
19	I had an iPhone, I happened to be in New York	
20	City. Well sure enough the webcast did not	
21	work on the iPhone. Now why? I don't know.	
22	I think because you actually may use Flash and	

there's this thing with the iPhone. But there 1 2 was no disclosure that the webcast would not work with the iPhone or Flash and I e-mailed 3 4 several times afterwards to find out why I 5 could get access and was not told. I also 6 called in. That was the second method to 7 access the meeting. And there I was able to 8 get through but the quality was quite poor and 9 I know you had a number of complaints about the quality. Some things I could hear and some 10 There was a lot of ambient 11 things I couldn't. 12 noise in the background. But mostly I could only follow a small bit. 13 And then also not 14 being able to see the presentations. You are very much a second class citizen and it didn't 15 work out. I also tried to call in and didn't 16 17 work for the public comment specifically 18 because it was asked whether all of Snider's 19 comments were addressed. And I wanted to 20 respond to that question. So another issue 21 led to this insider/outsider what should be 22 the standard of compliance. So we have this

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lofty rhetoric in the charter and the 1 2 administrator and said oh we believe in 3 transparency but if you actually look even at the discussion at the last meeting. 4 It is 5 very clear that the standard of compliance is 6 we are going to do the minimum amount required 7 by the law. We are going to do what the law 8 requires and no more. This whole notion of 9 Open Government directive and the spirit of 10 transparency that is not how it is being 11 implemented. But even worse and this is something you probably are not familiar with 12 13 is we know that the transparency laws are very 14 poorly enforced, the particular FOIA. I have been doing a series of FOIA requests of this 15 16 agency for more than a half a decade. And the 17 agency knows that there is no real enforceable 18 mechanism on FOIA and when they are 19 controversial requests, has ignored them. And 20 that has continued very much through the 21 present administration. So we get this lofty 22 rhetoric but when you want to ask something,

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Page 136 simple things one block after another is 1 2 created and it doesn't work. The FOIA is 3 broken in this agency and there's been no 4 serious attempt to fix it. So I can go with 5 the details there but the main request that I 6 have of you today is that when you apply to 7 this committee, you submit an application with 8 a statement of interest and qualifications. 9 That application is legally public information. And it should be disclosed 10 online. Now there is a reason that 11 application is required to be disclosed 12 13 publically. It is so that the public can 14 assure the accountability of both the person making these statements of claims about their 15 16 credentials and also the government officials 17 who are suppose to be supervising the accuracy 18 of those claims. Clearly government officials have an interest in looking at credentials to 19 20 assure that there is no political 21 embarrassment associated with the statement of 22 credentials. But, there are many other issues

Page 137 that don't fall in the realm of political 1 2 embarrassment that are important. Like fraudulent credentials, misstatements or 3 exaggerations which an NTIA official may have 4 5 no interest to actually investigate but are in 6 the public interest and by requiring these 7 things be publically disclosed, these things, 8 there is a disincentive to submit that type of 9 application. And that's why we have that law. So to test out the laws regarding FOIA and 10 FACA how they were being implemented, I 11 12 submitted a FOIA request for the statement of credentials for one member of this committee 13 14 who I personally knew had a long history of eflating his credentials including acclaiming 15 16 creative work as his own that was done by 17 My theory was that he would others. 18 rationally assume that no one would ever have 19 the ability or incentive to either verify or 20 punish him for claiming other's work as his 21 own in his statement of qualifications. 22 Accordingly I filed a FOIA request for the

Page 138 relevant statement of credentials and was 1 2 rejected by the NTIA. I filed an appeal and 3 was rejected by the NTIA again. But after I 4 appealed the rejection of the appeal to OGIS, 5 the president's new super level of appeal 6 agency, the NTIA did choose to comply with the 7 It released the statement of credentials law. 8 which was filled with the type of misleading 9 credit taking that I expected. I do not want to have to come back to this committee to 10 11 detail all the ways that the statement of 12 credentials was fraudulent. That would be 13 embarrassing for me and I think it would be 14 embarrassing for the committee. And let me 15 qualify to say that someone who misstates his 16 credentials to say that somebody misstates their credentials is no comment on that 17 18 person's intelligence or their relevant skills 19 to serve on this committee. They may do an 20 outstanding job of serving on this committee. 21 That doesn't mean they didn't misstate their 22 credentials in their application. Thus, I

Page 139 request that both Dale and Bryan propose a 1 2 resolution immediately after my statement is complete requesting that the NTIA henceforth 3 4 post the statements of credentials online of 5 any appointed committee member to prevent this 6 type of situation from occurring again. Here 7 is the wording of the resolution I propose. 8 "Whereas the statement of credentials for successful applicants to the special 9 management advisory committee are public 10 records. NTIA's administrators should 11 henceforth post online the official statement 12 of credentials of all applicants approved to 13 14 serve on the spectrum management advisory 15 committee and do so on the same day or before 16 that the names of the appointees are published 17 in the federal register or otherwise made 18 public." 19 Just one other thing that came up 20 in the meeting here. It is guite different 21 and this is a question that you might just 22 want to consider in terms of transparency of

subcommittees as opposed to the committee of 1 2 the whole. If you now decide to have your 3 correspondence as part of the committee of the 4 whole, you may be subject to the much stricter 5 disclosure requirement. Now you could pretend 6 it's a subcommittee and do the same thing and 7 then you are okay. But if you want to openly 8 say it's a committee of the whole, I suspect 9 you will be subject to the transparency requirements of somebody like me would be able 10 11 to. If you have anything to hide or anything like that but you might want to know that I 12 believe that if you call it a committee of the 13 14 whole you are going to be subject to the FOIA 15 laws and transparency. 16 And lastly I would encourage you, Fred Matos has offered to do a training for 17 18 the public. I think consistent with Harold's 19 comments the public interest can very much 20 support more training in this issue. Thev 21 have a great resource and clearly the public

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interest community when it comes to technical

22

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Page 141 issues on spectrum, they are great on many 1 2 Their eyes blur over. They have the things. same problems as often the general public. 3 So 4 you get a little bit more technological 5 sophistication in the debate. I think Fred 6 could really contribute to that. I think that 7 would be a great benefit. I do hope that 8 Bryan and Dale will now introduce that 9 resolution. So its coming up right away so people should be given advance notice when 10 they file applications that they are 11 12 potentially public and consistent with the 13 Open Government directive and all the spirit 14 about transparency. They should be posted 15 online in a timely way with the goal of 16 detouring this type of behavior because the 17 people know when they submit these 18 applications they are public. They are not 19 going to do some of the things that they might 20 do otherwise. I think that's in the public 21 interest. Thank you. 22 MR. HATFIELD: Thank you. Let me

Page 142 respond by saying I don't feel comfortable 1 2 being able to respond on the spot without getting some legal counsel and so forth as to 3 what the various tradeoffs are here in terms 4 5 of what's really as I just frankly don't 6 understand all that. Not going to the merits 7 of what you are saying at all, but I can't do 8 that without further help. 9 MR. TRAMONT: As we did last time, we will look at some of the issues you've 10 11 raised closely on transparency. We have tried to improve our performance in that regard in 12 13 response to your comments last time and we 14 will continue to try to increase the 15 transparency level in our performance on those 16 fronts. So thank you for that Jim. 17 MR. HATFIELD: You might say with 18 the meeting of the whole we understand. 19 Yes and of course MR. TRAMONT: 20 the meeting of the whole will be on the public 21 record and consistent with FOIA as we are 22 advised by NTIA to ensure compliance with

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1	those laws. So, with that and scheduling
2	issues oh yes, I'm sorry. Another public
3	comment. I apologize.
4	MR. MILLER: I am Joe Miller from
5	the Joint Center for Political and Economic
6	Studies and just in the spirit of the big
7	board here, one of the recommendations that I
8	would make as a member of the committee is the
9	priority seems to be, the priority should be
10	education and jobs and I just would have liked
11	to have seen a more conspicuous engagement on
12	those issues and how they tie in to spectrum
13	policy. To Janice's and Jim's point, there
14	are a lot of ideas that can come from a lot of
15	different places and certainly perspectives
16	from folks who engage on education and jobs
17	should have a seat at the table. So I won't
18	go on too long. I don't want to take up your
19	time. I just want to make that point and hope
20	to see wireless mics next time.
21	MR. TRAMONT: Thank you for this
22	comment. And I apologize before. Are there

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1	other comments from the public? I didn't mean
2	to short circuit that process. Or anyone on
3	the phone?
4	Okay, I'm hearing none. We
5	discussed earlier the need to have a meeting
б	before the terms expire for many members.
7	That date is the 13th of January. Based on
8	schedules we are hoping to propose a January,
9	based on data just in this morning, a January
10	5 date in the morning of January 5 or longer,
11	depending on what time my flight leaves on the
12	night of the 5th. So that is a tentative date
13	barring some emerging consensus from the group
14	that does not work. We will shoot for the 5th
15	and apologies. I agree with the
16	recommendation we should try and schedule
17	meetings earlier. Rest assured that
18	scheduling issues are not always as easy as
19	they might appear.
20	MR. CALABRESE: To be clear though
21	the members of the public have heard the 5th.
22	MR. TRAMONT: Yes, that si our

		Page 145
1	hope.	
2	MR. CALABRESE: We won't have a	
3	long diatribe on not knowing about the date	
4	beforehand. So everybody knows, heard the	
5	date. 2011.	
6	MR. TRAMONT: Okay. It's a	
7	Wednesday, January 5. Subject to NCIA	
8	feedback 9:30 is our general start time. I	
9	suspect it will be the same. Any other	
10	comments for the good of the order before we	
11	adjourn? Thank you all very much.	
12	(Whereupon the above-entitled	
13	meeting was concluded at 12:52 p.m.)	
14		
15		
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<u>CERTIFICATE</u>

This is to certify that the foregoing transcript

In the matter of: Commerce Spectrum Management Advisory Committee

Before: US Department of Commerce

Date: 11/08/10

Place: Washington, DC

was duly recorded and accurately transcribed under my direction; further, that said transcript is a true and accurate record of the proceedings.

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