U.S. DEPARTMENT OF COMMERCE

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COMMERCE SPECTRUM MANAGEMENT POLICY ADVISORY COMMITTEE

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COMMITTEE MEETING

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THURSDAY,

MARCH 4, 2010

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The Commerce Spectrum Management Policy Advisory Committee met in the Diplomatic Boardroom of the Herbert C. Hoover Building, 1401 Constitution Avenue, N.W., Washington, D.C., at 9:00 a.m., Bryan Tramont and Dale Hatfield, CSMAC Co-Chairs, presiding.

PRESENT:

DALE HATFIELD, Co-Chair BRYAN TRAMONT, Co-Chair MICHAEL C. CALABRESE, Member MARTIN COOPER, Member MARK E. CROSBY, Member DAVID L. DONOVAN, Member

GARY EPSTEIN, Member BRIAN FONTES, Member * HAROLD FURCHTGOTT-ROTH, Member

PRESENT:(Cont'd)

ROBERT M. GURSS, Member KEVIN C. KAHN, Member JAMES A. LEWIS, Member *

DARRIN M. MYLET, Member

RICHARD REASER JR., Member *

GREGORY ROSSTON, Member

R. GERARD SALEMME, Member

JENNIFER WARREN, Member

* via telephone

T-A-B-L-E O-F C-O-N-T-E-N-T-S

| Welcome and Opening Remarks |
|---|
| Dale Hatfield and Bryan Tramont, CSMAC |
| Co-Chairs |
| Anna M. Gomez, Deputy Assistant |
| Secretary of Commerce for Communications |
| and Information |
| Presentations |
| John Leibovitz, Deputy Chief of Wireless |
| Telecommunications Bureau, Federal |
| Communications Commission 11 |
| Discussion period |
| Karl Nebbia, Associate Administrator, |
| Office of Spectrum Management, National |
| Telecommunications and Information |
| Administration 46 |
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| Draft Reports |
| Mark Crosby and Jennifer Warren, |
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| Darrin Mylet, Transparency |
| Subcommittee |
| Discussion Period |
| Dovid Donovon Adiacont Dond (Domonia |
| David Donovan, Adjacent Band/Dynamic |
| Spectrum Access Subcommittee |

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T-A-B-L-E O-F C-O-N-T-E-N-T-S (Cont'd)
  Michael Calabrese, Incentives
  Subcommittee. . . . .
           Way Forward - Completion of Reports
Adjourn
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| | | Page 5 |
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| 1 | P-R-O-C-E-E-D-I-N-G-S | |
| 2 | 9:15 a.m. | |
| 3 | CO-CHAIR HATFIELD: Why don't we | |
| 4 | get started. I'd like to call the third | |
| 5 | Commerce Spectrum Management Advisory | |
| б | Committee of the second series, the third | |
| 7 | meeting of the second series of CSMAC, the | |
| 8 | meeting to order. | |
| 9 | And it's been suggested that maybe | |
| 10 | we go around the table. I think everybody | |
| 11 | knows everybody, but why don't we just make | |
| 12 | the record clear and so forth, go around the | |
| 13 | table one time and introduce ourselves. And | |
| 14 | then I'll turn it over to Anna Gomez. | |
| 15 | So, why don't we start down here | |
| 16 | and go around the group then. Bob. | |
| 17 | MR. GURSS: Bob Gurss, Association | |
| 18 | of Public-Safety Communications Officials. | |
| 19 | CO-CHAIR HATFIELD: Remember, we | |
| 20 | should use the microphones when we can. | |
| 21 | MR. GURSS: Bob Gurss with APCO. | |
| 22 | MR. FURCHTGOTT-ROTH: I'm Harold | |
| | | |

Page 6 Furchtgott-Roth with Furchtgott-Roth Economic 1 2 Enterprises. 3 MR. EPSTEIN: I'm Gary Epstein, EVP 4 at SkyTerra. 5 MR. COOPER: I'm Marty Cooper. I'm 6 the -7 (Off the record comments.) 8 MR. CALABRESE: Michael Calabrese, New America Foundation. 9 10 CO-CHAIR TRAMONT: Bryan Tramont, Wilkinson Barker, co-chair. 11 MR. LEIBOVITZ: John Leibovitz with 12 13 the FCC. 14 MR. CROSBY: Mark Crosby, EWA. MS. WARREN: Jennifer Warren, 15 Lockheed Martin. 16 MR. MYLET: Darrin Mylet, Adaptrum. 17 18 MR. SALEMME: Gerry Salemme, Eagle 19 River. 20 MR. ROSSTON: Greg Rosston, 21 Stanford. 22 MR. RAY: Neville Ray, T-Mobile

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| 1 | USA. | |
| 2 | DR. KAHN: Kevin Kahn, Intel. | |
| 3 | CO-CHAIR HATFIELD: Okay. Thank | |
| 4 | you. I'll turn the meeting then over - oh, | |
| 5 | I'm sorry. Thank you. I knew I would do | |
| б | that. | |
| 7 | The people on the conference call, | |
| 8 | could you identify yourself, please? Hello? | |
| 9 | MR. FONTES: This is Brian Fontes, | |
| 10 | National Emergency Number Association. | |
| 11 | CO-CHAIR HATFIELD: Thank you. | |
| 12 | MR. REASER: Rick Reaser, Raytheon. | |
| 13 | MR. LEWIS: Jim Lewis, CSIS. | |
| 14 | CO-CHAIR HATFIELD: Anybody else? | |
| 15 | Okay. Why don't, then, I turn it over to Anna | |
| 16 | Gomez who of course is Deputy Assistant | |
| 17 | Secretary of Commerce for Communications and | |
| 18 | Information here at NTIA. | |
| 19 | DEPUTY ASSISTANT SECRETARY GOMEZ: | |
| 20 | Well, good morning. It's my pleasure to | |
| 21 | welcome you again to the Department of | |
| 22 | Commerce for this meeting of the Commerce | |

| 1 | Spectrum Management Advisory Committee. |
|----|--|
| 2 | Larry Strickling, our Assistant |
| 3 | Secretary, is testifying this morning, which |
| 4 | is why he can't be with us today and he sends |
| 5 | his regards. |
| 6 | I want to thank our co-chairs Dale |
| 7 | and Bryan, and all the members, for your |
| 8 | extraordinary commitments of time and energy |
| 9 | to this committee. I know you have been |
| 10 | exceptionally active and have worked hard |
| 11 | within your sub-committees to define issues |
| 12 | and produce some draft reports. |
| 13 | Now, it's a truism that good |
| 14 | spectrum policy is as important as ever. We |
| 15 | can't of course rest on our laurels. And with |
| 16 | being weeks away from the release of the |
| 17 | National Broadband Plan, it is more and more |
| 18 | apparent that good spectrum policy is the |
| 19 | foundation for good broadband policy. |
| 20 | Now, what we're looking at as |
| 21 | we're moving forward, is ways to use a new and |
| 22 | more efficiently spectrum that can make a |

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| 1 | significant contribution to a more competitive | |
| 2 | broadband internet access marketplace. | |
| 3 | Research and development can lead to these new | |
| 4 | and innovative spectrum access technologies, | |
| 5 | and these can spur a new round of innovation | |
| 6 | that will increase domestic spectrum | |
| 7 | efficiency through sharing an opportunistic | |
| 8 | use. | |
| 9 | If there's one thing we know about | |
| 10 | spectrum, is that there is increasing scarcity | |
| 11 | in beachfront properties. So, if we're going | |
| 12 | to get better at expanding the availability | |
| 13 | and affordability of broadband, we need to get | |
| 14 | better at sharing between federal users, | |
| 15 | between commercial users, and between federal | |
| 16 | and commercial users. We need your expert | |
| 17 | advice on this issue. | |
| 18 | Now, the reports that you've been | |
| 19 | working on for today's meeting as well as for | |
| 20 | further discussions on spectrum, inventory, | |
| 21 | transparency, adjacent band interference, | |
| 22 | dynamic spectrum access and incentives will | |

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| 1 | lead the way in spectrum management. | |
| 2 | What we want to emphasize to you | |
| 3 | is to be as specific as possible about the | |
| 4 | actions that NTIA can take to implement your | |
| 5 | recommendations. | |
| 6 | As always, I don't know how this | |
| 7 | happens, the work is just beginning and it's | |
| 8 | going to continue as you continue to define | |
| 9 | and explore these issues in your future work | |
| 10 | and advice to NTIA. | |
| 11 | So, I want to thank you again and | |
| 12 | let you guys get started. And with that I | |
| 13 | will turn it back to our co-chairs Dale and | |
| 14 | Bryan. | |
| 15 | CO-CHAIR HATFIELD: Okay. Thank | |
| 16 | you so much. | |
| 17 | DEPUTY ASSISTANT SECRETARY GOMEZ: | |
| 18 | Thank you. | |
| 19 | CO-CHAIR HATFIELD: Very helpful | |
| 20 | remarks and very helpful reminders. We're | |
| 21 | going to follow the format that we've done | |
| 22 | previously. We'll start with two | |

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| 1 | presentations, and then we'll of course turn | |
| 2 | to the reports from some of the sub- | |
| 3 | committees. | |
| 4 | And so I'd like to turn the podium | |
| 5 | over, if you will, to John Leibovitz, of | |
| б | course, who I'm sure most of you know is the | |
| 7 | Deputy Chief of the Wireless Bureau at the | |
| 8 | Federal Communications Commission. He will be | |
| 9 | talking about his view of spectrum from the | |
| 10 | Commission standpoint and in relation to, of | |
| 11 | course, the National Broadband Plan which | |
| 12 | we're all anxiously awaiting the release of. | |
| 13 | John. | |
| 14 | MR. LEIBOVITZ: Thanks, Dale, for | |
| 15 | the introduction. And, Anna, thanks to you | |
| 16 | and Larry for your leadership at NTIA. | |
| 17 | At the FCC, we consider ourselves | |
| 18 | fortunate to have good friends at the NTIA, | |
| 19 | many of whom we've spent long hours in | |
| 20 | windowless rooms during the transition over a | |
| 21 | year ago. Which seems like a really long | |
| 22 | time, but it was only a year ago. | |

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| 1 | And thanks to Dale and Bryan for | |
| 2 | stewarding this CSMAC. I think one motion | |
| 3 | today might be to add another vowel to | |
| 4 | acronym. | |
| 5 | (Off the record comments.) | |
| 6 | MR. LEIBOVITZ: But the NTIA is | |
| 7 | fortunate to have a solid group of outsider | |
| 8 | spectrum experts - well, insider/outsiders to | |
| 9 | advice the NTIA and it's a good to see a lot | |
| 10 | of people here that I've come to know over the | |
| 11 | past year and beyond. So, for those of you I | |
| 12 | haven't met, it's nice to meet you as well. | |
| 13 | On behalf of the FCC and Chairman | |
| 14 | Genachowski, I'm here to talk about the | |
| 15 | National Broadband Plan which, as you know, is | |
| 16 | due to be released on March 17th. And | |
| 17 | actually, the deadline was moved a month | |
| 18 | because the dog ate our Broadband Plan. Which | |
| 19 | means that my well-planned vacation this past | |
| 20 | week wasn't very well planned, and I'm here to | |
| 21 | report to everyone that especially with | |
| 22 | offshore bank accounts, that there is | |

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| 1 | BlackBerry service in the Cayman Islands. | |
| 2 | It's just 2.5G, not 3G. | |
| 3 | Okay. So, let's talk about the | |
| 4 | National Broadband Plan. The National | |
| 5 | Broadband Plan, as everyone knows, is the | |
| 6 | Recovery Act's special homework assignment for | |
| 7 | the FCC. | |
| 8 | While the NTIA has been hard at | |
| 9 | work on the BTOP grants which were designed to | |
| 10 | stimulate broadband economic activity in the | |
| 11 | near term, the FCC has been working on a | |
| 12 | comprehensive plan for the longer term and | |
| 13 | it's been a lot of work. | |
| 14 | The Recovery Act was not modest in | |
| 15 | its aspirations, so our charter has been to | |
| 16 | look at some of the broad structural issues in | |
| 17 | broadband; deployment, adoption, utilization, | |
| 18 | growth. And obviously each of these alone is | |
| 19 | a huge topic, but also the Act required us to | |
| 20 | go farther and focus on the potential of | |
| 21 | broadband to address big challenges in | |
| 22 | American life; healthcare, energy, public | |

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| 1 | safety, education, workforce development and | | |
| 2 | so on. These are the outputs of broadband. | | |
| 3 | And as the chairman is fond of | | |
| 4 | saying, broadband isn't the answer to any one | | |
| 5 | problem, except maybe broadband, but it can be | | |
| 6 | the solution to every - part of the solution | | |
| 7 | to every problem. And so he's really | | |
| 8 | encouraged us to think as we've gone through | | |
| 9 | this process, not just about the sort of wonky | | |
| 10 | detail policy details as they relate to the | | |
| 11 | infrastructure, but also about how does this | | |
| 12 | stuff really affect people's lives. | | |
| 13 | So, what have we been up to? Over | | |
| 14 | the past nine months the FCC has, among other | | |
| 15 | things, held dozens of workshops and field | | |
| 16 | hearings with hundreds of experts, several of | | |
| 17 | whom are in the room today, sifted through | | |
| 18 | tens of thousands of pages of written | | |
| 19 | comments, built and analyzed very detailed | | |
| 20 | broadband deployment models. I'm a little bit | | |
| 21 | of a model junkie. I've done a fair amount of | | |
| 22 | modeling in my time, and I can say that some | | |

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| 1 | of the models that were developed in this | |
| 2 | process are fairly impressive in their scope. | |
| 3 | We've conducted very large-scale | |
| 4 | consumer surveys. We've reached out to | |
| 5 | partners throughout the federal, state and | |
| 6 | local governments. And we've even taken trips | |
| 7 | abroad to exchange best practices with | |
| 8 | government industry leaders around the world. | |
| 9 | And probably the hardest part, | |
| 10 | we've worked to pull all of our learnings | |
| 11 | together into a consistent and coherent plan | |
| 12 | with actual recommendations on how to ensure | |
| 13 | US leadership with broadband. | |
| 14 | We've been lucky to have a | |
| 15 | committed team of long-time FCC staffers, as | |
| 16 | well as a bunch of new folks coming from a | |
| 17 | diversity of backgrounds in business, in | |
| 18 | academia, public interest to help us pull the | |
| 19 | whole thing together. | |
| 20 | And I've learned a lot of things | |
| 21 | during this process, but I could - I'll share | |
| 22 | one of them with you today, which is that hell | |

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| 1 | is a place where management consultants write | Page |
| 2 | the footnotes, and the lawyers sidecheck them. | |
| | | |
| 3 | (Laughter.) | |
| 4 | MR. LEIBOVITZ: And I say this as a | |
| 5 | former management consultant - a lawyer turned | |
| 6 | management consultant. | |
| 7 | So, we've also been lucky to have | |
| 8 | an insightful and collegial group of | |
| 9 | commissioners to bring a variety of viewpoints | |
| 10 | to the plan. And in particular given today's | |
| 11 | focus, I want to give a special thanks to | |
| 12 | Commissioner Baker. We've very lucky to have | |
| 13 | someone who knows a thing or two, or really | |
| 14 | two million things, about spectrum policy. | |
| 15 | Commissioner Baker's thought | |
| 16 | leadership has been extremely valuable as | |
| 17 | we've gone throughout this whole process. | |
| 18 | So, now before we turn to | |
| 19 | everyone's favorite topic which is spectrum, | |
| 20 | let's take a moment on the topic of mobile | |
| 21 | broadband. And I'm going to echo some of the | |
| 22 | remarks that the chairman made also week and | |

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| 1 | hopefully fill in some more details. | |
| 2 | As the chairman explained in his | |
| 3 | talk with the New America Foundation last | |
| 4 | week, mobile broadband is emerging as one of | |
| 5 | the great innovation platforms in America both | |
| 6 | for innovation and for growth. | |
| 7 | The chairman described a range of | |
| 8 | present-day examples where mobile broadband | |
| 9 | has already begun to improve real people's | |
| 10 | lives, and these examples really only hint at | |
| 11 | the possibilities of things to come. | |
| 12 | So, he described things like the | |
| 13 | school bus that has become a rolling study | |
| 14 | hall because of cellular-connected wi-fi. A | |
| 15 | new category of energy apps that will allow us | |
| 16 | to adjust our usage and consumption on the | |
| 17 | fly. Mobile medical technologies to treat | |
| 18 | chronic conditions like diabetes, but also to | |
| 19 | help in emergency situations, in ambulances | |
| 20 | with first responders. | |
| 21 | And more broadly he pointed out | |
| 22 | that, which is a remarkable fact, that | |

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| 1 | according to Gartner the apps economy, the | |
| 2 | sector of the economy that's been created by | |
| 3 | people developing new applications for | |
| 4 | devices, smartphones and other devices like | |
| 5 | that, is estimated around four billion | |
| 6 | dollars, and it was basically nonexistent a | |
| 7 | few years ago. | |
| 8 | So, this is tremendous growth, and | |
| 9 | a lot of these apps are the brainchildren or | |
| 10 | small companies and entrepreneurs. And of | |
| 11 | course that's additive to all the | |
| 12 | infrastructure, investment that's going on at | |
| 13 | infrastructure and network. So, there's a lot | |
| 14 | going on which you all know, but it's | |
| 15 | important to reinforce the context. | |
| 16 | To a lot of people, the ascent of | |
| 17 | mobile broadband reminds them of the early | |
| 18 | days of the PC or the internet, and has that | |
| 19 | feel of something that's creating whole new | |
| 20 | classes of enterprises both in the public | |
| 21 | sector and in the private sector. | |
| 22 | And I should point out that when | |

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| 1 | we talk about mobile broadband, we're thinking | |
| 2 | about the broader ecosystem. So, obviously | |
| 3 | mobile broadband includes wireless access over | |
| 4 | licensed cellular networks, but it also | |
| 5 | includes Bluetooth and Wi-Fi and other | |
| 6 | unlicensed technologies which have proven to | |
| 7 | be very complementary, I think, over time to | |
| 8 | licensed broadband service. | |
| 9 | Looking ahead, it also includes | |
| 10 | innovative access models which we're going to | |
| 11 | hear more about later on today, including | |
| 12 | opportunistic models that are now being | |
| 13 | developed and tested with support of the NTIA | |
| 14 | and others and some of the people in this | |
| 15 | room, and the plan will have something to say | |
| 16 | about those technologies as well as the more | |
| 17 | conventional ones. | |
| 18 | The chairman pointed out last week | |
| 19 | that there's no guarantee that mobile | |
| 20 | broadband will reach its full potential. | |
| 21 | There are a lot of potential risk factors, but | |
| 22 | one of the greatest is that we simply don't | |

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| 1 | have enough network capacity to meet the new | | |
| 2 | demand. So, the numbers are impressive and | | |
| 3 | you've probably heard some of these before, | | |
| 4 | but I will restate them. | | |
| 5 | So, AT&T reports traffic growth of | | |
| б | over 5,000 percent over the last three years. | | |
| 7 | I think that's probably up since they shared | | |
| 8 | that number with us. | | |
| 9 | Cisco recently updated their | | |
| 10 | wireless visual networking index forecast. | | |
| 11 | They project an increase from 17 petabytes to | | |
| 12 | 740 petabytes by 2014. That's more than 40 | | |
| 13 | times. | | |
| 14 | Research conducted by Validas for | | |
| 15 | Consumer Reports, suggests that the average | | |
| 16 | iPhone user consumes over 270 megabytes per | | |
| 17 | month. | | |
| 18 | T-Mobile at one of our workshops, | | |
| 19 | reported that the average G1 user consumes | | |
| 20 | about 300 megabytes per month. So, in a | | |
| 21 | similar range. | | |
| 22 | The average mobile Aircard user | | |

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| 1 | according to the same Consumer Report study, | | |
| 2 | consumes about 1.4 gigabytes a month, and | | |
| 3 | these numbers reflect tonnage hitting the | | |
| 4 | mobile network. So in other words, this | | |
| 5 | includes, and this is net of the benefits from | | |
| 6 | offloading to Wi-Fi which some reports suggest | | |
| 7 | can be as high as 40 percent of network | | |
| 8 | traffic. | | |
| 9 | And then meanwhile they give a | | |
| 10 | sense of where demand is going. Clearwire | | |
| 11 | reported last week that customers are using on | | |
| 12 | average, seven gigabytes per month. And that | | |
| 13 | number increased dramatically when they | | |
| 14 | upgraded their network to 4G. | | |
| 15 | So, it wasn't very - I'll just put | | |
| 16 | in context it wasn't that long ago that a | | |
| 17 | certain flip phone was the cutting edge device | | |
| 18 | that we all coveted and only a few could | | |
| 19 | afford, but now these kind of devices are | | |
| 20 | being sold as impulse items in the grocery | | |
| 21 | store next to bubblegum and batteries. So, | | |
| 22 | it's pretty incredible what's happened in the | | |

last few years. 1 2 And we have to ask ourselves, I 3 think, what happens when every American has an 4 advanced smartphone or an Aircard or both or 5 some new device that hasn't been invented yet? 6 Today, over 25 percent of 7 Americans are cord cutters, and what happens 8 when these people on the move take the next 9 logical step and really embrace mobile broadband? 10 11 This also includes the government, 12 which is a big user of mobile broadband, including on commercial networks. Everyone 13 14 from the Commander-in-Chief on down now 15 depends on BlackBerry e-mail. 16 What happens when these people 17 move to next generation apps delivered over 18 mobile broadband? The answer is that we're 19 going to need a lot more network capacity. 20 So, wireless wizards like Dale and 21 Marty have taught that there are lots of ways 22 besides adding spectrum to increase mobile

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| 1 | network capacity. You can upgrade to more | |
| 2 | efficient air interface technologies like LTE | |
| 3 | or WiMax. You can employ smart antenna | |
| 4 | techniques like beamforming or MIMO. You can | |
| 5 | increase spatial reuse through cell splitting | |
| 6 | or dense cell deployments. | |
| 7 | I will be the first to admit that | |
| 8 | I am not the smartest person in the room on | |
| 9 | this topic. And neither is Blair Levin, but | |
| 10 | he's not in the room. | |
| 11 | (Laughter.) | |
| 12 | MR. LEIBOVITZ: But we've consulted | |
| 13 | with some pretty smart people both inside and | |
| 14 | outside the FCC. We've run sensitivity | |
| 15 | analyses, and our bottom line is that at the | |
| 16 | rate usage is increasing, even assuming | |
| 17 | increases in cell density are a spectral | |
| 18 | efficiency, we as a nation are going to need | |
| 19 | a lot more spectrum. | |
| 20 | We've said it a few times before. | |
| 21 | It takes many years to bring a new spectrum to | |
| 22 | market. The history shows about six to 13 | |

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| 1 | years. So, if we're talking about | | |
| 2 | accommodating needs ten years from now, we | | |
| 3 | have to take action now. Remember that the | | |
| 4 | Cisco's 40 X forecast only goes to 2014, and | | |
| 5 | that's less than halfway to 2010. | | |
| б | What happens if we don't have | | |
| 7 | enough spectrum? Will the sky fall? The sky | | |
| 8 | won't fall. | | |
| 9 | Economists will tell you that | | |
| 10 | there is an indifference carved between | | |
| 11 | capital and spectrum. And if we don't have | | |
| 12 | enough spectrum, there is a cost to that. The | | |
| 13 | cost is that networks will cost more to build | | |
| 14 | and operate, quality will suffer, and | | |
| 15 | ultimately prices will be higher, but at some | | |
| 16 | point it's also impractical to add capacity | | |
| 17 | without adding more spectrum. | | |
| 18 | Many people think about price as | | |
| 19 | the carrier's main tool to ration capacity if | | |
| 20 | demand grows too fast. And price might be | | |
| 21 | that, the main tool, but we want to make sure | | |
| 22 | that the US leads the world in low-cost, high- | | |

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| 1 | performance mobile broadband. Betting our | |
| 2 | entire mobile future on a demand reduction | |
| 3 | strategy doesn't seem like the right approach | |
| 4 | from where we sit at the FCC. | |
| 5 | We think, in sum, over the next | |
| 6 | ten years the US will need more spectrum. | |
| 7 | We've tripled our supply over the last few | |
| 8 | years. And while this will provide a runway | |
| 9 | for 4G, it won't be enough to keep pace with | |
| 10 | a 40 fold increase in demand, especially if we | |
| 11 | want a vibrant and competitive wireless | |
| 12 | marketplace. | |
| 13 | So, with that as the backdrop, | |
| 14 | let's talk about the plan that the chairman | |
| 15 | described last week, that she described as the | |
| 16 | 2020 plan, 2020 mobile broadband vision for | |
| 17 | America. | |
| 18 | Chairman made several key points, | |
| 19 | and I'll elaborate on some of these today. | |
| 20 | First was the headline recommendation the US | |
| 21 | should make 500 megahertz available for | |
| 22 | broadband in ten years. Now, the wording of | |

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| 1 | this is very important. The recommendation | |
| 2 | speaks to broadband generally, not to | |
| 3 | exclusively licensed spectrum, not even to | |
| 4 | mobile use. We don't want to pick one | |
| 5 | technology paradigm over another. The | |
| 6 | recommendation acknowledges the potential for | |
| 7 | shared spectrum approaches or unlicensed | |
| 8 | allocations. So, again, it's over ten years. | |
| 9 | We intend to work closely with our | |
| 10 | federal partners through the NTIA on | |
| 11 | developing a road map to get us to this 500 | |
| 12 | megahertz goal. | |
| 13 | Second, the bands. The chairman | |
| 14 | mentioned a number of bands in the mobile | |
| 15 | range as candidates for policy changes to meet | |
| 16 | our broadband goals, of course the TV bands | |
| 17 | which account for hundreds of megahertz with | |
| 18 | ideal propagation characteristics, but also | |
| 19 | the MSS and WCS bands. And we won't limit | |
| 20 | ourselves to those specific bands. The plan | |
| 21 | will suggest a way forward on the full range | |
| 22 | of bands within the SCC's inventory which | |

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| 1 | includes AWS 2 and 3, for example. | |
| 2 | The chairman mentioned the upper | |
| 3 | 700 megahertz D-block as part of a broader | |
| 4 | public safety plan which I'll return to in a | |
| 5 | moment. | |
| 6 | Third, the toolbox. An essential | |
| 7 | theme of the chairman's speech last week was | |
| 8 | the power of flexibility to unlock the value | |
| 9 | of spectrum for broadband. This applies both | |
| 10 | to service rules and also potentially to | |
| 11 | license transfers. | |
| 12 | The chairman specifically | |
| 13 | described a plan to hold a mobile future | |
| 14 | auction. This is a new kind of mechanism that | |
| 15 | would enable broadcasters in major markets | |
| 16 | where the number of broadcast TV stations is | |
| 17 | the highest, and also the need for mobile | |
| 18 | broadband spectrum is the greatest, to | |
| 19 | voluntarily relinquish spectrum licenses for | |
| 20 | a share of auction proceeds. | |
| 21 | Because of the interference | |
| 22 | protections given to TV licensees, bringing up | |
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| 1 | a single six megahertz channel actually frees | |
| 2 | up six to 12 megahertz of other spectrum | |
| 3 | according to the models we've run. | |
| 4 | Now, the key word here is | |
| 5 | "voluntarily." The idea is to give individual | |
| 6 | broadcasters the ability and the flexibility | |
| 7 | to decide for themselves whether they want to | |
| 8 | put spectrum into an auction. | |
| 9 | We expect that the mobile future | |
| 10 | auction could turn out to be as important to | |
| 11 | many spectrum allocations over the next 20 | |
| 12 | years as the standard FCC auction has been | |
| 13 | over the past 20 years. And, again, the theme | |
| 14 | here is increasing flexibility in a | |
| 15 | responsible way. | |
| 16 | Fourth, the promise of new | |
| 17 | technologies. So, new technologies promise to | |
| 18 | take flexible use to a whole new level. We | |
| 19 | recognize that the magic of Wi-Fi turned the | |
| 20 | junk ISM band into a phenomenal industry. And | |
| 21 | similarly like most of the people here today, | |
| 22 | we at the FCC are excited about the potential | |

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| 1 | of new technologies like dynamic spectrum, | |
| 2 | access to perform alchemical wonders with | |
| 3 | otherwise challenging bands. | |
| 4 | The chairman explained how, and | |
| 5 | I'll quote, "New ideas such as databases that | |
| 6 | dynamically enable or revoke access to | |
| 7 | spectrum in particular times and places | |
| 8 | promise to change the way we think about | |
| 9 | spectrum." | |
| 10 | The plan will propose a path to | |
| 11 | nurture these approaches building on the great | |
| 12 | work that has been done with the spectrum | |
| 13 | share test bed, and also the great work that's | |
| 14 | been done in private industry and with think | |
| 15 | tanks like New America and other places. | |
| 16 | We are also following the lead of | |
| 17 | Commissioner Copps in recommending that the US | |
| 18 | allocate sufficient R&D money to advance the | |
| 19 | science underpinning this technology going | |
| 20 | forward. We think it's important to invest | |
| 21 | for the long term, and also for the really | |
| 22 | long, by making sure that we have a good | |

| Page1111112121313131314151212133344455555555655556555556676777 <t< th=""><th></th><th></th><th></th></t<> | | | |
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| 2 of what we have now. 3 And finally public safety. Last 4 Thursday the chairman announced a 5 comprehensive plan to ensure that public 6 safety finally has a state-of-the-art mobile 7 broadband network. We have a unique 8 opportunity to develop an interoperable 9 network. As mobile broadband takes root in 10 the 700 megahertz band, it can also take root 11 for public safety. 12 Leveraging ongoing commercial 13 deployments in the band will reduce the cost 14 of building and operating the network, and the 15 plan envisions that through roaming and 16 priority access on commercial networks, public 17 safety users can be assured an abundance of 18 capacity when they need it. 19 The establishment of something 20 we're calling "ERIC," the Emergency Response 21 Interoperability Center, will ensure | | | Page |
| 3And finally public safety. Last4Thursday the chairman announced a5comprehensive plan to ensure that public6safety finally has a state-of-the-art mobile7broadband network. We have a unique8opportunity to develop an interoperable9network. As mobile broadband takes root in10the 700 megahertz band, it can also take root11for public safety.12Leveraging ongoing commercial13deployments in the band will reduce the cost14of building and operating the network, and the15plan envisions that through roaming and16priority access on commercial networks, public17safety users can be assured an abundance of18capacity when they need it.19The establishment of something20we're calling "ERIC," the Emergency Response21Interoperability Center, will ensure | 1 | pipeline of technology as we reach the limits | |
| 4Thursday the chairman announced a5comprehensive plan to ensure that public6safety finally has a state-of-the-art mobile7broadband network. We have a unique8opportunity to develop an interoperable9network. As mobile broadband takes root in10the 700 megahertz band, it can also take root11for public safety.12Leveraging ongoing commercial13deployments in the band will reduce the cost14of building and operating the network, and the15plan envisions that through roaming and16priority access on commercial networks, public17safety users can be assured an abundance of18capacity when they need it.19The establishment of something20we're calling "ERIC," the Emergency Response21Interoperability Center, will ensure | 2 | of what we have now. | |
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| 9 network. As mobile broadband takes root in 10 the 700 megahertz band, it can also take root 11 for public safety. 12 Leveraging ongoing commercial 13 deployments in the band will reduce the cost 14 of building and operating the network, and the 15 plan envisions that through roaming and 16 priority access on commercial networks, public 17 safety users can be assured an abundance of 18 capacity when they need it. 19 The establishment of something 20 we're calling "ERIC," the Emergency Response 21 Interoperability Center, will ensure | 7 | broadband network. We have a unique | |
| 10 the 700 megahertz band, it can also take root 11 for public safety. 12 Leveraging ongoing commercial 13 deployments in the band will reduce the cost 14 of building and operating the network, and the 15 plan envisions that through roaming and 16 priority access on commercial networks, public 17 safety users can be assured an abundance of 18 capacity when they need it. 19 The establishment of something 20 we're calling "ERIC," the Emergency Response 21 Interoperability Center, will ensure | 8 | opportunity to develop an interoperable | |
| 11for public safety.12Leveraging ongoing commercial13deployments in the band will reduce the cost14of building and operating the network, and the15plan envisions that through roaming and16priority access on commercial networks, public17safety users can be assured an abundance of18capacity when they need it.19The establishment of something20we're calling "ERIC," the Emergency Response21Interoperability Center, will ensure | 9 | network. As mobile broadband takes root in | |
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| 14 of building and operating the network, and the 15 plan envisions that through roaming and 16 priority access on commercial networks, public 17 safety users can be assured an abundance of 18 capacity when they need it. 19 The establishment of something 20 we're calling "ERIC," the Emergency Response 21 Interoperability Center, will ensure | 12 | Leveraging ongoing commercial | |
| 15 plan envisions that through roaming and 16 priority access on commercial networks, public 17 safety users can be assured an abundance of 18 capacity when they need it. 19 The establishment of something 20 we're calling "ERIC," the Emergency Response 21 Interoperability Center, will ensure | 13 | deployments in the band will reduce the cost | |
| <pre>16 priority access on commercial networks, public 17 safety users can be assured an abundance of 18 capacity when they need it. 19 The establishment of something 20 we're calling "ERIC," the Emergency Response 21 Interoperability Center, will ensure</pre> | 14 | of building and operating the network, and the | |
| <pre>17 safety users can be assured an abundance of 18 capacity when they need it. 19 The establishment of something 20 we're calling "ERIC," the Emergency Response 21 Interoperability Center, will ensure</pre> | 15 | plan envisions that through roaming and | |
| 18 capacity when they need it. 19 The establishment of something 20 we're calling "ERIC," the Emergency Response 21 Interoperability Center, will ensure | 16 | priority access on commercial networks, public | |
| 19 The establishment of something 20 we're calling "ERIC," the Emergency Response 21 Interoperability Center, will ensure | 17 | safety users can be assured an abundance of | |
| <pre>20 we're calling "ERIC," the Emergency Response 21 Interoperability Center, will ensure</pre> | 18 | capacity when they need it. | |
| 21 Interoperability Center, will ensure | 19 | The establishment of something | |
| | 20 | we're calling "ERIC," the Emergency Response | |
| 22 interoperability through technical standards | 21 | Interoperability Center, will ensure | |
| | 22 | interoperability through technical standards | |

coordination.

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| 2 | And finally the creation of |
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| 3 | significant funding vehicles will provide |
| 4 | network enhancements where needed to meet |
| 5 | public safety requirements, as well as ongoing |
| б | support for the network over time. |
| 7 | So, let's talk about next steps. |
| 8 | We think we have a comprehensive spectrum plan |
| 9 | which itself is part of an even more |
| 10 | comprehensive broadband plan. So, it's fair |
| 11 | to ask what's next. |
| 12 | The plan is due to be released to |
| 13 | congress on march 17th. There will be an open |
| 14 | commission meeting on the 16th where we will |
| 15 | present the plan. And it's important to |
| 16 | remember that the plan is not self-executing. |
| 17 | It is a plan. |
| 18 | So, while many of the |
| 19 | recommendations will speak to actions the FCC |
| 20 | can take within its authority for these |
| 21 | recommendations, the FCC will commence |
| 22 | proceedings with the usual opportunity for |

full and fair comment. 1 2 In most cases, we will strive to 3 be clear about proposed rules. In some other 4 cases, we will start with notices of inquiry 5 to flesh out key parameters before going to 6 rulemaking. You should expect these 7 proceedings to unfold over the next 12 to 18 8 months following the plan. 9 In some other areas, the FCC will make recommendations to other parts of the 10 government. In these cases, the FCC will work 11 12 with the administration and with congress as appropriate to move the ball forward. 13 14 So, it's an exciting time to be in spectrum policy. We're at the intersection of 15 16 two great communication trends over the last 17 20 years; mobility and broadband. And for those of us inside the Commission, we think 18 19 it's an exciting place to be. 20 The actions that we take 21 collectively not just inside the FCC, but 22 throughout the administration with people at

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| 1 | this table, will shape the future in profound | | |
| 2 | ways for better or for worse. And so we're | | |
| 3 | hopeful that will a solid plan, we can | | |
| 4 | hopefully make sure that it's for the better. | | |
| 5 | So, that's it. And if people have | | |
| 6 | questions, I can take a few questions. | | |
| 7 | CO-CHAIR HATFIELD: Any questions | | |
| 8 | for John? | | |
| 9 | MR. COOPER: Well, I could make a | | |
| 10 | comment. | | |
| 11 | MR. LEIBOVITZ: Okay. | | |
| 12 | MR. COOPER: I think the objectives | | |
| 13 | of this spectrum are admirable, and I think we | | |
| 14 | all agree there is a little bit of an | | |
| 15 | inconsistency when Cisco talks about 40 times | | |
| 16 | requirement for the next ten years and the | | |
| 17 | spectrum plan mentions very briefly the | | |
| 18 | possibility of new technologies expanding the | | |
| 19 | capacity of systems, but all of the other | | |
| 20 | verbiage talks about taking spectrum from one | | |
| 21 | party and giving it to another party. | | |
| 22 | And that's really not going to | | |

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| 1 | accomplish very much, because there is, as the | | |
| 2 | assistant secretary pointed out, only a | | |
| 3 | limited amount of beachfront. | | |
| 4 | So, the reality is that existing | | |
| 5 | technologies have the ability to multiply the | | |
| б | capacity with spectrum by many times. And it | | |
| 7 | would be really nice if the FCC and the NTIA | | |
| 8 | had some kind of incentive to promote new | | |
| 9 | technologies rather than providing a red | | |
| 10 | herring that in essence says well, we don't | | |
| 11 | have to worry about new technologies, you can | | |
| 12 | just have new spectrum. That's the message we | | |
| 13 | are sending to people who have spectrum | | |
| 14 | requirements. | | |
| 15 | AT&T is going to solve their | | |
| 16 | problems, and they're not going to solve them | | |
| 17 | by waiting ten years. They are going to adopt | | |
| 18 | technologies that have been around for ten | | |
| 19 | years and longer, but where we haven't | | |
| 20 | provided adequate incentives for people. | | |
| 21 | So, I hope that at least this | | |
| 22 | thought will permeate the activities of the | | |

Page 35 Commission. 1 2 MR. LEIBOVITZ: Well, there's a lot 3 to respond to there. I think I would say that 4 I agree with most not everything you said. 5 The way you characterized it, I - first of 6 all, we're not talking about taking away 7 spectrum. We're talking about more 8 flexibility, which fundamentally means a 9 voluntary approach where people can contribute 10 more spectrum in most instances. Secondly, as I said, we're 11 12 planning for the long term. That 40 times 13 figure was for four years. We have baked into 14 our analysis the assumption that even for sort of the more conventional licensed spectrum 15 16 mobile technologies there will be greater efficiencies in technology, there will be more 17 18 new style deployments, dense cell deployments 19 and other things like that. 20 But to get to a 40 times increase 21 in four years certainly is not feasible with 22 spectrum alone. And especially given the

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| 1 | timeline, it's going to rely heavily on | |
| 2 | technology in the early years, and we're | |
| 3 | banking on that, as well as some of the | |
| 4 | spectrum that's been released over the last | |
| 5 | few years, but that's just the runway. | |
| 6 | As far as new technologies, I | |
| 7 | guess I would, you know, you can read the plan | |
| 8 | when it's released. I would differ a little | |
| 9 | bit with your characterization that we're | |
| 10 | putting all of our eggs in the spectrum | |
| 11 | basket. That the plan does, as I mentioned, | |
| 12 | recommend the use - or the promotion of new | |
| 13 | technologies, including some of the more | |
| 14 | advanced spectrum access technologies which | |
| 15 | are under discussion at the NTIA, to increase | |
| 16 | supply of capacity and make more efficient use | |
| 17 | of the spectrum, and looks for ways to do that | |
| 18 | in a practical, pragmatic way. | |
| 19 | I think we have to remember that | |
| 20 | part of the reason to the extent that this is | |
| 21 | coming across as a spectrum plan and not a | |
| 22 | technology plan, is the FCC is fundamentally | |

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Page 37 a spectrum-regulating entity more than it is 1 2 a technology-regulating entity. So, we are doing things within our 3 4 authority or proposing ideas within our 5 authority. We're also trying to propose ideas 6 that we think will create pre-conditions in 7 industry for others, you know, the real 8 innovators, people like yourself and others, 9 to develop the next great technologies that 10 will make the spectrum even more useful. 11 But I think in general, it's a 12 balanced plan. We're trying to think about 13 the long term. And so, you know, be 14 interested to get more feedback when you see 15 the plan. 16 CO-CHAIR HATFIELD: Gary. 17 MR. EPSTEIN: John, I notice you 18 used the word network capacity when you talked about shortage in the beginning. 19 20 Was that really meant to encompass 21 both additional spectrum and more efficient 22 use of the spectrum?

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| 1 | Is that kind of - | | |
| 2 | MR. LEIBOVITZ: Yes, yes. | | |
| 3 | MR. EPSTEIN: - how you guys are | | |
| 4 | thinking on that? | | |
| 5 | MR. LEIBOVITZ: Yes, that's | | |
| 6 | correct. | | |
| 7 | MR. EPSTEIN: You didn't only use | | |
| 8 | the term spectrum. You focused on network. | | |
| 9 | I'm just curious about whether that was | | |
| 10 | purposeful. | | |
| 11 | MR. LEIBOVITZ: I tried to frame | | |
| 12 | the problem in terms of network capacity which | | |
| 13 | is what Marty was explaining, and then talking | | |
| 14 | about some of the recommendations as they | | |
| 15 | relate to the FCC in terms of spectrum since | | |
| 16 | that's, I think, you know, what's of most | | |
| 17 | interest to people here today as well as at | | |
| 18 | the FCC. | | |
| 19 | CO-CHAIR HATFIELD: Jennifer. | | |
| 20 | MS. WARREN: John, thanks very much | | |
| 21 | for coming in and sharing those thoughts and | | |
| 22 | points. The question I had was on your | | |

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| 1 | mention of the R&D investment support. | Page | 39 |
| 2 | Can you talk a little bit about | | |
| | | | |
| 3 | that, and then what the incentives will be for | | |
| 4 | the take-up of that, of the technology that | | |
| 5 | the FCC has invested R&D in, how that kind of | | |
| 6 | works? | | |
| 7 | MR. LEIBOVITZ: So, that is one of | | |
| 8 | those recommendations that's a recommendation | | |
| 9 | that's to the rest of the government. It's | | |
| 10 | not necessarily an FCC recommendation. | | |
| 11 | MS. WARREN: Okay. | | |
| 12 | MR. LEIBOVITZ: If you want more | | |
| 13 | details, I'd refer you to the estimable Al | | |
| 14 | Stagg Newman. | | |
| 15 | (Laughter.) | | |
| 16 | MS. WARREN: So, just to follow up | | |
| 17 | real quickly, this isn't an FCC investment. | | |
| 18 | This is you're making recommendation to - | | |
| 19 | MR. LEIBOVITZ: the R&D point was | | |
| 20 | about investment in R&D. There are other | | |
| 21 | aspects of the plan that will speak to | | |
| 22 | creating regulatory and other pre-conditions | | |

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| 1 | for new technologies to take root, which needs | | |
| 2 | to happen. | | |
| 3 | You can't just put money on it. | | |
| 4 | You also have to create a sandbox, and grow | | |
| 5 | the sandbox over time. | | |
| 6 | MS. WARREN: Thank you. | | |
| 7 | CO-CHAIR HATFIELD: Gerry, then | | |
| 8 | Michael. | | |
| 9 | MR. SALEMME: John, I just wanted | | |
| 10 | to say that we're really heartened by the fact | | |
| 11 | that the Commission recognizes that beachfront | | |
| 12 | isn't just the lowest of the spectrum | | |
| 13 | frequency beams. I know that from our | | |
| 14 | perspective, the 2.5 beam has worked very well | | |
| 15 | for our 4G deployment. We just launched it in | | |
| 16 | Spain with a 3.6 spectrum. | | |
| 17 | So, you can get, I think, a lot | | |
| 18 | more spectrum that is usable and being able to | | |
| 19 | be applied, which helps address the issue. | | |
| 20 | And Marty who started the whole | | |
| 21 | process here and the father of our whole | | |
| 22 | industry, I know when 1-800 seemed like the | | |

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| 1 | farthest we could go, I remember being | | |
| 2 | concerned that 1.9 and PCS was never going to | | |
| 3 | get any propagation that was going to work. | | |
| 4 | And now to see a 4G deployment in 3.6 really, | | |
| 5 | I think, opens a whole plethora of new | | |
| б | opportunities that we should take advantage | | |
| 7 | of. | | |
| 8 | (Off the record comments.) | | |
| 9 | MR. SALEMME: Craig still talks | | |
| 10 | about how the old AM radio band is still the | | |
| 11 | most expensive megahertz of all time, because | | |
| 12 | people are fighting for those few radio | | |
| 13 | spectrum licenses at the time paying | | |
| 14 | everything forward. | | |
| 15 | MR. LEIBOVITZ: I think you're | | |
| 16 | right, Gerry. I mean, the only thing I would | | |
| 17 | add is that and one of the things we've been | | |
| 18 | hearing throughout the process, is that | | |
| 19 | technology is allowing us and companies to | | |
| 20 | think more than kind of break outside of just | | |
| 21 | the band-by-band box a little bit too. I | | |
| 22 | mean, all these devices now are multi-band | | |

Page 42 devices. 1 2 You can combine the benefits of 3 different bands in the user equipment in ways that maximizes the value until you can see, 4 5 realize complementarities between different 6 bands in new ways. 7 MR. SALEMME: And on licenses, you 8 probably -9 MR. LEIBOVITZ: And on license, 10 yes. MR. SALEMME: And the use of Wi-Fi 11 12 is something that was unheard of in almost the antithesis of what a licensed company wanted 13 14 to do five years ago. 15 MR. LEIBOVITZ: That's right. 16 CO-CHAIR HATFIELD? Michael, did 17 you have something? Did you have a question? MR. CALABRESE: Yes, yes. I'm also 18 19 heartened that you're, you know, just as Gerry 20 was saying, you're stretching this beyond 21 thinking whether it's licensed or unlicensed just on kind of a siloed or band basis 22

apparently thinking about more ways to do 1 2 spectrum sharing and opportunistic access. So, we'll really look forward to hearing more 3 about that direction. 4 5 And I guess in that spirit, I'd 6 like you to ask you to think, you know, you 7 might not have it off the top of your head, 8 but think about what can this community do for 9 you? In other words, what are some of the questions that, you know, because obviously 10 NTIA is thinking about how to use this 11 12 committee, but I think the FCC as well. What 13 are some of the questions that remain maybe 14 just beyond your horizon or vexing or things 15 that we could perhaps wrestle with going 16 forward to help with the implementation of the whole direction. 17 18 MR. LEIBOVITZ: That is actually a 19 great question, and I haven't give it enough 20 I will think about it and get back thought. 21 to Anna and others. 22 CO-CHAIR HATFIELD: One final

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| 1 | question. | | |
| 2 | MR. RAY: It's more of a comment | | |
| 3 | than much else. | | |
| 4 | (Off the record comments.) | | |
| 5 | MR. RAY: But, John, thank you for | | |
| 6 | the outline. I think we all look forward to | | |
| 7 | the middle of the coming months and hearing | | |
| 8 | more details. | | |
| 9 | I think from a commercial wireless | | |
| 10 | operator perspective, time frames are always | | |
| 11 | a concern here and ten years is a lifetime in | | |
| 12 | this industry which changes almost every | | |
| 13 | quarter, let alone every year. Especially in | | |
| 14 | the commercial wireless space. | | |
| 15 | So, I just remind us all of what's | | |
| 16 | happening in some other part of the world, and | | |
| 17 | one specific large European is just going to | | |
| 18 | auction inside the next couple of months with | | |
| 19 | one over 350 megahertz a spectrum across | | |
| 20 | multiple bands, lower bands, high bands. And | | |
| 21 | other nations around the world are really | | |
| 22 | seizing this opportunity and moving forward | | |

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| 1 | with it very aggressively in much faster time | | |
| 2 | frames than we are talking about in this point | | |
| 3 | in time. | | |
| 4 | And so we're looking forward to a | | |
| 5 | plan that we'll talk about near term, as well | | |
| 6 | as some of the longer term opportunities and | | |
| 7 | objectives. And I think it's key that we have | | |
| 8 | that balance because technology can fix some | | |
| 9 | of these issues, but there are near-term | | |
| 10 | problems that need to be addressed too. | | |
| 11 | So, just a reminder for us that we | | |
| 12 | have a lot of work to do and timing is key. | | |
| 13 | MR. LEIBOVITZ: Thanks. | | |
| 14 | CO-CHAIR TRAMONT: First of all, | | |
| 15 | thanks for your time this morning and your | | |
| 16 | thoughtful remarks. And I think I speak for | | |
| 17 | all of us when I say we're thankful the | | |
| 18 | Commission's built a resource to offer people | | |
| 19 | this service and appreciate your willingness | | |
| 20 | to come and share your thoughts this morning. | | |
| 21 | We should also mention that | | |
| 22 | originally we had talked about the possibility | | |

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| 1 | of Julie Knapp coming to discuss the scope of | |
| 2 | commercial and public safety spectrum use. | |
| 3 | In light of the National Broadband | |
| 4 | Plan's timing, we thought, John, it would be | |
| 5 | great. So, Julie will still be on our agenda | |
| б | for a future meeting to address those issues | |
| 7 | to sort of do the other half of Karl's | |
| 8 | presentation from the last meeting which | |
| 9 | discussed federal government use of | |
| 10 | So, with that, why don't we go | |
| 11 | ahead and try to do Karl before the break. | |
| 12 | We'll talk about IRAC, and then we'll break | |
| 13 | after Karl's presentation. | |
| 14 | MR. NEBBIA: And I may need a | |
| 15 | little help here just calling the screen up, | |
| 16 | possibly. | |
| 17 | (Off the record comments.) | |
| 18 | MR. NEBBIA: First of all, I wanted | |
| 19 | to thank Bryan and Dale for the opportunity to | |
| 20 | make this presentation on the Interdepartment | |
| 21 | Radio Advisory Committee. And we also | |
| 22 | certainly thank John for coming out today. | |

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| 1 | It's always important to have an opening act | _ | |
| 2 | before the - | | |
| 3 | (Laughter.) | | |
| 4 | MR. NEBBIA: But one of the | | |
| 5 | suggestions I wanted to make for our future | | |
| 6 | work was this idea of traveling the world for | | |
| 7 | government and industry meetings with | | |
| 8 | leadership around the world, and we're all | | |
| 9 | anxious to see his travel voucher from that | | |
| 10 | trip to the Cayman Islands where I know he | | |
| 11 | spoke to a number of leaders down there. | | |
| 12 | Talking about the IRAC today, | | |
| 13 | first of all I'm going to cover what the IRAC | | |
| 14 | is, who participates in it, what its parts | | |
| 15 | are, and how it operates. | | |
| 16 | I note that for many the IRAC is a | | |
| 17 | great mystery. It's a little bit like the | | |
| 18 | Politburo that we see a lot of older, white- | | |
| 19 | haired men with a little cup of vodka on the | | |
| 20 | table and that's why it gets spilled once in | | |
| 21 | a while at the table. | | |
| 22 | (Laughter.) | | |

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|----|--|------|----|
| 1 | MR. NEBBIA: But it's actually not | | |
| 2 | quite that mysterious, and the chairman of the | | |
| 3 | IRAC never gets up on the table and does a | | |
| 4 | little dance to show his virility. But, | | |
| 5 | anyway, it is not that mysterious an activity. | | |
| 6 | The IRAC is in fact an advisory | | |
| 7 | body to NTIA. And the important factor there | | |
| 8 | you need to keep in mind is that what that | | |
| 9 | means is they provide advice, that NTIA has | | |
| 10 | the option of taking that advice or not taking | | |
| 11 | that advice. And in reality, we do not always | | |
| 12 | go along with what the IRAC suggests to us. | | |
| 13 | It has been in existence since | | |
| 14 | 1922. It's actually the longest existing | | |
| 15 | federal advisory body in the government. It's | | |
| 16 | existed continually since that period. | | |
| 17 | It has undergone different | | |
| 18 | leadership starting with commerce many, many | | |
| 19 | years ago. There were periods of time, in | | |
| 20 | fact, where the FCC chaired the IRAC. There | | |
| 21 | was one incident, for instance, where there | | |
| 22 | was an FCC chairman by the name of Mr. Fly, | | |

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| 1 | who we all know by that name had to have been | | |
| 2 | an evil individual, and he was actually | | |
| 3 | accused by the IRA of malfeasance in the | | |
| 4 | conduct of his duties, and they turned the | | |
| 5 | IRAC into a small courtroom for a period of | | |
| 6 | time to analyze whether he was protecting | | |
| 7 | federal interests or not. | | |
| 8 | Since that time, the FCC has not | | |
| 9 | chaired the IRAC. So, anyway, it's an | | |
| 10 | interesting process. There are some records | | |
| 11 | of that hearing and so on. | | |
| 12 | CO-CHAIR TRAMONT: Karl, is it | | |
| 13 | statutory or is it - what created the IRAC? | | |
| 14 | MR. NEBBIA: The IRAC - man, that's | | |
| 15 | a good question. It was started so long ago. | | |
| 16 | I'd have to check into that and get a readout, | | |
| 17 | but it's now, you know, it's an advisory body | | |
| 18 | to NTIA, and it's written its charter as part | | |
| 19 | of our rules, so on and so on. | | |
| 20 | The work that they do there is | | |
| 21 | considered executive branch pre-decisional. | | |
| 22 | So for the most part, the documentation that | | |

| | | Page | 50 |
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| 1 | we have is not releaseable. We will consider | | |
| 2 | specific cases and where the documentation is | | |
| 3 | not actually showing pre-decisional activity | | |
| 4 | and so on. | | |
| 5 | We also, just so everybody knows, | | |
| 6 | we are open to non-federal presentations. | | |
| 7 | There is a procedure for that. We established | | |
| 8 | that, I think, back as the outcome of Janice | | |
| 9 | Obuchowski's spectrum study. That was one of | | |
| 10 | the activities that came from that. | | |
| 11 | However, over the years what we | | |
| 12 | found, most cases that we had such | | |
| 13 | presentations, companies wanted to come in and | | |
| 14 | make sales presentations. And for that | | |
| 15 | reason, we had to instruct them and say this | | |
| 16 | is a spectrum management body, we're here to | | |
| 17 | look at policy and procedures for spectrum | | |
| 18 | management. | | |
| 19 | So, we have kind of discouraged | | |
| 20 | that mode, but we have had many other good | | |
| 21 | briefings. | | |
| 22 | It is broken up into subcommittees | | |

| | | Page |
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| 1 | which have been in existence for a long time, | |
| 2 | and they continue their work. The ad hoc | |
| 3 | groups are set up on individual topics. And | |
| 4 | the nature of the body itself, it is a | |
| 5 | collegial group. We do try to reach consensus | |
| 6 | within the group. It does not always happen. | |
| 7 | And the folks that are in this are really | |
| 8 | career spectrum management people. | |
| 9 | And that has both good and bad, I | |
| 10 | think, aspects to it because it is important | |
| 11 | to get new policy ideas. And when oftentimes | |
| 12 | we've grown up from within the process, that | |
| 13 | sometimes becomes a challenge for us. | |
| 14 | And I should also say that for | |
| 15 | most of the agencies that are represented, | |
| 16 | their offices come from their CIO's office | |
| 17 | within the agency. So, spectrum management | |
| 18 | for most of the agencies is a support function | |
| 19 | and can be a support function that in some | |
| 20 | cases does not probably get the notice that it | |
| 21 | should. But many of the agencies, I think, | |
| 22 | are renewing their efforts to raise the | |

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Page 52 visibility of that activity. 1 2 This is our 1985 Microsoft version 3 of a picture. I think one of my predecessors 4 created this, and only the names have changed. 5 The Treasury representative is still a little 6 bit offended by the fact that the Coast Guard 7 representative has been writing on the back of 8 his head for a period of time. 9 (Laughter.) MR. NEBBIA: They're simulated on 10 11 the table, but I think it may have been 12 throwing back and forth. This one has probably outlived its time, but you'll see the 13 NTIA as the chair of the IRAC with the FCC as 14 15 the liaison to it to present private sector 16 and commercial interests. 17 The agencies in fact here have 18 changed over the years. BBG, anybody guess? 19 MS. WARREN: Broadcasting Board of 20 Governors. 21 MR. NEBBIA: Broadcasting Board of 22 Very good. They've actually Governors.

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| 1 | stayed there for many years. They just change | | |
| 2 | their name every couple years. It was USIA | | |
| 3 | for a while and IBB, I think. | | |
| 4 | But these agencies do change from | | |
| 5 | time to time. I think HHS actually was | | |
| 6 | eliminated a few years ago as part of the | | |
| 7 | Politburo activities. | | |
| 8 | (Laughter.) | | |
| 9 | CO-CHAIR TRAMONT: Any criteria | | |
| 10 | who's on so, for example, Army, Navy, Coast | | |
| 11 | Guard as well as Air Force, I mean, how do you | | |
| 12 | decide when it's sub-department level and how | | |
| 13 | that works? | | |
| 14 | MR. NEBBIA: There was not an | | |
| 15 | established gating criteria. In fact, we've | | |
| 16 | had many debates over the years about the | | |
| 17 | individual departments within the Department | | |
| 18 | of Defense getting represented, why they don't | | |
| 19 | have a single representative and that sort of | | |
| 20 | thing. | | |
| 21 | I think in general we look to | | |
| 22 | these as the agencies that have the major | | |
| l | | | |

Page 54 spectrum use. Sometimes it's not major in an 1 2 overall context, but, for instance, BBG has the primary government broadcasting activity. 3 4 Now, an interesting part, for 5 instance, Coast Guard is actually part of DHS, 6 but they're the ones who really carry the 7 knowledge about maritime, so we've kept them 8 even when DHS was formed and had its own 9 representation. 10 So, there's not a clear gating 11 function, but we feel like we've covered most 12 the agencies. There's currently 19. MR. ROSSTON: So, Karl, there's no 13 14 NSA or is that gentleman between the Agriculture and Interior actually --15 16 (Off the record comments.) 17 MR. NEBBIA: NSA is actually not a 18 representative in the IRAC. They have 19 representatives on other subcommittees that 20 they participate in. But generally in the 21 ongoing policy work, they are not one of the 22 primary participants.

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| 1 | If, on the other hand, they in | | |
| 2 | getting the documentation were to send some | | |
| 3 | input in, we would certainly consider that. | | |
| 4 | MS. WARREN: Is the Postal Service | | |
| 5 | an active participant? | | |
| б | MR. NEBBIA: The US Postal Service | | |
| 7 | is actually a very active participant. They | | |
| 8 | come in from Nashville, I think, several times | | |
| 9 | a week, actually, for different IRAC meetings. | | |
| 10 | CO-CHAIR TRAMONT: That's for their | | |
| 11 | radio feed, I presume? | | |
| 12 | MR. NEBBIA: The Postal Service has | | |
| 13 | a variety of different activities. Certainly | | |
| 14 | they do have their fleet of vehicles and so | | |
| 15 | on. They also have investigative | | |
| 16 | responsibilities. So, they have other law | | |
| 17 | enforcement activities that they - | | |
| 18 | (Off the record comments.) | | |
| 19 | MR. NEBBIA: First of all I wanted | | |
| 20 | just to note here we do have an ongoing | | |
| 21 | agreement with the FCC on spectrum management. | | |
| 22 | I'm not going to talk necessarily about the | | |

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| 1 | first two items, but I think the last two | | |
| 2 | really impact the IRAC here. | | |
| 3 | And that is we make decisions, | | |
| 4 | spectrum decisions. And that can be the | | |
| 5 | writing of an NOI, it can be a final outcome | | |
| 6 | on an R&O, it can be a decision on NTIA's part | | |
| 7 | to change our rules. | | |
| 8 | We actually have an agreement | | |
| 9 | between us to allow 15 days to consider the | | |
| 10 | draft of that change and provide comments back | | |
| 11 | to one another. So, this is in a non-public | | |
| 12 | discussion where we provide that feedback on | | |
| 13 | various documents and outcomes, and I'll talk | | |
| 14 | about that briefly in a couple minutes. | | |
| 15 | But ultimately given the | | |
| 16 | differences in our authority and the fact that | | |
| 17 | the spectrum, for instance, is not broken up | | |
| 18 | by law, that's by agreement between us. We | | |
| 19 | don't have veto authority over each other's | | |
| 20 | decisions, but obviously this working process | | |
| 21 | has to be collegial. Otherwise, you know, | | |
| 22 | everything kind of begins to break down. | | |

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| 1 | But once again in that, the IRAC | | |
| 2 | activities are very much involved in those | | |
| 3 | decisions. | | |
| 4 | For the main committee right now, | | |
| 5 | Ed Davison is the chair of that group. | | |
| б | Actually, most of the work that goes on within | | |
| 7 | there deals with FCC rulemakings, drafts of | | |
| 8 | different stages in that rulemaking process, | | |
| 9 | sometimes waivers and so on. Deals also with | | |
| 10 | allocations. And then to a certain extent, | | |
| 11 | changes within our manual for federal | | |
| 12 | processes and policies. But most of the | | |
| 13 | activity I would say in the last 20 years has | | |
| 14 | been focused on Commission rulemakings. | | |
| 15 | Now, in talking about that, as you | | |
| 16 | know, you know the Commission's processes. It | | |
| 17 | can run the whole gamut of different stages. | | |
| 18 | And if in fact that work runs through all | | |
| 19 | those stages, essentially what we have between | | |
| 20 | NTIA and the FCC is this process of sending | | |
| 21 | drafts, giving us 15 days to respond, us | | |
| 22 | providing input back. And that can happen, | | |

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| 1 | actually, at every one of these stages so that | | |
| 2 | it always has to be built in to that decision | | |
| 3 | process. | | |
| 4 | I can't say that in every case the | | |
| 5 | Commission gives us that time. In some cases | | |
| 6 | they'll say there's some urgency here that | | |
| 7 | we're going to need to cut the time short. | | |
| 8 | Other times we'll come back in just a couple | | |
| 9 | days and say we really don't have any concerns | | |
| 10 | and they can move it forward. | | |
| 11 | So, there's no necessarily set | | |
| 12 | delay in all these different stages. But when | | |
| 13 | NTIA gets that document, what we immediately | | |
| 14 | do is electronically farm it to all the IRAC | | |
| 15 | participants. They are given deadlines for | | |
| 16 | responses. We hold to those deadlines. | | |
| 17 | And overall since, I'd say, the | | |
| 18 | last five years, we are consistently under | | |
| 19 | that 15-day response time. | | |
| 20 | Yes, sir. | | |
| 21 | MR. GURSS: A quick question. In | | |
| 22 | the case of a FCC item that's going in an open | | |

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| 1 | meeting, does your 15 days end before the | | |
| 2 | sunshine period of the FCC or where in that | | |
| 3 | process is that 15-day period? | | |
| 4 | MR. NEBBIA: That certainly is the | | |
| 5 | goal. They like to get our comments before | | |
| 6 | they get into that period. | | |
| 7 | The place that we probably run | | |
| 8 | into the most conflict with that is of course | | |
| 9 | they're trying to move it through their review | | |
| 10 | process, and we are looking at it kind of at | | |
| 11 | the same time, and those deadlines will come | | |
| 12 | up. And that's generally when Julie Knapp or | | |
| 13 | somebody from OET will contact us to say we've | | |
| 14 | got to move this one faster than normal. And | | |
| 15 | we try to accommodate that. | | |
| 16 | CO-CHAIR TRAMONT: I think that | | |
| 17 | generally they try to get it processed in | | |
| 18 | before it hits the floor. | | |
| 19 | MR. NEBBIA: That's right. | | |
| 20 | CO-CHAIR TRAMONT: So, the goal is | | |
| 21 | to get it before the three-week out date if at | | |
| 22 | all possible. | | |

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| 1 | MR. NEBBIA: Right. | | |
| 2 | Okay. This is the actual | | |
| 3 | organization of the IRAC. And there is a main | | |
| 4 | body, once again, that has 19 members. That | | |
| 5 | number of members does not necessarily control | | |
| 6 | how many member agencies there are in these | | |
| 7 | other briefs. But let me just run through | | |
| 8 | them quickly, and then I'm going to focus on | | |
| 9 | two of them. | | |
| 10 | The Space Systems subcommittee | | |
| 11 | looks at all the incoming messages and so on, | | |
| 12 | on coordination of space systems. | | |
| 13 | The Emergency Planning | | |
| 14 | subcommittee right now is dealing partly with | | |
| 15 | prioritization of emergency communications | | |
| 16 | within the government, and to a great extent | | |
| 17 | within the activities moving toward | | |
| 18 | establishing activities within the government | | |
| 19 | agencies, and then supporting things like ESF | | |
| 20 | 2 and that sort of activity. | | |
| 21 | The Spectrum Planning | | |
| 22 | subcommittee, I will get to that in a little | | |
| | | | |

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| 1 | bit more detail in a minute. |
|----|--|
| 2 | The Technical subcommittee has |
| 3 | dealt primarily with standards for rules |
| 4 | affected federal government systems. They |
| 5 | also help us if we're going to develop |
| 6 | analytical techniques and we want the IRAC's |
| 7 | input. That would be where that goes on. |
| 8 | The Radio Conference subcommittee, |
| 9 | a number of you have been involved in the |
| 10 | outcomes of that as those outputs are shared |
| 11 | through NTIA over to the Commission in |
| 12 | preparation for world radio conferences. |
| 13 | We do some preparation in there |
| 14 | for plenipots and the other aspects of the |
| 15 | ITU. A little bit of the radio ITU-R study |
| 16 | work, but that's generally done pretty much |
| 17 | directly within the State Department's ITAC |
| 18 | process. |
| 19 | And last is the Frequency |
| 20 | Assignment subcommittee, and I will talk about |
| 21 | that in more detail. |
| 22 | These are the current ad hoc |

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| 1 | groups. 206 pretty much just closed out | |
| 2 | providing work implementation concepts back to | |
| 3 | NTIA. We have forwarded them over to the | |
| 4 | Commission, so that's forward 2007. These | |
| 5 | others are ongoing activities that are still | |
| б | very much underway. | |
| 7 | For your information, here are the | |
| 8 | chairs of those groups and their contact | |
| 9 | information. So, please feel free if you | |
| 10 | would like to chat with them about how they | |
| 11 | operate or you have questions for them or you, | |
| 12 | for some reason, know that something you're | |
| 13 | interested in is in one of those groups. | |
| 14 | Now, I wanted to touch on two of | |
| 15 | the groups particularly. First, the Spectrum | |
| 16 | Planning subcommittee. The main task of this | |
| 17 | group is to perform what we call systems | |
| 18 | reviews, and to, in the end, provide spectrum | |
| 19 | certification for new systems. And all this | |
| 20 | comes under the OMB Circular A-11 that | |
| 21 | requires this certification before the | |
| 22 | agencies spend money on major systems. | |

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| 1 | Now, one of the things I think | |
| 2 | that's critical here is that there is not a | |
| 3 | definition of major systems. It's somewhat in | |
| 4 | the eyes of the beholder. Most of the | |
| 5 | agencies, I think, all kind of know them when | |
| 6 | they see them, and we do get those. Once in | |
| 7 | a while we'll find one we're hearing about | |
| 8 | somewhere that we have to ask people and make | |
| 9 | sure it gets submitted. | |
| 10 | The other aspect of it is based on | |
| 11 | OMB Circular A-11, there's actually no hook in | |
| 12 | the budget mechanism that puts an agency in a | |
| 13 | position where they basically have to say | |
| 14 | here's my spectrum certification, before OMB | |
| 15 | gives them the money. | |
| 16 | So, that's one of the challenges | |
| 17 | of our process. NTIA does not control that | |
| 18 | money. And at this point, there has never | |
| 19 | been that hook in the process. | |
| 20 | But mostly in our efforts in | |
| 21 | performing an analysis of them, we're looking | |
| 22 | to make sure they're in the correct bands, | |

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| 1 | that they're using the standards that we have | |
| 2 | accepted. We're looking for compatibility | |
| 3 | issues. And ultimately NTIA signs the | |
| 4 | spectrum certification that comes out of these | |
| 5 | groups. | |
| 6 | Between the process of | |
| 7 | straightening out the data that we get in, | |
| 8 | analyzing it, drafting a response and then | |
| 9 | working it through the committee, they're | |
| 10 | generally two to four months. Some of them | |
| 11 | where there's a real conflict agencies, can | |
| 12 | take longer than that to resolve, but it's | |
| 13 | generally because there is a conflict between | |
| 14 | the agencies about what's being done. | |
| 15 | And you may consider it similar to | |
| 16 | the Commission's authorization process. The | |
| 17 | Commission is very active in this, once again, | |
| 18 | in their liaison capacity. | |
| 19 | The last thing I want to talk | |
| 20 | about is the Frequency Assignment subcommittee | |
| 21 | which deals with the actual what you would | |
| 22 | think of as licensing process from the | |

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| 1 | government side, and this is the assigning of |
|----|--|
| 2 | specific frequencies. It operates on an |
| 3 | electronic basis where requests are submitted, |
| 4 | they're processed through a daily agenda that |
| 5 | goes out to the agencies, and then they're |
| 6 | giving a nine-working-day period to respond to |
| 7 | those inputs. |
| 8 | That nine working days applies to |
| 9 | whether it's a non-federal license that has |
| 10 | been shared by the Commission because it's in |
| 11 | a shared band of some sort or it's in a band |
| 12 | that's allocated to federal agencies or it's |
| 13 | a government assignment, it doesn't matter, |
| 14 | the nine days applies. |
| 15 | Down here I have listed if you |
| 16 | have a non-federal system that you're working |
| 17 | through a license, you know it has to be sent |
| 18 | to the Commission because it's in a shared |
| 19 | band. The first website here actually would |
| 20 | report the status of your submission so you |
| 21 | will know where it is in that nine-day period |
| 22 | and any result that comes out of that. |

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| 1 | Now, you have to understand at the | | |
| 2 | end of that nine-day period, that's when the | | |
| 3 | agencies have given their responses. It's not | | |
| 4 | necessarily the end of the process, but they | | |
| 5 | will have in the cases of a non-federal | | |
| 6 | assignment, they will at that point have | | |
| 7 | responded to the FCC and said there's a | | |
| 8 | problem here or you're free to move ahead, | | |
| 9 | that sort of thing. | | |
| 10 | At that point, it's the | | |
| 11 | Commission's responsibility then to take it | | |
| 12 | back to the perspective licensee. At that | | |
| 13 | point, NTIA waits for responses from the | | |
| 14 | Commission. | | |
| 15 | In all of this, as I said, these | | |
| 16 | are circulated electronically. The agencies | | |
| 17 | basically vote on the requirement basically | | |
| 18 | saying we're okay with it or we're not okay | | |
| 19 | with it. A no vote means that they're okay | | |
| 20 | with it and it's going to move through the | | |
| 21 | process. | | |
| 22 | This is a pretty demanding effort | | |

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| 1 | for both NTIA - as you see, we have about 350 | | |
| 2 | to 400 come through per day. Now, many of | | |
| 3 | these are renewals of existing ones, do not | | |
| 4 | require a lot of work. But other ones that | | |
| 5 | are new require one of our staff members to go | | |
| 6 | over in detail to make sure that the data | | |
| 7 | looks correct and that it's going to go into | | |
| 8 | our database well. | | |
| 9 | This last one here was created | | |
| 10 | fairly recently, this last website, because we | | |
| 11 | had a number of applications coming in, in, I | | |
| 12 | think, the 960 to 1215 band for non-federal | | |
| 13 | aviation-oriented systems and we wanted those | | |
| 14 | folks to know where they were in that process. | | |
| 15 | Now, the Commission actually | | |
| 16 | participates in the FAS as a member, because | | |
| 17 | they are a federal agency. They have some | | |
| 18 | assignments that we have to grant. That's | | |
| 19 | where we tell them, sorry, no can do, you | | |
| 20 | know. | | |
| 21 | But in reality, their main | | |
| 22 | responsibilities in the FAS are still as a | | |
| I | | | |

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| 1 | liaison on behalf of the private sector. | | |
| 2 | CO-CHAIR TRAMONT: Karl, what's | | |
| 3 | that 8500 number? | | |
| 4 | MR. NEBBIA: That 8500 number | | |
| 5 | represents the number of non-federal licenses | | |
| 6 | that are coordinated with us during the year. | | |
| 7 | CO-CHAIR TRAMONT: A Year. | | |
| 8 | MR. NEBBIA: Yes, yes. So, that | | |
| 9 | brings me - yes, sir. | | |
| 10 | MR. DONOVAN: Just one question | | |
| 11 | either in terms of the Spectrum Planning | | |
| 12 | subcommittee or the Frequency Assignment | | |
| 13 | subcommittee. | | |
| 14 | Is there in either subcommittee, | | |
| 15 | sort of a systematic approach as to usage | | |
| 16 | rates amongst the federal entities that are | | |
| 17 | either requesting an allocation or requesting | | |
| 18 | a frequency? | | |
| 19 | MR. NEBBIA: Well, first of all, in | | |
| 20 | either of those bodies - well, first of all in | | |
| 21 | the Spectrum Planning subcommittee they're | | |
| 22 | actually requesting spectrum certification. | | |

Page 69 1 MR. DONOVAN: Correct. 2 MR. NEBBIA: Never requesting 3 allocation. That's done on a policy level. 4 Okay. And then on the assignments, they - and 5 I'm losing my train of thought on your 6 question. Sorry. 7 MR. DONOVAN: Bottom line is if I'm 8 the Coast Guard and I say look at, okay, let's 9 get some equipment certification or you do a frequency assignment -10 11 MR. NEBBIA: Right. MR. DONOVAN: - is there any sort 12 13 of systematic follow-up not only in use for 14 the Coast Guard, but amongst all federal 15 agencies whether or not they're in fact using 16 that and the usage rates --17 MR. NEBBIA: Sure. MR. DONOVAN: - of the federal 18 19 government on those frequencies? Does IRAC 20 touch that at all? 21 MR. NEBBIA: Yes. There is not a -22 we have a five-year review for the frequency

Page 70 assignments that they have to come back and 1 2 say we still want this. 3 MR. DONOVAN: Right. 4 MR. NEBBIA: On the Spectrum 5 Planning subcommittee if they then come back 6 and don't implement that system, it doesn't 7 really alter - it's not like we've set 8 something aside through that process. We've 9 merely given them the go-ahead to develop in that band. So, that process doesn't commit 10 11 spectrum, which we then need to know are you not using it because we want to get it back. 12 And in fact the history of the 13 14 federal government has been to consistently 15 try to build systems within the existing 16 spectrum that they have sharing with existing 17 users that are in that environment as opposed 18 to asking for an additional amount. 19 Now, when it comes to the 20 assignments themselves, we have some 21 measurement data that you could say at least 22 validates use rates in certain bands, but once

| 1 | | |
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| | | Page |
| 1 | again I think the issue of how well | |
| 2 | measurements perform that is kind of unclear. | |
| 3 | But otherwise, I mean, these | |
| 4 | systems operate across wide parts of the | |
| 5 | spectrum, certainly, but they're very varied | |
| 6 | in the types of applications. Some of them | |
| 7 | are passive. | |
| 8 | So, that would be a very | |
| 9 | challenging activity for us to actually be | |
| 10 | able to monitor the usage rates. And I think | |
| 11 | to a great extent though, we have a good sense | |
| 12 | of what those usage rates are. | |
| 13 | For instance, if the FAA is | |
| 14 | putting in an air traffic control radar | |
| 15 | outside of the Atlanta airport, that system is | |
| 16 | going to be the basis for brining in aircraft. | |
| 17 | It's going to be on all the time at that | |
| 18 | location. | |
| 19 | If you're talking about military | |
| 20 | training systems, you know it's going to be a | |
| 21 | sporadic activity, and that may offer some | |
| 22 | options, and so on. | |

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Page 72 So, I think we think of it more in 1 2 those general terms more so than knowing the 3 specific use level. 4 MR. DONOVAN: So, essentially it's 5 based on the requests of the individual entity 6 wanting to develop a new system. It's not how 7 often that system gets used or ultimately 8 phased out or -9 MR. NEBBIA: That's -10 MR. DONOVAN: How do you double-11 check on that? 12 MR. NEBBIA: Well, they certainly 13 through the five-year review process if they 14 actually have an assignment - and once again 15 it's only the assignment that establishes some 16 hindrance for somebody else to operate. So, that's where we focus on that. 17 18 But once again in our process, it is in many ways a self-certified process. 19 We 20 count on them to provide us truthful 21 information about their operation. So, that's 22 more or less what we've got to do.

| | | Page | 73 |
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| 1 | CO-CHAIR HATFIELD: Karl, one thing | | |
| 2 | that's not clear is how much is decentralized | | |
| 3 | versus centralized here, at least centralized | | |
| 4 | electronically, in terms of individual | | |
| 5 | agencies? | | |
| 6 | How much have you delegated them | | |
| 7 | the right to make decisions on a more dynamic | | |
| 8 | basis within a test range or something like | | |
| 9 | that? | | |
| 10 | MR. NEBBIA: Sure. | | |
| 11 | CO-CHAIR HATFIELD: I mean, what | | |
| 12 | has to come back here and what do you allow | | |
| 13 | them to do when centralizing? | | |
| 14 | MR. NEBBIA: Well, first of all I | | |
| 15 | should mention that there is a small number of | | |
| 16 | bands that are mentioned under what we call | | |
| 17 | the Aviation Assignment Group, the AAG, or the | | |
| 18 | Military Assignment Group. Very small number | | |
| 19 | of bands where in fact in that case, the FAA | | |
| 20 | or somebody from DoD actually chairs that | | |
| 21 | subactivity and resolve issues in that band | | |
| 22 | specifically. | | |

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| 1 | And those bands are listed in the | |
| 2 | NTIA manual. There's a small series of them. | |
| 3 | Not too many of them up in the ranges that | |
| 4 | we've talked about most recently. | |
| 5 | Now, other assignments, sometimes | |
| 6 | an agency will get an assignment for a number | |
| 7 | of channels within an area, a statewide | |
| 8 | assignment or something along that line. And | |
| 9 | certainly at a test range, they're going to | |
| 10 | get a number of channels at that test range | |
| 11 | that they are permitted to operate at any time | |
| 12 | they want to operate. | |
| 13 | So, at that point we've given them | |
| 14 | the assignments in that range for that | |
| 15 | location. And at that point, it becomes their | |
| 16 | daily scheduling and activity and so on. If | |
| 17 | they need more channels in their area, they'll | |
| 18 | come back to us and so on. | |
| 19 | So, they have, once again, | |
| 20 | somebody - it's like if you have - you give a | |
| 21 | license to a cell operator. You don't come | |
| 22 | back and say well, can we turn this person on | |

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| 1 | or that person on, you know, they get the | | |
| 2 | option to work within it. | | |
| 3 | Now, we really do not do much in | | |
| 4 | terms of what most would think of in terms of | | |
| 5 | cell sort of licensing where they get a | | |
| 6 | significant amount of contiguous spectrum and | | |
| 7 | a designated area to operate in. | | |
| 8 | When somebody comes in with a new | | |
| 9 | frequency assignment, the others that already | | |
| 10 | have assignments are doing a technical | | |
| 11 | analysis to see how close those things are | | |
| 12 | fitting together. | | |
| 13 | If what the new person or new | | |
| 14 | group proposes doesn't bother them, they give | | |
| 15 | the thumbs up. If they think there's going to | | |
| 16 | be an interference impact, they give the | | |
| 17 | thumbs down. | | |
| 18 | So, we don't generally manage | | |
| 19 | based on blocks of spectrum, large areas. We | | |
| 20 | base on fitting the systems technically as | | |
| 21 | close as we can get them together. | | |
| 22 | We got a lot of hands up, so - | | |

CO-CHAIR HATFIELD: I'm a little 1 2 concerned about timing, but we probably need to go ahead. Let's do five minutes more 3 4 quickly. I haven't been paying attention who 5 raised their hand first. 6 MR. CALABRESE: I think I'm just 7 going to follow up on what you did. Quickly, 8 just I'm wondering how geographically specific 9 are the assignments. And if it's a bigger area does that mean there are clients that 10 11 want these 21 small things that add up to a larger area, or does it vary? 12 13 And then also begin to say a 14 little bit more quickly about the five-year review process in terms of whether you're 15 16 getting information on what's actually in use. MR. NEBBIA: Well, the approach on 17 18 the assignment with respect to areas covered 19 is based primarily on the kind of assignment 20 So, if it's a fixed location it is. 21 assignment, whether that's a radar, a non-22 moving radar or a fixed point-to-point link,

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| 1 | that's they're given the authority based on | |
| 2 | the specific characteristics they give us and | |
| 3 | that specific location. | |
| 4 | Others, on the other hand, they | |
| 5 | have mobile requirements. For instance, the | |
| 6 | FBI needs for agents to be able to take their | |
| 7 | radio at times, hop on an airplane as part of | |
| 8 | the following of whoever they're following, | |
| 9 | get off an airplane in another town and be | |
| 10 | able to talk again. | |
| 11 | So, the setting of channels and so | |
| 12 | on for them has to involve a lot more | |
| 13 | mobility. So, they're given a set of channels | |
| 14 | that they operate on and, in some cases, a | |
| 15 | nationwide assignment to do that. So, just | |
| 16 | depends on the type of service that we're | |
| 17 | dealing with. | |
| 18 | And certainly in the five-year | |
| 19 | review, we do initiate that with each | |
| 20 | assignment. We do expect a response. And if | |
| 21 | we don't get a response, we send out a letter | |
| 22 | to the agencies notifying them that that | |

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| 1 | assignment is being pulled out of the database | |
| 2 | and their authorization is being taken away. | |
| 3 | Each of these agencies does pay | |
| 4 | fees to support NTIA's Spectrum Management | |
| 5 | Office. So, 80 percent of our budget every | |
| 6 | year in my office actually comes in through | |
| 7 | agency fees. That amounts to somewhere around | |
| 8 | \$120 per assignment since it's essentially | |
| 9 | broken down per assignment in our database. | |
| 10 | And that's renewed every October. | |
| 11 | CO-CHAIR HATFIELD: Gerry. | |
| 12 | MR. SALEMME: Yes, so the spectrum | |
| 13 | managers within the agencies, they sort of | |
| 14 | report back to you via the GMF. So, you're | |
| 15 | keeping track what the GMF - | |
| 16 | MR. NEBBIA: Yes, we maintain the | |
| 17 | GMF. They submit their requests, we put them | |
| 18 | into this daily agenda thing that distributes | |
| 19 | them to everybody. They then respond | |
| 20 | electronically whether up or down on it, any | |
| 21 | particular concerns or comments, but then we | |
| 22 | in the end maintain the database. | |

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| 1 | And that database is available to | | |
| 2 | all of those participants. It has been made | | |
| 3 | available to other members of the government | | |
| 4 | who need to understand what's going on. | | |
| 5 | Certainly if my boss were to ask for it, he | | |
| 6 | would get any access he needs to understanding | | |
| 7 | there's nothing held from him. | | |
| 8 | CO-CHAIR HATFIELD: Gary. | | |
| 9 | MR. EPSTEIN: First, Karl, thanks. | | |
| 10 | That was terrific. You've removed some of the | | |
| 11 | mystery of it. And feel free, and this is an | | |
| 12 | unfair question, you as probably pre-eminent | | |
| 13 | spectrum guy in the government, is this system | | |
| 14 | fundamentally broken? | | |
| 15 | We're all nibbling around the | | |
| 16 | edges of this. We're asking is it used | | |
| 17 | correctly? How do you know whether it's used? | | |
| 18 | Is this broken or should we have a top-to- | | |
| 19 | bottom review of it? Or in your view as the | | |
| 20 | expert in the government, is it pretty much | | |
| 21 | okay or is it impossible to change or all of | | |
| 22 | the above? | | |

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| 1 | MR. NEBBIA: I think the biggest | |
| 2 | challenge for us is we need and we're in the | |
| 3 | midst of taking some major steps forward on | |
| 4 | our data capabilities. We're working on a | |
| 5 | major upgrade to our system, and I think | |
| 6 | that's an important component of it. | |
| 7 | We have noted a number of times | |
| 8 | that we believe that it's critical in the | |
| 9 | spectrum management business, to have a level | |
| 10 | of policymaking input from the agencies. And | |
| 11 | within the last few years, we created a group | |
| 12 | called the Policy and Plans Steering Group | |
| 13 | that when we need executive level input, that | |
| 14 | we're able to convene that group and to work | |
| 15 | within that group. | |
| 16 | So that has, I think, met some of | |
| 17 | the requirement for that kind of invariable or | |
| 18 | inevitable movement toward once again folks | |
| 19 | whose main job it is to protect what they | |
| 20 | have, and this brings in some other thinking. | |
| 21 | To be honest with you, I am amazed | |
| 22 | at what the federal government does in the | |

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| 1 | spectrum that it has. There is a little bit | 2 | |
| 2 | more at times some black magic involved. | | |
| 3 | Because, for instance, when we began to move | | |
| 4 | out of the 1710 to 7055 band, we had | | |
| 5 | nationwide mobile operations in there. We had | | |
| 6 | airborne telemetry systems. We had satellite | | |
| 7 | - or satellite activities is the next band up, | | |
| 8 | but we had fixed microwave links. Some of | | |
| 9 | them transportable for the military. | | |
| 10 | And all these things are just kind | | |
| 11 | of operating together. The agencies are | | |
| 12 | talking together, communicating when there are | | |
| 13 | issues and resolving them. | | |
| 14 | So, we do a lot of things within | | |
| 15 | bands that the private sector would never do. | | |
| 16 | They would never put those types of systems | | |
| 17 | together. | | |
| 18 | Certainly as we look toward better | | |
| 19 | sharing in the future, we're going to have to | | |
| 20 | deal with some of the possibilities of | | |
| 21 | bringing either federal operations into some | | |
| 22 | of the non-federal bands and sharing in a way | | |

Page 82 they're not used to, or vice-versa. 1 2 So, I would not characterize it 3 that way, but -CO-CHAIR HATFIELD: I think I'm 4 5 getting worried about the time here. So, 6 unfortunately, I think we probably ought to 7 cut this off. I'll be the bad guy. 8 MR. DONOVAN: Bob has -9 MR. GURSS: I want to ask one more 10 question. I beg your indulgence. MR. NEBBIA: And then Jennifer, but 11 12 she'll give you a pat on the back. 13 MR. GURSS: Is there any mechanism 14 in what you've described where an applicant has to demonstrate that the channels they 15 16 already have assigned or an access to are 17 insufficient to meet the requirements that 18 they are seeking? 19 MR. NEBBIA: Well, I think 20 certainly if there are - you're talking about 21 the same applicant coming back and saying I 22 have five channels now, I really need ten.

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| 1 | That primarily applies within our | | |
| 2 | land mobile bands, which once again everybody | | |
| 3 | has to keep in mind are a fairly small piece | | |
| 4 | of spectrum. | | |
| 5 | But in those cases, we're dealing | | |
| 6 | mostly with trunk mobile radios at this point, | | |
| 7 | and we do ask them how many users per channel | | |
| 8 | they have and that sort of thing. | | |
| 9 | Ultimately we still have to get | | |
| 10 | back to relying on them for their input and | | |
| 11 | the statement of their need. | | |
| 12 | MR. GURSS: Thank you. | | |
| 13 | MS. WARREN: Bryan said I could say | | |
| 14 | one thing. | | |
| 15 | As a company that falls in some of | | |
| 16 | the 8500 FCC applications that get sent over | | |
| 17 | to NTIA, the FABs, I can't comment as much on | | |
| 18 | the others, but the turnaround time that they | | |
| 19 | s how and the questions and the flexibility is | | |
| 20 | really pretty good. I mean, it's an | | |
| 21 | impressive - This group is pretty impressive. | | |
| 22 | So, it's not a compliment to you. | | |

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| 1 | It's a compliment to Tom Woods. | | |
| 2 | MR. NEBBIA: Okay. Tom Woods. | | |
| 3 | Good. Good. No question there. | | |
| 4 | CO-CHAIR HATFIELD: Karl, thank you | | |
| 5 | so much. This has been extremely helpful. | | |
| 6 | Why don't we do a five-minute break now and | | |
| 7 | then come back and get the subcommittee | | |
| 8 | reports then. | | |
| 9 | (Whereupon, the meeting went off | | |
| 10 | the record at 10:30 a.m. for a brief recess, | | |
| 11 | and resumed at 10:39 a.m.) | | |
| 12 | CO-CHAIR TRAMONT: If everybody | | |
| 13 | could take their seats, we'll go ahead and get | | |
| 14 | underway. | | |
| 15 | Okay. So, just a quick note on | | |
| 16 | sort of processing how we're proceeding for | | |
| 17 | the rest of the morning, the four | | |
| 18 | subcommittees will make their presentations. | | |
| 19 | Two of the subcommittees have full drafts. | | |
| 20 | They're available on the table out front. | | |
| 21 | We've asked the committee chairs | | |
| 22 | to review the contents of those drafts. Our | | |

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| 1 | hopeful plan is that after a discussion of the | |
| 2 | drafts here, we will then adopt at the next | |
| 3 | open meeting, final reports from those two | |
| 4 | committees. So, there will be a chance for | |
| 5 | that full committee to have input into the | |
| 6 | drafts that you've received, as well as any | |
| 7 | members of the public that want to comment on | |
| 8 | those individual drafts. | |
| 9 | For the two subcommittees that | |
| 10 | don't have drafts yet, we're going to hope to | |
| 11 | try and move to draft quickly in each case, | |
| 12 | put those on the website and give them the | |
| 13 | full committee for comment and drive at least | |
| 14 | ideally both, potentially one, to a full vote | |
| 15 | at the next meeting as well. | |
| 16 | So, that's the process for the | |
| 17 | four existing committees. And you'll also | |
| 18 | note that there's a placeholder on the | |
| 19 | schedule for the path forward, and our goal is | |
| 20 | to create two new subcommittees that will | |
| 21 | begin their work immediately on additional | |
| 22 | topics culling from the last list of topics | |

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| 1 | that was presented at the initial meeting, as | | |
| 2 | well as some of the things that were raised | | |
| 3 | this morning by Anna, and any other topics | | |
| 4 | that people are interested in. | | |
| 5 | So, that's the sort of macro | | |
| 6 | overview on what we're trying to accomplish | | |
| 7 | for the rest of our time today. And with | | |
| 8 | that, why don't we go ahead and move to Mark | | |
| 9 | and Jennifer who will present their report on | | |
| 10 | the Spectrum Inventory. | | |
| 11 | MR. CROSBY: Thank you. First | | |
| 12 | things first. You know these things are | | |
| 13 | collaborative efforts, and the Spectrum | | |
| 14 | Inventory Working Group has been blessed with | | |
| 15 | some very active and very smart people; | | |
| 16 | Jennifer Warren, Bob Gurss, Gary Epstein, Mark | | |
| 17 | McHenry, Darrin Mylet, Marty Cooper, Michael | | |
| 18 | Calabrese, and at that propicious moment, Karl | | |
| 19 | Nebbia, because I got a three-page e-mail from | | |
| 20 | him and I'm thinking what I'm supposed to do | | |
| 21 | with this? | | |
| 22 | (Laughter.) | | |

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| 1 | MR. CROSBY: I did not hit the | | |
| 2 | delete key. I saved it as, okay, this is KN's | | |
| 3 | input, so this was great. And Byron Barker | | |
| 4 | and Karl participated in several of our calls. | | |
| 5 | I don't want to go through this | | |
| 6 | document. You can see that we've had some | | |
| 7 | very healthy discussions, a lot of | | |
| 8 | participation, because this is Version 8. | | |
| 9 | CO-CHAIR TRAMONT: I noticed that. | | |
| 10 | MR. CROSBY: And I wasn't bold | | |
| 11 | enough to say it was final, but Version 8 | | |
| 12 | because I fully expect to have very helpful | | |
| 13 | input from the full committee and the public. | | |
| 14 | And so, I thought to just label it Version 8. | | |
| 15 | We obviously do very brief | | |
| 16 | executive summary support at this initiative, | | |
| 17 | of course. We have obviously as evidenced in | | |
| 18 | the text of the document, do not believe that | | |
| 19 | spectrum reallocation is the only solution, so | | |
| 20 | we're very, very strongly proponents of | | |
| 21 | advancing technologies, all kinds of | | |
| 22 | technologies to get to the objective of | | |

accommodating the wireless needs of this 1 2 country. 3 We don't think 500 is enough 4 necessarily. We think it's going to be a lot 5 more than so, so technology improvement is an 6 absolute must. 7 We talk about funding. We have 8 some conclusions in here. I think one of the 9 other things - we had some, again, healthy discussions on the level in the capacity and 10 the benefits of spectrum benefits, that is the 11 12 discussions are in Attachment B. And at some 13 point I think it was Karl and I said well, are 14 you going to help us with the actual fields 15 that are going to be in the Spectrum 16 Inventory? 17 And so we actually took a couple 18 shots at some of the - we were very careful 19 with this. This is a recommendation. It's an 20 illustrative list of potential elements that 21 would be fields in the Spectrum Inventory, and 22 that is contained in Attachment A.

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| 1 | This has been a lot of fun work. | | |
| 2 | I don't know whether we're quite done, but | | |
| 3 | Version 8 should be - we should be running on | | |
| 4 | empty at this point. And at this point, I'll | | |
| 5 | ask for the comments and other things from the | | |
| б | great members of this working group. | | |
| 7 | Jennifer and Marty and - | | |
| 8 | CO-CHAIR TRAMONT: Comments or | | |
| 9 | questions from the floor? | | |
| 10 | David? | | |
| 11 | MR. DONOVAN: Just one quick | | |
| 12 | question in terms of inventory, and I - this | | |
| 13 | is terrific work, but I haven't read it | | |
| 14 | thoroughly, but what is your approach to | | |
| 15 | suggestions about inventory in non-licensed | | |
| 16 | uses such as unlicensed operations? | | |
| 17 | I mean, certainly they're going to | | |
| 18 | be out there, somebody is going to be using | | |
| 19 | it. How do you measure those usage? | | |
| 20 | MR. CROSBY: Anybody on the - well, | | |
| 21 | we had that discussion, David. | | |
| 22 | MR. DONOVAN: Right. | | |

| | | Page | 90 |
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| 1 | MR. CROSBY: And you can't do - you | | |
| 2 | have to do monitoring at some point, I think, | | |
| 3 | but Jennifer and those - it is an issue, | | |
| 4 | obviously, because all you have is certified | | |
| 5 | pieces of equipment. | | |
| 6 | MR. DONOVAN: Right. | | |
| 7 | MR. CROSBY: That's all you have. | | |
| 8 | MR. COOPER: To put it bluntly, | | |
| 9 | David, we did not address the issue of | | |
| 10 | measuring unlicensed - | | |
| 11 | MR. DONOVAN: Okay. Could one | | |
| 12 | measure it in terms of sort of number of | | |
| 13 | devices sold or some type of marketing | | |
| 14 | analysis measuring usage in terms of whether | | |
| 15 | the market is providing those devices? | | |
| 16 | In other words, if I have an | | |
| 17 | unlicensed band and suddenly for whatever | | |
| 18 | reason devices aren't being sold, then can one | | |
| 19 | assume that that spectrum is not being used, | | |
| 20 | or can you make some type of marketing | | |
| 21 | analysis? | | |
| 22 | MR. COOPER: The only measurement | | |

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| 1 | that I know of is it's a pure marketing | Page | 91 |
| 2 | measure. | | |
| 3 | MR. DONOVAN: Right. | | |
| 4 | MR. COOPER: What kind of | | |
| 5 | experience do users get when they try to use | | |
| б | the unlicensed and I would suggest at this | | |
| 7 | moment that a very positive experience is very | | |
| 8 | seldom at least with wi-fi that people run | | |
| 9 | into in interference issues. | | |
| 10 | CO-CHAIR TRAMONT: Marty, can we | | |
| 11 | ask of you to pull the mic closer? I | | |
| 12 | apologize, but just for purposes of the | | |
| 13 | record. | | |
| 14 | MR. DONOVAN: I guess my question | | |
| 15 | is certainly it's not a perfect condition. I | | |
| 16 | mean I could go buy a device that's, you | | |
| 17 | know, whether it's a garage door opener or | | |
| 18 | another type of wi-spy. Whether I use it or | | |
| 19 | not I agree, you know, you can't - it's tough | | |
| 20 | getting at that. But at least in terms of | | |
| 21 | usage if you look at marketing data, you could | | |
| 22 | get a sense as to whether or not the spectrum | | |

Page 92 - at least there's one - and I would argue how 1 2 important it is as to whether or not that 3 spectrum is being used for the purposes for 4 which that spectrum was either set aside or 5 shared or what have you. 6 CO-CHAIR TRAMONT: And you could 7 get a sense of the types of use, right? 8 MR. DONOVAN: And that shows the 9 types of use, right. 10 MS. WARREN: Can I point out that at least under the technical use information 11 12 in Attachment A, there is at least number and type of authorized devices listed there. 13 14 MR. DONOVAN: Okay. 15 MS. WARREN: So, that was a 16 recommendation. That's not limited to unlicensed or license. 17 MR. DONOVAN: Okay. Well, that's a 18 fair point. 19 20 CO-CHAIR HATFIELD: Isn't this an 21 area, classic area where you may want to do 22 some spot checking with actual measurements?

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| 1 | I mean, you've talked about that as a | |
| 2 | possibility selected use, and I think that | |
| 3 | would probably - that's where you would almost | |
| 4 | need it most because you have the least | |
| 5 | information that's available in a database. | |
| б | MR. EPSTEIN: In Appendix B where | |
| 7 | we talk about spot use of measurement, we | |
| 8 | explicitly call out exactly, Dale, what you | |
| 9 | just said. If you look at the first bullet in | |
| 10 | Appendix B, it talks about unlicensed. | |
| 11 | MS. WARREN: That's right. | |
| 12 | MR. EPSTEIN: And it was meant | |
| 13 | just for that, you know. We didn't know how | |
| 14 | to do it. | |
| 15 | I like Dave's idea. I mean, we | |
| 16 | could drop a footnote or if it was the | |
| 17 | consensus of the working of the group that we | |
| 18 | could say look, we may need some sort of | |
| 19 | surrogate for unlicensed. We don't know | |
| 20 | really how accurate it would be, but, I mean, | |
| 21 | it's a good suggestion. We could put it in | |
| 22 | the report. | |

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| 1 | But the question about monitoring, | |
| 2 | which probably engendered the most discussion | |
| 3 | of all in all of our eight deliberations, | |
| 4 | wound up in Appendix B. And the unlicensed | |
| 5 | issue at least was called out there for spot | |
| 6 | measuring purposes. | |
| 7 | MS. COOPER: I wonder if we could | |
| 8 | just mention that there exists now in the | |
| 9 | marketplace, a means for measuring on a | |
| 10 | continuing basis how people are using the | |
| 11 | spectrum. | |
| 12 | And I'll give you this example: In | |
| 13 | the cellular telephony area, there are people | |
| 14 | who monitor the places and times when the | |
| 15 | systems went out of capacity. There are | |
| 16 | public service organizations that will tell | |
| 17 | you what part of New York City you will have | |
| 18 | trouble talking on at what time of day, and I | |
| 19 | don't know of any other system that is better | |
| 20 | than that. | |
| 21 | Going on doing spot checking is | |
| 22 | not going to tell you those kind of things, so | |
| | | |

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| 1 | there are mechanisms for doing that. And the | | |
| 2 | thing that is important with Wi-Fi, is that's | | |
| 3 | a number of devices, but it is in fact what | | |
| 4 | the experience is. | | |
| 5 | Is somebody actually trying to use | | |
| б | a Wi-Fi at some point and failing? | | |
| 7 | CO-CHAIR HATFIELD: Or what is the | | |
| 8 | overall level of interference? I mean, Wi-Fi | | |
| 9 | is so intensely used that the interference | | |
| 10 | begins to look sort of noise-like, I think. | | |
| 11 | And what you're looking at is then what sort | | |
| 12 | of interference levels are you getting in the | | |
| 13 | aggregate in a particular band, and it seems | | |
| 14 | to me that's fairly important information to | | |
| 15 | get. | | |
| 16 | MR. COOPER: Absolutely. But what | | |
| 17 | I'm suggesting is that polling users might be | | |
| 18 | a better way of determining that, Dale, than | | |
| 19 | trying to do ten - just ask me how I did - | | |
| 20 | CO-CHAIR HATFIELD: This is | | |
| 21 | probably not a good place to have a detailed | | |
| 22 | technical debate, but the user experience | | |

depends on a lot of things. When things slow 1 2 down, I don't know whether it's because it's 3 a server that's delivering the content to me. It's the network of the - my ISPs network or 4 5 it's because I have a PC that's, like I do, 6 that's so old it's having trouble keeping up 7 with what I'm getting. 8 And that's the reason I think you 9 have to be very careful relying just on user experience. And I think from the scientific 10 11 standpoint you'd like to isolate those. And 12 one of the things you want to isolate is how 13 much of this is due to spectrum congestion 14 problems versus congestion in the backbone 15 network or someplace else. 16 CO-CHAIR TRAMONT: It seems to me 17 that David's point raises two different public 18 policy concerns. 19 One is, is it being so heavily 20 used and congested that it warrants additional 21 spectrum, what have you. 22 The other is, is it being so

underused that it should be re-purposed? 1 And 2 the user experience will not capture the 3 underused. And so spot checks and sales are 4 a way to get the underuse problem to the 5 extent they exist at all. DR. KAHN: I think, though, there 6 7 may be another opportunity underlying this if 8 we could figure out how to harness it, which 9 is sort of kind of a riff off what Marty was 10 savinq. Two things. One, it may not need to be a government thing, that there are other 11 resources out there that are doing these kinds 12 of activities for other reasons. 13 The other is that one could 14 15 actually think about trying to get, for 16 example, on Wi-Fi, one could say hey, you 17 know, we're going to make available a general 18 standard, which is essentially a management report on spectrum interference as seen by an 19 20 access point. And a user is allowed to turn 21 that on in his access point, and it will 22 report to an anonymous server someplace that

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1 information.

| 2 | There already are enormous |
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| 3 | databases out there of the location of Wi-Fi |
| 4 | access points. If you want to know that, just |
| 5 | ask your iPhone where you are and if you're |
| 6 | not in a 3G coverage, it will be amazingly |
| 7 | accurate if you've got Wi-Fi turned on. |
| 8 | There's a lot of this information |
| 9 | that's sitting out there. It might be |
| 10 | possible with a small amount of voluntary |
| 11 | suggestion to industry and people, to actually |
| 12 | get these systems to report more information. |
| 13 | And then there's privacy respecting all those |
| 14 | sort of issues you have to think about to do |
| 15 | that. |
| 16 | But from strictly a kind of |
| 17 | understanding spectrum perspective, the nice |
| 18 | thing is all these radios now are smart. They |
| 19 | all have processors sitting in them. And to |
| 20 | the extent in which you can get some of these |
| 21 | radios to voluntarily report what they are |
| 22 | seeing, you have a much, much cheaper and |

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| better monitoring capability than you'd ever | | |
| have by going out and just sort of doing | | |
| random spectrum analyzer measurements. | | |
| So, it's something to think about | | |
| whether something of that sort ought to be at | | |
| least looked at. | | |
| CO-CHAIR TRAMONT: Harold, and then | | |
| Karl. | | |
| MR. FURCHTGOTT-ROTH: In this | | |
| report, you all have done a lot of wonderful | | |
| work. | | |
| CO-CHAIR TRAMONT: Can I ask for | | |
| the microphone again. I'm sorry, Gary. Can | | |
| you pass it down? Sorry. Thank you. | | |
| MR. FURCHTGOTT-ROTH: You've gone | | |
| to a great deal of work putting this together, | | |
| and I just want to compliment you on a certain | | |
| tonal issue that I'm very sensitive to. And | | |
| those are issues that might particularly | | |
| affect entities that have invested large sums | | |
| of money in licenses. | | |
| And there are two issues pretty | | |
| | have by going out and just sort of doing random spectrum analyzer measurements. So, it's something to think about whether something of that sort ought to be at least looked at. CO-CHAIR TRAMONT: Harold, and then Karl. MR. FURCHTGOTT-ROTH: In this report, you all have done a lot of wonderful work. CO-CHAIR TRAMONT: Can I ask for the microphone again. I'm sorry, Gary. Can you pass it down? Sorry. Thank you. MR. FURCHTGOTT-ROTH: You've gone to a great deal of work putting this together, and I just want to compliment you on a certain tonal issue that I'm very sensitive to. And those are issues that might particularly affect entities that have invested large sums of money in licenses. | <pre>better monitoring capability than you'd ever have by going out and just sort of doing random spectrum analyzer measurements. So, it's something to think about whether something of that sort ought to be at least looked at. CO-CHAIR TRAMONT: Harold, and then Karl. MR. FURCHTGOTT-ROTH: In this report, you all have done a lot of wonderful work. CO-CHAIR TRAMONT: Can I ask for the microphone again. I'm sorry, Gary. Can you pass it down? Sorry. Thank you. MR. FURCHTGOTT-ROTH: You've gone to a great deal of work putting this together, and I just want to compliment you on a certain tonal issue that I'm very sensitive to. And those are issues that might particularly affect entities that have invested large sums of money in licenses.</pre> |

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| 1 | big with Spectrum Inventory I think that need | |
| 2 | to have some care taken. One is not to create | |
| 3 | a perception that the government is going to | |
| 4 | go around and look at usage in licensed | |
| 5 | spectrum with the possibility of reclaiming | |
| 6 | licenses. I think that might discourage | |
| 7 | future investment in licenses. | |
| 8 | And the second part is that the | |
| 9 | flip side of that is that in many licensed | |
| 10 | bands there's a lot of unlicensed use, shared | |
| 11 | use, and it's very difficult to distinguish | |
| 12 | what activity is going on in terms of | |
| 13 | transmissions in a particular band, where it's | |
| 14 | coming from. | |
| 15 | And so even, as Gary points out, | |
| 16 | you can collect a lot of information, but Wi- | |
| 17 | Fi is a precise example of where a lot of the | |
| 18 | activity may not be for licensed purposes. | |
| 19 | It would be very discouraging to | |
| 20 | the role of providing clear market signals for | |
| 21 | licensed spectrum if there was any concept | |
| 22 | that usage or that some level of usage too | |

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| 1 | Page 101 much or too little might lead to some |
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| Ŧ | much of too fittle might lead to some |
| 2 | government action to reclaim licenses. |
| 3 | And I think the tone right now is |
| 4 | right. I just want to make sure it stays at |
| 5 | that. |
| б | MR. COOPER: I wonder if I could |
| 7 | just make a comment. That was a very relevant |
| 8 | remark. |
| 9 | What our committee faces is short |
| 10 | term and a long-term problem. On the short |
| 11 | term, we don't see the measurement of spectrum |
| 12 | really do us much good at all, because we |
| 13 | already know that most of the spectrum is |
| 14 | unused, and isolated pieces of the spectrum at |
| 15 | isolated part of time are overused and there's |
| 16 | nothing you can do about that. |
| 17 | Long term, and my view of long |
| 18 | term is 10 or 15 years. Mark McHenry thinks |
| 19 | it's next week. Long term when we can do |
| 20 | dynamic spectrum access and when there is |
| 21 | government policy that permits it, then the |
| 22 | measurements may have or will have some |

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| 1 | important value. | |
| 2 | And we're tying to somehow | |
| 3 | separate those two issues in this report, and | |
| 4 | it's very difficult. | |
| 5 | CO-CHAIR TRAMONT: Okay. Karl's | |
| 6 | next, and then we'll go to Michael. | |
| 7 | MR. NEBBIA: I just wanted to step | |
| 8 | back to the unlicensed issue. And I think | |
| 9 | once again putting it in the context of this | |
| 10 | inventory, I think we have to remember the | |
| 11 | inventory, I think, most possibly becomes a | |
| 12 | beginning point for further discussions. It's | |
| 13 | not the in-depth understanding of how | |
| 14 | everything is done, how much people are on. | |
| 15 | In this particular case for | |
| 16 | instance with unlicensed devices, a beginning | |
| 17 | point might be to have the information that is | |
| 18 | within, I think, the Commission's | |
| 19 | certification process pulled up where there at | |
| 20 | least is a list of car key fobs are on this | |
| 21 | frequency, garage doors are on this frequency. | |
| 22 | So, at least people know where | |

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| 1 | they are, because in at least regulatory terms |
| 2 | they don't really have bands that are just set |
| 3 | aside for them. They're often working with |
| 4 | licensed services around and so on. |
| 5 | But, for instance, when DoD began |
| 6 | developing its trunk mobile radio system to |
| 7 | install around the country in 3A to 400, they |
| 8 | would have at least, I think, given it some |
| 9 | thought if it was clear that the whole garage |
| 10 | door industry had settled down there over the |
| 11 | last 30 years. And, in fact, possibly made |
| 12 | some fairly simple decisions in its process of |
| 13 | where it put a control channel and so on, so |
| 14 | that it wasn't hammering those door openers. |
| 15 | Now, those door openers don't have |
| 16 | any status, but just knowing that they're |
| 17 | there could have enabled maybe some simple |
| 18 | decision-making processes that would have |
| 19 | resulted in not as much conflict as we've had. |
| 20 | So, I think at least as a starting |
| 21 | point just being able to get a clear list of |
| 22 | where these products are being operated would |

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| 1 | be really terrific. | ruge | 101 |
| 2 | MR. CROSBY: It's in Appendix A, | | |
| 3 | description of communication devices done by | | |
| 4 | band. | | |
| 5 | MR. NEBBIA: Or once again | | |
| 6 | specifically on the unlicensed side, it would | | |
| 7 | just be nice. Now, I mean we all know that | | |
| 8 | Wi-Fi operates at 2.4. There's some now at | | |
| 9 | five gigahertz. We also know that's data | | |
| 10 | systems at 902 to 928 and so on. | | |
| 11 | But all these other types of | | |
| 12 | devices that have grown up basically | | |
| 13 | underlaying our licensed service bands, many | | |
| 14 | of us don't know where they are. And I think | | |
| 15 | at least as part of the inventory, that would | | |
| 16 | be helpful to have as opposed to trying to get | | |
| 17 | into well, how much are they operating and so | | |
| 18 | on. | | |
| 19 | I think if you talk about car key | | |
| 20 | fobs, everybody has one now. We'll need to | | |
| 21 | debate how much they're used. We know they're | | |
| 22 | there, and I think that becomes a key | | |

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| 1 | component. | |
| 2 | CO-CHAIR TRAMONT: Michael, you're | |
| 3 | next. | |
| 4 | MR. CALABRESE: Yes, I think it's | |
| 5 | useful to know, I mean, you know, after | |
| 6 | listening to Marty and so on, useful to know | |
| 7 | that there is - the report is schizophrenic on | |
| 8 | measurements because the committee was | |
| 9 | extremely divided on that issue. | |
| 10 | So, for example, on the one hand | |
| 11 | we have this appendix that talks about all the | |
| 12 | benefits of measurements, which I think we | |
| 13 | would agree. And then we ended up agreeing to | |
| 14 | that that could be limited to verification and | |
| 15 | spot checking and so on. | |
| 16 | On the other hand, there's some | |
| 17 | language in the front which I'm surprised to | |
| 18 | see is still here. Measurements of spectrum | |
| 19 | occupancy will offer little or useful | |
| 20 | information that is not already available from | |
| 21 | existing sources, which several of us | |
| 22 | vehemently disagreed with and I thought we had | |

Page 106 agreed to take out. 1 2 So, there's this, you know, very much a division. It will be interesting to 3 4 look at this juxtaposed to the transparent 5 report which I think also have a different 6 view from that sentence. 7 So anyway, this may be something 8 that we will need to continue airing with the 9 full committee as far as what is the value of 10 actually knowing what's happening as opposed 11 to what's on a paper record or what people 12 self-report when in many cases they don't 13 actually know what's happening on a band that 14 they license because there may be transmissions that are coming over from other 15 16 bands, rogue operators, you know, some of the 17 sort of things that are mentioned in the 18 appendix, as well as the benefit on bands such 19 as the unlicensed. 20 CO-CHAIR TRAMONT: Greq. 21 MR. ROSSTON: So, I guess I wanted 22 to sort of follow up on Harold's point a

Page 107 little bit, which is we very much want to make 1 2 sure that people who have licenses understand that this is sort of telling what's going on. 3 4 But also when I often talk about doing a 5 spectrum inventory, tells you what people are 6 doing, but it does not tell you how much it's 7 worth. And you need to think about sort of 8 what's the value of the transmission or the 9 ability to have unfettered transmission at certain points in time for public safety or 10 things like that that need to have the ability 11 12 to communicate without interference at very critical points in time. 13 14 So, I think that's an important 15 thing to put in to qualify that yes, this is 16 an important thing to understand how the 17 spectrum is being used and when it's being 18 used, but that it does not necessarily 19 indicate that supposedly underutilized 20 spectrum from a technical perspective is not 21 necessarily underutilized from an efficiency 22 spec point of view.

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| 1 | MS. WARREN: I just wanted to |
| 2 | address two points which I think are important |
| 3 | and were raised by different members' |
| 4 | comments. |
| 5 | The purpose of the inventory, I |
| 6 | mean, we talked a lot about that, what is the |
| 7 | purpose of it. And we looked at it not as a |
| 8 | tool for reallocation or sharing, but really |
| 9 | a way to give policymakers an understanding of |
| 10 | what is there. |
| 11 | So, that's why I think you see |
| 12 | some of the tonal balance perhaps, and I think |
| 13 | we can even say that very much up front what |
| 14 | it's purpose is not. And if it were looked at |
| 15 | only as a tool for reallocation and sharing, |
| 16 | it's not the solution for the future of mobile |
| 17 | broadband or anybody else's uses which we |
| 18 | don't talk about so much. |
| 19 | But I do think that a lot of the |
| 20 | technical inventory suggestions that we have |
| 21 | are intended to help policymakers start to |
| 22 | look at okay, there may be underutilization |

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| 1 | even in licensed bands. I don't particularly | |
| 2 | think licensed bands are sacred, and maybe | |
| 3 | there is an opportunity for sharing if not, | |
| 4 | you know, obviously reallocating. | |
| 5 | In terms of value, I think we | |
| 6 | tried to address that by talking about | |
| 7 | missions and the purposes and uses that the | |
| 8 | specific spectrum bands and assignments were | |
| 9 | being used for so that there was a better | |
| 10 | understanding to the policymakers not just | |
| 11 | with the allocation set, but what the | |
| 12 | individual uses at a sublevel were, again, to | |
| 13 | give them a better tool for understanding what | |
| 14 | it was. I just wanted to highlight that. | |
| 15 | CO-CHAIR TRAMONT: Gary. | |
| 16 | MR. EPSTEIN: This is a very risky | |
| 17 | suggestion, but there are a lot of good | |
| 18 | suggestions that are being made here and we | |
| 19 | will try to capture them in our subcommittee, | |
| 20 | but if people want to do specific language it | |
| 21 | would even be more helpful. | |
| 22 | MR. CROSBY: Greg's thing on the | |

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| 1 | value of the transmission, that almost is |
| 2 | another whole working group, because you can |
| 3 | make - well, that's fine, but this is going to |
| 4 | make some very hard decisions. |
| 5 | Police officers' communication is |
| б | critically more important than somebody on |
| 7 | their iPhone finding out the menu at a Mexican |
| 8 | restaurant, right? |
| 9 | And so there's going to be some |
| 10 | very interesting discussions. |
| 11 | MR. EPSTEIN: There's a relatively |
| 12 | easy way to handle it, and it's, I think, what |
| 13 | Karl said. And that is basically this is a |
| 14 | warning signal for further investigation. |
| 15 | As Jennifer said, it's not a |
| 16 | decision-making tool. |
| 17 | MS. WARREN: Exactly. |
| 18 | MR. ROSSTON: First of all, I think |
| 19 | that this is a very important way to do |
| 20 | things, and I just wanted the caveat that this |
| 21 | does not say value doesn't, you know, at some |
| 22 | point someone should think about values and |

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| 1 | the idea that John Leibovitz talked about, the |
| 2 | voluntary reallocation and things like that |
| 3 | are ways to think about value, but I think we |
| 4 | want to just put the caveat this is a |
| 5 | measuring use, not necessarily measuring |
| 6 | value. |
| 7 | MS. WARREN: It's not just |
| 8 | measuring use. It's identifying what the use |
| 9 | is, and that is the value, one would argue, |
| 10 | for the policymaker to see, and then go off |
| 11 | separate from the inventory and make policy |
| 12 | decisions. And those people then equate oh, |
| 13 | I think public safety is more important that |
| 14 | MSS. |
| 15 | CO-CHAIR TRAMONT: Rob and Kevin. |
| 16 | MR. GURSS: What is the use that |
| 17 | the - when you say what the use is, do you |
| 18 | mean the content or what the technical plan |
| 19 | is? |
| 20 | MS. WARREN: If I recall correctly, |
| 21 | and I now can't remember which document it's |
| 22 | in because I worked so much on both, but in |

one of the two documents, transparency or 1 2 inventory, we recommend that it be clearly understood with the use, to what use is the 3 4 frequency assignment or the license being put 5 or the spectrum being put. 6 So, if it's a key fob, an MSS 7 service, air traffic radar versus just generic 8 radar, you know, I'm a little familiar with those types of measures that we were talking 9 about, but there would be a gradation beyond 10 there's a radio navigation allocation here. 11 12 Well, does that tell, you know, 13 that might not tell policymakers as much as 14 explaining so what purpose does that serve. That's what I meant. 15 16 And it's in one of the two 17 documents, but I apologize I don't recall which. 18 19 CO-CHAIR TRAMONT: Kevin. 20 DR. KAHN: Sorry. I just want to 21 come back one more time to this issue and I'll 22 try to phrase it in a slightly different way.

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Page 113 What's done here and what this 1 2 committee I think was chartered to do is 3 relevantly speaking retrospective at any given 4 time. Which is to say that you wind up with 5 a database that you will struggle on a 6 continuing basis to try to keep useful and 7 accurate and up to date. And I wonder for - and if I 8 9 broadly broke thins into the 24-hour seven stuff like Jennifer listed the radars and the 10 map signals, things like that, and the other 11 12 stuff that's not, whether he's thinking whether in this committee or maybe getting it 13 14 for future discussion somewhere else about are 15 there technical things that we might suggest 16 going forward that would have a little more of 17 a prospective view of things self-reporting by 18 radio systems when deployed. 19 Because again, you know, I just 20 observed that we have moved from a world in 21 which the radios barely had enough smarts to 22 get their signals on the air, to one in which

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| 1 | there's a ton of confrontation essentially out | |
| 2 | there in these things. | |
| 3 | And in thinking about this problem | |
| 4 | on a continuing basis and how do we have a | |
| 5 | picture of the way in which spectrum is used, | |
| 6 | I think it would behoove us to ask is there a | |
| 7 | way to harness that technology to get that | |
| 8 | picture reported to the policymakers and more | |
| 9 | continually update a contemporaneous manner at | |
| 10 | least to take that as a target so that by 2020 | |
| 11 | some committee is not sitting in a room like | |
| 12 | this going geez, spectrum inventory is kind of | |
| 13 | out of date, how do we get it updated. | |
| 14 | I mean, somehow we ought to be | |
| 15 | thinking how do we move to a mechanism where | |
| 16 | we use the technology to give this kind of | |
| 17 | data in a continuing basis to the - | |
| 18 | CO-CHAIR TRAMONT: I think we | |
| 19 | should put a marker down for that as a | |
| 20 | possible - | |
| 21 | MR. COOPER: Kevin, just a former | |
| 22 | working group, I understand exactly what you | |

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| 1 | said and we're going to work very hard to | |
| 2 | embed something in our report. | |
| 3 | DR. KAHN: Okay. | |
| 4 | CO-CHAIR TRAMONT: Bob is going to | |
| 5 | wrap this one up. | |
| б | MR. GURSS: Just kind of briefly on | |
| 7 | Kevin's comment about that, I mean, we've | |
| 8 | heard others in terms of the technical | |
| 9 | capabilities that you were describing. I | |
| 10 | assume they'll be there or are there. | |
| 11 | I guess two issues come around. | |
| 12 | One on the federal side is security issues | |
| 13 | because how much information do you want about | |
| 14 | how a particular device is used to be in a | |
| 15 | database. | |
| 16 | And on the FCC side, you run into | |
| 17 | privacy issues in terms of that. So, I'm not | |
| 18 | saying either of those are insurmountable. | |
| 19 | But I think going forward, those would be | |
| 20 | important issues to consider. | |
| 21 | CO-CHAIR TRAMONT: Great. With | |
| 22 | that, I think we will end the discussion on | |

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| 1 | this draft report. Thank you for the very |
| 2 | hard work of the committee and producing this |
| 3 | excellent draft. |
| 4 | Our mission now will have whatever |
| 5 | version number we're on at the next meeting, |
| 6 | will be the final voted upon version. So, |
| 7 | urge people to take a closer view of the |
| 8 | draft, get their thoughts to the committee so |
| 9 | that they can incorporate them to the extent |
| 10 | that there's a consensus around those edits |
| 11 | and move to final draft, and we will vote on |
| 12 | the draft at the next meeting. |
| 13 | Yes, Gerry. |
| 14 | MR. SALEMME: So, just from a |
| 15 | process standpoint, so if we're making |
| 16 | comments on this draft, is the draft moving? |
| 17 | Are you doing other things with it? |
| 18 | MR. CROSBY: No. |
| 19 | CO-CHAIR TRAMONT: Why don't we set |
| 20 | a timeline for a requested feedback loop. |
| 21 | What would you - three weeks? |
| 22 | MR. CROSBY: When is the next |

Page 117 meeting? 1 2 CO-CHAIR TRAMONT: It's to be, we 3 hope, eight to ten weeks out. 4 MR. CROSBY: eight to ten weeks. 5 Okay. Three weeks. 6 CO-CHAIR TRAMONT: Three weeks. 7 MR. CROSBY: We can't do anything 8 with it next week, but know that I just assume 9 you give me a red line. CO-CHAIR TRAMONT: Yes. 10 MR. CROSBY: Give me a red line so 11 12 that I can keep track of all of this. 13 CO-CHAIR TRAMONT: Let's say about 14 April 1. Does that make sense, 1st of April? 15 MR. CROSBY: That's good. 16 CO-CHAIR TRAMONT: Okay. MS. WARREN: Can I make one 17 18 comment? 19 We did try to incorporate comments 20 that were provided last time when Mark went 21 through the outline. So, for example, Gerry, 22 noting a satellite issue or two in terms of

Page 118 spectrum harmonization and things. 1 2 So, we did try to encompass that as well, so look for that. 3 4 MR. SALEMME: Okay. The last thing 5 is, is there a soft copy? Because I don't 6 think I have a soft copy. 7 MR. CROSBY: Joe Gattuso has a soft 8 copy. 9 MR. GATTUSO: Can we put this on -10 CO-CHAIR TRAMONT: Okay. Well, if 11 not, we will. 12 MR. GATTUSO: And if you'd like, we 13 can start posting this on the website --14 CO-CHAIR TRAMONT: Sure. MR. CROSBY: Version 8. 15 16 CO-CHAIR TRAMONT: Yes. The latest and best. 17 18 MR. DONOVAN: Just a process point, 19 Mr. Chairman. 20 CO-CHAIR TRAMONT: Oh, boy. 21 (Laughter.) 22 MR. DONOVAN: As the subcommittees

| | Page 119 |
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| 1 | present their reports and the rest of the |
| 2 | committee reviews that report, if there are |
| 3 | disagreements among - I can't imagine why |
| 4 | there would be. |
| 5 | CO-CHAIR TRAMONT: No. |
| б | MR. DONOVAN: If there are |
| 7 | disagreements among us, does the full |
| 8 | committee contemplate sort of here's the text |
| 9 | of the vote of the full committee with |
| 10 | minority positions in the footnotes? Classic |
| 11 | FCC stuff. |
| 12 | So, how are we going to approach |
| 13 | that? |
| 14 | CO-CHAIR TRAMONT: This is an |
| 15 | excellent topic. It's had varied answers over |
| 16 | the course of our three-year tenure. |
| 17 | I think our goal is to emerge with |
| 18 | a consensus document. We in the past have had |
| 19 | if there is not a consensus that emerges in |
| 20 | the past, we have had a majority and a |
| 21 | minority view. And so that is a path forward, |
| 22 | if that is the only path. If need be, that is |

| | | Page | 120 |
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| 1 | a path forward. | | |
| 2 | MR. DONOVAN: So, in the context of | | |
| 3 | reviewing documents and people sending in | | |
| 4 | their various positions, should that be done | | |
| 5 | up front or is this something that we do if we | | |
| 6 | can't reach - | | |
| 7 | CO-CHAIR TRAMONT: I think ideally | | |
| 8 | what would happen is the subcommittee | | |
| 9 | leadership would come to the conclusion that | | |
| 10 | there is an inalterable difference of opinion | | |
| 11 | amongst the committee. And then there would | | |
| 12 | be a charge placed on the minority view to | | |
| 13 | create a document that would reflect their | | |
| 14 | views most accurately. That would be | | |
| 15 | presented along with the final draft report | | |
| 16 | that reflects the majority view. | | |
| 17 | MR. DONOVAN: Okay. And then if | | |
| 18 | the conflict arises at full committee? | | |
| 19 | CO-CHAIR TRAMONT: I'm sorry. | | |
| 20 | MR. DONOVAN: In other words, the | | |
| 21 | subcommittee may have differing opinions, and | | |
| 22 | even in my group - | | |

| | Page 121 |
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| 1 | CO-CHAIR TRAMONT: Well, you would |
| 2 | now be presented - at this time we are now a |
| 3 | full committee deliberating the documents. |
| 4 | MR. DONOVAN: Right. |
| 5 | CO-CHAIR TRAMONT: So, the sense of |
| 6 | whether or not there's a majority will be from |
| 7 | the full committee. |
| 8 | MR. DONOVAN: Okay. |
| 9 | CO-CHAIR TRAMONT: Hopefully there |
| 10 | will not be something that emerges in the |
| 11 | drafting between now and April 1 that's |
| 12 | different from what would happen at our |
| 13 | ultimate meeting in May. |
| 14 | So, presumably if there's an |
| 15 | issue, it will get fleshed out in this next |
| 16 | round. |
| 17 | MR. DONOVAN: Okay. |
| 18 | CO-CHAIR TRAMONT: Karl. |
| 19 | MR. NEBBIA: I just wanted to |
| 20 | mention we certainly value the back and forth. |
| 21 | We recognize everybody is not always going to |
| 22 | agree, but ultimately what we really need is |

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| clear, actionable recommendations. Because, |
| in fact, part of this process ultimately is we |
| get queried to report on how many of the |
| recommendations that we've acted on and what |
| we've done with them. |
| So, if they're not clear enough |
| for us to figure out what the recommendation |
| actually is, we don't know whether to count |
| that or not count that and so on. So, |
| ultimately we need that. For all of the other |
| debate and back and forth, we need clear, |
| actionable recommendations. Thank you. |
| CO-CHAIR TRAMONT: Great. Okay. |
| With that, we will move on to Darrin Mylet's |
| presentation on the work of the Transparency |
| Subcommittee. |
| MR. MYLET: All right. So, good |
| afternoon and thanks to those who have been |
| able to make major contributions to this |
| report. I do invite everybody on the task |
| force to take a look at the document, red |
| line, give us any suggestions, any concerns |
| |

you might have. 1 2 Certainly is an interesting topic, 3 spectrum transparency. Because when you listen to the commercial side and you listen 4 5 to the federal side, you think that all the 6 spectrum is used, allocated or maybe 7 inefficiently used. 8 The facts of the matter is I don't 9 think we have a spectrum transparency definition, so first of all we tried to 10 11 develop a spectrum transparency definition. 12 So, I invite you to read that on Page 9. 13 With regards to getting into 14 spectrum transparency and understanding transparency, it's difficult for our group to 15 16 know what FCC or NTIA leadership see or have 17 the ability to see relative to any and all 18 wireless spectrum resources in our country 19 both at the federal and non-federal level, or 20 in fact do they even want to see it may be 21 another question. 22 What procedures sort of exist? Ι

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| 1 | mean, we saw some of those today. And what |
| 2 | tools are there? When I looked at that IRAC, |
| 3 | it looked like a bunch of paper files. |
| 4 | Hopefully we'll move to more electronic files |
| 5 | and more electronic capabilities perhaps as we |
| 6 | move forward. |
| 7 | But this is the first time I think |
| 8 | we've addressed transparency, and I |
| 9 | anticipated there would be further education |
| 10 | discussions on what is use, what's non-use, |
| 11 | what's saved, what's reserved, what's |
| 12 | warehoused at the federal/non-federal level. |
| 13 | And I think we're trying to address some of |
| 14 | those in this particular document |
| 15 | understanding assignments and allocations, |
| 16 | looking at the value of monitoring and |
| 17 | measurements which, to me, can really |
| 18 | illustrate transparency. |
| 19 | I don't know about a lot of people |
| 20 | in the group, but I get tired of referencing |
| 21 | four and five-year-old spectrum utilization |
| 22 | studies. Let's reference some reality. Let's |

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| 1 | get to the spectrum fact. And I think that's |
| 2 | the theme of what we're attempting to do. |
| 3 | Discussions on use and discussions |
| 4 | on non-use and really being able to identify |
| 5 | that particular spectrum specificity is |
| 6 | certainly a theme of the recommendations that |
| 7 | we have. |
| 8 | And if spectrum is in fact being |
| 9 | used, then you have another level of |
| 10 | discussion which to me sort of gets to the |
| 11 | efficiency question. |
| 12 | If there's nothing on the air, |
| 13 | there's no transmitters or receivers and |
| 14 | nothing planned, well, then that spectrum |
| 15 | isn't used. But if there is a system that's |
| 16 | using five or ten-year-old technology, that |
| 17 | may be a question of analyzing efficient use |
| 18 | of the particular spectrum, but you can't get |
| 19 | into these discussions without levels of |
| 20 | transparency that I think we're trying to get |
| 21 | at here. |
| 22 | As a citizen, a member of CSMAC, |

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| 1 | this document is really tailored, in my |
| 2 | opinion, towards the leadership of NTIA and |
| 3 | the leadership of FCC to assure me that the |
| 4 | fed and non-federal spectrum is not |
| 5 | artificially scarce. Which I tend to believe |
| 6 | as an individual, that it is artificially |
| 7 | scarce. Transparency seems to be a theme of |
| 8 | this administration, so let's do it. And |
| 9 | that's part of the recommendations that we're |
| 10 | attempting to build here. |
| 11 | The consensus finding and theme of |
| 12 | our recommendations indicate within the |
| 13 | discussions we've had with NTIA folks, we all |
| 14 | admit we can do better, and we have to do |
| 15 | better. Given what's coming down the road, I |
| 16 | believe there's no time more than now to get |
| 17 | proactive in this. |
| 18 | I mean, I think the spectrum |
| 19 | inventory is the first inning of a nine-inning |
| 20 | baseball game, and I think we're going to be |
| 21 | moving forward and getting better at that. |
| 22 | FCC and NTIA leadership and staff |

Page 127 can push industry and agency alike to clearly 1 2 and accurately know how much is out there, how much they have in their control, how much is 3 More technical and strategic folks can 4 used. analyze and advise on policy regarding 5 6 efficiency, which seems to me to be more about 7 evaluating a specific system or mission. 8 But again if we continue to see 9 that 80 to 90 percent of the spectrum in 10 certain areas comes up on modern spectrum analyzers not being used, it would be nice to 11 12 have some specificity behind that. 13 So, regarding facts, a prominent 14 official once observed we policymakers are 15 like surgeons. We won't last long if we 16 ignore what we see once we cut the patient 17 open. I think it's time we cut the 18 19 spectrum patient open and see the reality, and 20 transparency can provide this. 21 (Laughter.) 22 MR. MYLET: And that's the way I

| | 1 | Page | 128 |
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| 1 | view spectrum is we need to be surgical. We | | |
| 2 | need to cut into it and really, really see it. | | |
| 3 | That would eliminate speculation, | | |
| 4 | probabilities, possibilities, estimates, best | | |
| 5 | guesses which I think reinforces the status | | |
| 6 | quo of this spectrum chaos I sometimes think | | |
| 7 | we're in. | | |
| 8 | The current spectrum | | |
| 9 | administration and management systems seem to | | |
| 10 | me to be a spectrum fortress difficult to | | |
| 11 | penetrate and understand. By implementing | | |
| 12 | transparency, we'll get to more | | |
| 13 | accountability, in my opinion, and eventually | | |
| 14 | more use. Primary, co-primary, secondary | | |
| 15 | sharing, all these things. | | |
| 16 | So, we're not even close to | | |
| 17 | hitting the broadband demand that's out there. | | |
| 18 | The incumbents and innovative companies alike | | |
| 19 | need more access. Federal agencies need more | | |
| 20 | access to the spectrum. | | |
| 21 | So, this is the theme of the | | |
| 22 | paper. I think we've made an attempt to | | |

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| 1 | identify a lot of ideas here, certainly opened |
| 2 | up the floor in ideas and recommendations. |
| 3 | Transparency isn't about |
| 4 | reallocating or reassigning. To me, it's |
| 5 | about getting to the spectrum truth and |
| 6 | knowing specifically if you have 5,000 |
| 7 | megahertz in the low five gigahertz, every |
| 8 | five or every one or every ten megahertz in |
| 9 | any specific geography of your choosing, zip |
| 10 | code, state, country, who has it and how much |
| 11 | do they have and maybe how much do they use. |
| 12 | So, with that I'll leave it open |
| 13 | to the floor for discussion. Thank you. |
| 14 | CO-CHAIR TRAMONT: Just a reminder |
| 15 | our process here will be the same for this |
| 16 | report that's in full draft. So, we're |
| 17 | looking for edits by April 1st. |
| 18 | So, thoughts on Darrin's draft? |
| 19 | MR. FURCHTGOTT-ROTH: Again, you |
| 20 | all have done an enormous amount of work. I'm |
| 21 | very impressed by the work that's been done. |
| 22 | I just want to get some |

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| 1 | clarification of - there's a lot of overlap |
| 2 | between this and the spectrum inventory group. |
| 3 | There's some overlap also in subcommittee |
| 4 | members. |
| 5 | I just want to get some guidance |
| 6 | to the full committee about the difference in |
| 7 | approach of these two subcommittees. |
| 8 | MR. MYLET: Well, my feeling is |
| 9 | that spectrum inventory is satisfying a |
| 10 | specific request, time, date, certain, you |
| 11 | know, it's sort of one singular output. And |
| 12 | I felt that spectrum inventory was sort of the |
| 13 | beginning of trying to develop technology and |
| 14 | policy towards a more comprehensive |
| 15 | transparency. |
| 16 | CO-CHAIR TRAMONT: Just an |
| 17 | institutional thing, and then Michael and then |
| 18 | Gary. |
| 19 | We have tried at the beginning of |
| 20 | each process to have a scope of work statement |
| 21 | from each of the subcommittees. As you'll |
| 22 | recall, we formed the committees, we asked for |

Page 131 scope of work discussions, yet we're distinct. 1 2 It does underscore the importance 3 of that process. We're about to form two new 4 committees. We should probably focus very clearly on what the scope of work is, and then 5 6 try and stick with it so that we don't have 7 too much mission creep. So, it's just an institutional note. 8 9 I think it's inherent that issues are closely related, so there will be some 10 11 issue creep and bleed. But I think we need to 12 be sensitive when we define the scope of work. And as people are working towards their final 13 14 products, that they're within the boundaries. Michael. 15 16 MR. CALABRESE: Yes. Actually, to 17 that I thought that there was a very useful 18 distinction that's framed in this transparency report which is between the internal spectrum 19 20 transparency and external. 21 So, the inventory group was 22 concerned primarily with what's being

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presented, you know, what is kind of the list of parameters or metrics to be presented to the public kind of speaking a little bit more toward the bills that are about to go through congress in that regard.

6 Whereas I saw this as being its 7 most important recommendations focus more on 8 the internal transparency for actual 9 management by NTIA and FCC. So, in fact, along those lines I just wanted to highlight 10 what I felt were real terrific framing in 11 12 terms of this notion of zero-based spectrum 13 budgeting based on NTIA requiring periodic 14 reporting of actual use on a shorter than 15 five-year time frame. I think the transparency committee says two years. 16 17 And that sort of notion, you know, 18 bringing this notion of zero-based budgeting 19 to spectrum on a usage basis, I think could be 20 really important. And then backing that up 21 with the sort of spot check measurements that 22 I think are recommended in both reports

| Page 133 1 particularly where there may be some valid or, 2 as Gary said too, just as a way of identifying 3 where further investigation is needed. 4 That sort of threesome is very 5 powerful, potentially. 6 CO-CHAIR TRAMONT: Gary. 7 MR. EPSTEIN: This is outside of 8 the discussion, but I don't really care. 9 (Laughter.) 10 MR. EPSTEIN: In other words, there 11 is a lot of overlap in this stuff. And as long 12 as somebody at the end goes through it and 13 makes sure we're consistent, we're okay. 14 In particular, I think Question 2 15 and 3 are where there's the most overlap. 1, 16 3, 4, 5, 6 and seven go to - the rest of them 17 I think really do go to different type of 18 transparency and who gets to see what and how 19 you work through that, but there is a fair 20 amount of overlap there. It may be 21 inevitable, may be fine, just we shouldn't be 22 silly. We should be saying the same thing | | |
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| 21 inevitable, may be fine, just we shouldn't be | 19 | you work through that, but there is a fair |
| | 20 | amount of overlap there. It may be |
| 22 silly. We should be saying the same thing | 21 | inevitable, may be fine, just we shouldn't be |
| | 22 | silly. We should be saying the same thing |

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| 1 | with both reports when it gets to the end. |
| 2 | CO-CHAIR TRAMONT: Fair enough. I |
| 3 | agree with you not to be silly. |
| 4 | (Off the record comments.) |
| 5 | MR. ROSSTON: I think this is again |
| 6 | really important that transparency sort of |
| 7 | knowing what's there and knowing who has the |
| 8 | rights or who's using different spectrum is a |
| 9 | great idea. |
| 10 | One of the frustrations I remember |
| 11 | when doing auctions at the FCC, is the FCC |
| 12 | said here we have this database of license |
| 13 | assignments and thing, but you're buying this, |
| 14 | but you need to go and do your own |
| 15 | investigation and buy this at your own risk, |
| 16 | and our database isn't the all end all. |
| 17 | And I would love the transparency |
| 18 | to sort of say somehow clarify who has what |
| 19 | and make it be a real official, like, property |
| 20 | rights of real property or something that says |
| 21 | here is something that this transparency group |
| 22 | can pull this out to be a reasonable, accurate |

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| 1 | database. | |
| 2 | CO-CHAIR TRAMONT: Yes, the notion | |
| 3 | of clean title in the auction context is a | |
| 4 | fascinating one. And whether or not it's ever | |
| 5 | achievable is - Kevin. | |
| 6 | DR. KAHN: One of the things | |
| 7 | actually I liked about this, and maybe it | |
| 8 | might bear emphasizing a little more as the | |
| 9 | draft finishes up, is I took this as sort of | |
| 10 | almost a philosophical positioning of the | |
| 11 | importance of transparency as a principle | |
| 12 | throughout the government. | |
| 13 | And particularly, I mean, within | |
| 14 | the bounds of course of FOIA exempt and | |
| 15 | secrecy, I mean, I don't necessarily mean | |
| 16 | going all public, but one of the things | |
| 17 | Michael said I detect periodically, and I | |
| 18 | don't mean to pick on you here because I think | |
| 19 | there's a truth to it, and it's a truth that | |
| 20 | we ought to be trying to encourage to go away, | |
| 21 | which is this notion of the holder of spectrum | |
| 22 | having a secret to keep from the people who | |

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| 1 | might look over his shoulder within the | |
| 2 | government and ask are you being a wise | |
| 3 | steward? | |
| 4 | And I think the real message of | |
| 5 | this is that we ought to be trying to get to | |
| 6 | a place where all spectrum managers within the | |
| 7 | government see - now, you'll never get there | |
| 8 | of course, but see the optimization of | |
| 9 | spectrum use as a good and not be in this | |
| 10 | position we are today where we all suspect, | |
| 11 | right, that there is folks somewhere inside | |
| 12 | the Pentagon hiding away spectrum they don't | |
| 13 | really need. You know what I mean? | |
| 14 | And philosophically that's a very | |
| 15 | different position to get to. And so, I mean, | |
| 16 | one of the things I took out of this report | |
| 17 | was actually that it was a call to a different | |
| 18 | philosophical kind of underpinning for how the | |
| 19 | government should try to get its players to | |
| 20 | treat spectrum and be - the inventory is more, | |
| 21 | you know, and whatever comes out of the | |
| 22 | inventories is sort of the concreteness of | |

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| | Page 137 |
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| 1 | that, you know, can I find stuff, can I |
| 2 | measure the stuff? |
| 3 | But if we can actually get people |
| 4 | to get us all out of this sort of it's a |
| 5 | conflict mode, to some degree at least I think |
| 6 | we'd all benefit from it. |
| 7 | CO-CHAIR TRAMONT: And to drive |
| 8 | your point, having a global view of all |
| 9 | spectrum use whether it's not just the |
| 10 | commercial guys or not just public safety or |
| 11 | not just federal government. |
| 12 | DR. KAHN: Yes. |
| 13 | CO-CHAIR TRAMONT: I thought that |
| 14 | was very compelling as well. |
| 15 | Jennifer, and then we'll move on. |
| 16 | MS. WARREN: I just wanted to pick |
| 17 | up on Greg's point because I think if you look |
| 18 | at maybe second and third lines of some of the |
| 19 | recommendations, you'll see that there are |
| 20 | recommendations that go through NTIA to the |
| 21 | FCC as well to address some of not necessarily |
| 22 | the property rights, that will be a whole |

| | P | age | 138 |
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| 1 | other debate, but on at least understanding | | |
| 2 | who's there and giving a fuller picture of it. | | |
| 3 | CO-CHAIR TRAMONT: Anybody else? | | |
| 4 | MR. MYLET: NTIA has provided - we | | |
| 5 | will be talking to NTIA about this. I think | | |
| 6 | they're just now getting a copy and reading | | |
| 7 | it. We look forward to getting those comments | | |
| 8 | and injecting that information. | | |
| 9 | One of the ideas out of this, too, | | |
| 10 | that I thought about that's just a separate | | |
| 11 | idea, but sort of the gross spectrum product | | |
| 12 | knowing how much spectrum we've allocated or | | |
| 13 | assigned, and having some sort of measure of | | |
| 14 | what we think we probably use, might be an | | |
| 15 | interesting statistic that we evolve to in the | | |
| 16 | future. And that may also reflect our | | |
| 17 | broadband rankings as a country perhaps as we | | |
| 18 | go forward. | | |
| 19 | CO-CHAIR TRAMONT: It's a | | |
| 20 | fascinating think tank project. The gross | | |
| 21 | spectrum product every year each quarter is a | | |
| 22 | report on how we're doing. Great. | | |

Page 139 With that, we're going to move on 1 2 to the next subcommittee. Final thoughts on 3 Darrin's? Very good. 4 Mr. Donovan, you're up next on the 5 Adjacent Band/Dynamic Spectrum Access 6 Subcommittee, and our goal here is slightly 7 different. 8 David has a memo that he's presented to the group. A draft will follow. 9 10 We're once again driving toward adoption of a 11 final report in May. 12 Mr. Donovan. 13 MR. DONOVAN: Thank you, Mr. 14 Chairman. Professor the paper is almost Almost, I promise. 15 drafted. The bottom line here is that we 16 17 have been working, and I've laid out what we believe is sort of the task and was hinted 18 19 around this morning. 20 And that is if you're going to 21 fully realize either investment both from the consumer side and also from the communications 22

| | Page 140 |
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| 1 | investment side, we really need to articulate |
| 2 | or have clearly articulated interference |
| 3 | rights. |
| 4 | To use the property analogy which |
| 5 | has been used, in essence these are the |
| 6 | property rights, the boundaries of any new |
| 7 | system that's being devised. And it is |
| 8 | becoming increasingly complex with additional |
| 9 | sharing. Also, with respect to you may have |
| 10 | a system in which you've auctioned your |
| 11 | purchase spectrum, you develop your system. |
| 12 | Then a year or two later you end up sharing. |
| 13 | Those types of things with |
| 14 | spectrum demand increasing are things that we |
| 15 | really begin to have to consider, and it comes |
| 16 | home to roost in the interference approach. |
| 17 | So, what we have done is |
| 18 | essentially to do the following: We have about |
| 19 | a 50-page paper that we're trying to still |
| 20 | down for easy consumption. First is to go |
| 21 | through the various interference mechanisms |
| 22 | that we face now and that we are likely to |

face going forward. 1 2 Then also looking at the various 3 methods by which one can prevent that interference looking at the use of guard 4 5 bands, the harmonization of spectrum 6 allocations and allotments, cognitive radio 7 techniques, frequency agile radio sensing, the 8 uses of databases, all of those are forward-9 looking ways of avoiding interference and 10 sharing. We look also at the relationship 11 12 between spectrum efficiency and interference and receiver performance. I think one of the 13 14 key things that is lost in this debate is when 15 you look at spectrum, is it being used efficiently or isn't it, a lot of it is a 16 function of the receivers and how tolerant the 17 18 receivers are to either operations on the 19 adjacent channels and there are always policy 20 implications that go on with that. 21 So, I think on a going-forward 22 basis in terms of spectrum management, one of

| | Page 142 |
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| 1 | the things we are looking at is to what extent |
| 2 | the government should become more heavily |
| 3 | involved in looking at the receiver side of |
| 4 | the equation. |
| 5 | And that is obviously a very |
| 6 | difficult area. It's one that certainly the |
| 7 | Commission has sort of been into, but |
| 8 | certainly this adds on another layer of |
| 9 | complexity. |
| 10 | The other thing is, the fourth |
| 11 | element that we're looking at is once you |
| 12 | establish this type of model particularly with |
| 13 | sharing, how do we deal with enforceabilty of |
| 14 | interference rights? |
| 15 | Normally if you look at |
| 16 | Commission's processes and complaints are |
| 17 | filed with respect to interference, it can |
| 18 | take months for them to ultimately be |
| 19 | resolved. And it's very difficult, for |
| 20 | example - in a real property case, you would |
| 21 | be able to go to court and be able to get a |
| 22 | temporary restraining order if you proved your |

| Page 143 1 case to try to stop that. That's not what we 2 have in the regulatory regime right now. 3 And so the question is, is what 4 types of mechanisms should we look at? 5 Hopefully, and one would hope, 6 that the technology would obviate the need for 7 that type of oversight if it works, but I 8 think that's one thing that we are looking to 9 explore. 10 I think finally the other thing 11 that we're looking at is you have different 12 interference resolution regimes that vary 13 depending on the service. And I think one of 14 the things, and this is very, very hard to do, 15 but one of the things that we're looking at is 16 to try to get a sort of basic set of 17 principles by which folks can be governed by. 18 I want to thank Neville. I know 19 David has done some terrific work. Neville 20 has done work. Mr. Salemme has contributed. 21 Mark McHenry has contributed. Janice 22 Obuchowski has contributed. And we're looking | | |
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| | 20 | has done work. Mr. Salemme has contributed. |
| 22 Obuchowski has contributed. And we're looking | 21 | Mark McHenry has contributed. Janice |
| | 22 | Obuchowski has contributed. And we're looking |

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| 1 | to wrap this up and hopefully get a document |
| 2 | out in the next several weeks. |
| 3 | CO-CHAIR TRAMONT: Great. Thoughts |
| 4 | for David on his committee's work. |
| 5 | MR. GURSS: I just thank you on |
| 6 | your emphasis on receiver issues. I remember |
| 7 | that one being an issue for many, many years, |
| 8 | and a frustrating one. |
| 9 | But just from the practical |
| 10 | perspective of users of the spectrum, I mean, |
| 11 | the lack of receiver standards often lead to |
| 12 | huge problems in trying to move spectrum |
| 13 | efficiently and then creating interference |
| 14 | problems that you might have to undo. Where |
| 15 | if you had better receivers, you could live |
| 16 | together. So, that's very good. |
| 17 | CO-CHAIR TRAMONT: Jennifer, and |
| 18 | then Marty. |
| 19 | MS. WARREN: I think the way this |
| 20 | sounds, it's going to be really interesting to |
| 21 | read. And with the goal of the adoption at |
| 22 | the next meeting of the report that I think |

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| 1 | you said right now is at 50 pages, but is |
| 2 | going to be somewhat synthesized, perhaps it |
| 3 | would be possible to distribute it to this |
| 4 | group in its entirety sooner other than later. |
| 5 | MR. DONOVAN: Absolutely. |
| 6 | MS. WARREN: Because I really don't |
| 7 | know how we can digest that and properly serve |
| 8 | our role to adopt something we haven't seen in |
| 9 | full form at the next meeting. |
| 10 | CO-CHAIR TRAMONT: Yes, it's a |
| 11 | goal. And if it doesn't work out, it doesn't |
| 12 | work out, but our goal is to get a full draft |
| 13 | circulated to everyone and to be put on the |
| 14 | website, and then to drive the process from |
| 15 | there. |
| 16 | So, if it works out great, if |
| 17 | there's not enough time, then we can - |
| 18 | MR. DONOVAN: No, there will be |
| 19 | plenty of time. Our goal is to get this out |
| 20 | way in advance of the next meeting. It's |
| 21 | almost there, it's just not quite so. |
| 22 | CO-CHAIR TRAMONT: Great. Any |

Page 146 other comments? Marty, did you want to -1 2 MR. COOPER: Well, David, I'll get you some verbiage so you can add something in 3 4 there about interference mitigation by multi-5 antenna processing. 6 MR. DONOVAN: Sure. Absolutely. 7 CO-CHAIR TRAMONT: Okay. With 8 that, thank you, David, very much. 9 We'll move on to Michael, who has 10 graciously agreed to take the leadership of the Incentive Subcommittee and has a brief 11 report, I suspect, since his chairmanship is 12 relatively a recent vintage. 13 14 MR. CALABRESE: Okay. Well, we 15 really - nobody's missed a meeting because I 16 just recently found this out. 17 (Laughter.) 18 MR. CALABRESE: I thank Bryan and 19 Dale for having the confidence to hand me a 20 hot potato. I understand that this was a 21 somewhat contentious issue on the CSMAC in the 22 past, which is probably why it makes sense to

Page 147 have somebody new to CSMAC wade in thinking 1 2 that they can get consensus. 3 But in any event, we'll try to both look back at what's been discussed in the 4 5 past as well as bring new ideas to the table. 6 If anyone else would like to join or if you're 7 not sure you've already volunteered for the 8 subcommittee, please let me know and we'll 9 have a first meeting within the next two weeks and try to meet several times before the next 10 11 general meeting. 12 CO-CHAIR TRAMONT: I think our hope 13 would be a draft report at the next meeting if 14 we possibly could drive to that. 15 MR. SALEMME: So, you had mentioned 16 that you were going to define the scope of the 17 Is that report? CO-CHAIR TRAMONT: So, at the 18 19 beginning -20 MR. SALEMME: Is this more -21 CO-CHAIR TRAMONT: Yes, this one 22 has been done.

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| 1 | MR. SALEMME: Okay. |
| 2 | CO-CHAIR TRAMONT: The ones we are |
| 3 | about to discuss, we will need to find the |
| 4 | scope. |
| 5 | MR. SALEMME: Okay. |
| 6 | CO-CHAIR TRAMONT: So, this one we |
| 7 | defined the scope when the committee was first |
| 8 | created. |
| 9 | So, anything on Michael's work |
| 10 | before we move on to new topics? Great. |
| 11 | Thank you. |
| 12 | So, Dale and I chatted before the |
| 13 | meeting, and what we thought we would do in an |
| 14 | effort to create the two new committees, just |
| 15 | review the list of topics that were brought up |
| 16 | the last time, but not selected. So, let me |
| 17 | just review that list with the idea that these |
| 18 | could become topics for this for our next two |
| 19 | committees. |
| 20 | One was the National Spectrum |
| 21 | Plan, what should be in such a plan, what are |
| 22 | the merits of having a National Spectrum Plan. |

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| 1 | Unlicensed policy, radars, defining harmful | |
| 2 | interference, receiver standards building off | |
| 3 | of some of the discussion David has. | |
| 4 | So, that was the list of | |
| 5 | unselected topics or not selected topics from | |
| 6 | the last time. I think also I started | |
| 7 | science, testing, passive was there. But we | |
| 8 | did not receive any votes the first time, so | |
| 9 | we did not think that was a strong competitor | |
| 10 | for a topic this time. | |
| 11 | In addition, two other topics | |
| 12 | could have emerged. One is that as Anna | |
| 13 | discussed in her opening remarks this morning, | |
| 14 | Secretary Strickling is very interested in | |
| 15 | technical sharing and the scope of policies | |
| 16 | that would encourage sharing. And she very | |
| 17 | much wanted to encourage us to look at that as | |
| 18 | a potential topic. | |
| 19 | And then I think Kevin's | |
| 20 | suggestion earlier builds on some other | |
| 21 | discussions we've had about monitoring | |
| 22 | measurements and something along the lines of | |

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| 1 | sort of data collection reporting as a way to | |
| 2 | sort of have a constantly evolving database, | |
| 3 | a current database on spectrum use issues. | |
| 4 | So, I think that's the list. Are | |
| 5 | there any additional topics people would like | |
| 6 | to suggest? | |
| 7 | Jennifer. | |
| 8 | MS. WARREN: Well, actually I think | |
| 9 | it was one that came up before and would be | |
| 10 | pertinent with respect to sharing. And I | |
| 11 | believe Janice Obuchowski raised it last time, | |
| 12 | which was enforcement. | |
| 13 | CO-CHAIR TRAMONT: Oh, yes. | |
| 14 | MS. WARREN: And I think that kind | |
| 15 | of permeates as an underlying issue with | |
| 16 | respect to technical sharing. | |
| 17 | MR. DONOVAN: Right. Jennifer, we | |
| 18 | have that covered here. | |
| 19 | MS. WARREN: You do? Okay. | |
| 20 | MR. DONOVAN: There's an | |
| 21 | enforcement section as well as, frankly, a | |
| 22 | sharing section. | |

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| 1 | MS. WARREN: Okay. |
| 2 | MR. DONOVAN: Because we're looking |
| 3 | at it from a constructive and interference |
| 4 | avoidance mechanism. |
| 5 | MS. WARREN: Okay. |
| 6 | MR. DONOVAN: So, that if one |
| 7 | shares in the technology that allows that to |
| 8 | be used, it allows you to prevent co-channel |
| 9 | or adjacent channel interference. |
| 10 | CO-CHAIR TRAMONT: Yes, let me ask |
| 11 | Karl or Joe or anybody from NTIA, do you know |
| 12 | what Larry and Anna had in mind in terms of if |
| 13 | you're to do a sharing group whether what |
| 14 | David has just described what we're trying to |
| 15 | achieve, or this is two separate topics? |
| 16 | MR. NEBBIA: I think my guess is |
| 17 | that they would probably like it explored m |
| 18 | ore as a topic in and of itself in terms of |
| 19 | the kinds of opportunistic technologies that |
| 20 | may be coming as opposed to - once again |
| 21 | there's a lot of aspects of sharing that mix |
| 22 | together compatibility or various submissions, |

Page 152 all these different types of things. 1 2 I think they would like us given the fact that we're - obviously there's only 3 4 so much reallocating, there's only so much 5 spectrum out there, and then actual sharing 6 types of technology be they geolocation 7 sensing. 8 I think they'd like, I think, a more in-depth discussion of those types of 9 approaches, I think, specifically. 10 11 MR. CROSBY: So, we may have scope 12 creep here or something. MR. DONOVAN: Yes, we're covering 13 14 all that. And maybe if you see what we have, 15 maybe that's probably the best then to see if 16 you want to go into more detail. MR. SALEMME: My suggestion may be 17 that this become the basis of more that 18 19 actually comes -20 MR. DONOVAN: That's fine. 21 MR. SALEMME: It takes an outload 22 of the current workgroup, become the basis and

Page 153 the starting point for the next one if you 1 2 want. MR. COOPER: It will be available 3 4 soon enough, so it would feed right in. 5 MS. WARREN: That sounds like a qood idea. 6 7 CO-CHAIR TRAMONT: That does sound 8 like a good idea, except for the look on 9 Mark's face. MR. CROSBY: No, the enforcement 10 11 one, I'd like to spend a little more time with 12 that enforcement one. I mean, I know you've 13 got to put it in the thing, but that's a 14 pretty credible, you know, what are we doing 15 today, what's failing today, what needs to be 16 in place. It's more than just technical and you can't always assume the equipment is going 17 18 to solve all the - or the technical is going 19 to follow that down. 20 Bringing people with badges that 21 go in and go you're doing this, it's coming 22 down, your license revocation, you need some -

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| 1 | MR. DONOVAN: No, Mark, I couldn't |
| 2 | agree with you more. |
| 3 | MR. COOPER: Okay. |
| 4 | MR. DONOVAN: And, in fact, I mean, |
| 5 | one of the classic examples at least from my |
| 6 | industry was when you had sort of your in-car |
| 7 | radio sort of devices and they were coming |
| 8 | through on essentially the bands that National |
| 9 | Public Radio was using. So, you drive up and |
| 10 | suddenly Howard Stern pops in over, you know, |
| 11 | NPR. |
| 12 | Now, we went to the Commission and |
| 13 | said that it was happening a lot. I mean, a |
| 14 | real lot. And we went to the Commission and |
| 15 | said fix this. Please fix this. |
| 16 | It hasn't been fixed. So, there |
| 17 | are regulatory components that really need to |
| 18 | be dealt with. Because once you have - how |
| 19 | quickly a responsible agency be it the |
| 20 | Commission or some other entity, so it's not |
| 21 | just technology. |
| 22 | MR. CROSBY: I don't mean to beat a |

| | Page 155 |
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| 1 | dead horse. It's very critical, and it gets |
| 2 | to what Harold says. If you don't have proper |
| 3 | enforcement, people don't invest in bands if |
| 4 | people aren't taking care of business. |
| 5 | MR. DONOVAN: Couldn't agree more. |
| 6 | And, in fact, Janice Obuchowski, frankly, |
| 7 | wrote that section. |
| 8 | MS. WARREN: People don't share. |
| 9 | CO-CHAIR TRAMONT: Well, people |
| 10 | have only been sharing since they were five, |
| 11 | right? |
| 12 | (Laughter.) |
| 13 | CO-CHAIR TRAMONT: Michael, go |
| 14 | ahead. |
| 15 | MR. COOPER: Yes, just in terms of |
| 16 | what the scope of some of these might be, I |
| 17 | just wanted to suggest you mentioned |
| 18 | unlicensed, that if we do that, that |
| 19 | particularly in the context of what you said |
| 20 | the assistant secretary's interests are and |
| 21 | what we think is coming in the National |
| 22 | Broadband Plan, that we also think of |

| | | Page | 156 |
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| 1 | unlicensed perhaps more broadly, address it | | |
| 2 | more broadly in terms of not just what we | | |
| 3 | understand today as 802.11 with Part 15 rules, | | |
| 4 | but that we look at what could the other - | | |
| 5 | could there be a more varied ecosystem for | | |
| 6 | non-licensed sharing of spectrum. Are there | | |
| 7 | like cooperative protocols? Are there | | |
| 8 | licensing light? What are some of the | | |
| 9 | variations around unlicensed that would make | | |
| 10 | that whole basket more useful for efficient | | |
| 11 | spectrum access? | | |
| 12 | CO-CHAIR TRAMONT: Gary. | | |
| 13 | MR. EPSTEIN: I just have a quick | | |
| 14 | process question. You started out with a | | |
| 15 | little bit of a laundry list on stuff we | | |
| 16 | decided not to do, and then you came up with | | |
| 17 | stuff that Larry and Anna said we probably | | |
| 18 | should do, I think. And we're doing a lot and | | |
| 19 | we're running short of time. | | |
| 20 | What are we doing here? | | |
| 21 | CO-CHAIR TRAMONT: I'm sorry. The | | |
| 22 | goal of the laundry list was these are the | | |

| | Page 157 |
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| 1 | things we thought about the last time. There |
| 2 | were a couple suggestions for new topics, |
| 3 | which I was trying to get the whole list out, |
| 4 | with the goal that we as a group are going to |
| 5 | choose two of those that are going to form new |
| 6 | subcommittees today. |
| 7 | MR. EPSTEIN: Why? |
| 8 | CO-CHAIR TRAMONT: Sorry? |
| 9 | MR. EPSTEIN: I'm just asking why. |
| 10 | I mean, in other words, we feel we're |
| 11 | incomplete or because Larry Strickling us for |
| 12 | it or this is what we always planned to do or |
| 13 | _ |
| 14 | CO-CHAIR HATFIELD: Well, we had to |
| 15 | ration last time how much we could do. So, we |
| 16 | rationed it by selecting from among that group |
| 17 | of things we could do. |
| 18 | MR. EPSTEIN: Okay. |
| 19 | CO-CHAIR HATFIELD: And now since |
| 20 | we've accomplished some of those - |
| 21 | MR. EPSTEIN: I see. |
| 22 | CO-CHAIR HATFIELD: - pretty well, |

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| 1 | now we have a chance to pick up the ones that |
| 2 | were left. And what I see happening is we |
| 3 | learn more. As we do these we say oh, gosh, |
| 4 | we should have - this is an area we need to |
| 5 | dig more deeply into. And so those have been |
| 6 | proposed as additional - |
| 7 | MR. EPSTEIN: Okay. |
| 8 | CO-CHAIR HATFIELD: - potential |
| 9 | topics. So, where I see us is I'd like to have |
| 10 | a sense of the group as to which of these |
| 11 | topics that have been approached so far, we |
| 12 | may want to pick up and make new topics and |
| 13 | make sure that the scope is defined properly |
| 14 | and so forth. |
| 15 | So, that's kind of where I see |
| 16 | where we are. |
| 17 | MS. WARREN: Just to raise one |
| 18 | point though, four working groups, that was a |
| 19 | lot of working groups this last time. And |
| 20 | even though there were a lot of volunteers for |
| 21 | each of the working groups, I think it's fair |
| 22 | to say a subset was usually - you shouldn't |

| | Page 159 |
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| 1 | look at who the members were in each one and |
| 2 | say oh, there was ten in each that were |
| 3 | active, because everybody had options with |
| 4 | these different working groups. |
| 5 | So, I do want to suggest that |
| 6 | perhaps it be considered does it have to be |
| 7 | two more just to have another four, or is |
| 8 | there just one more that's really a priority? |
| 9 | I mean, there may be things where |
| 10 | people can contribute more if we aren't having |
| 11 | four to do at once. Just a thought. |
| 12 | MR. CROSBY: Do we all turn into a |
| 13 | pumpkin in May? We're done or what? That's |
| 14 | not a lot of time, really. |
| 15 | CO-CHAIR TRAMONT: I don't think |
| 16 | our terms are up for another - correct me if |
| 17 | I'm wrong. We have a two-year lifespan, |
| 18 | right? |
| 19 | MS. WARREN: Yes. |
| 20 | MR. GATTUSO: The charter is up in |
| 21 | about a year. |
| 22 | CO-CHAIR TRAMONT: Yes. |

| | Page 160 |
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| 1 | MR. GATTUSO: The appointments are |
| 2 | up in two years. |
| 3 | CO-CHAIR TRAMONT: Yes. So, in May |
| 4 | is just the next meeting. |
| 5 | (Simultaneous speaking.) |
| б | CO-CHAIR TRAMONT: These two new |
| 7 | committees will always be reporting on their |
| 8 | initial work at the May meeting. There will |
| 9 | be no drafts, I mean, this is starting the new |
| 10 | process. |
| 11 | So, what the goal is since a bunch |
| 12 | of stuff should be completely done in May, |
| 13 | this is the next pipeline. These are |
| 14 | pipeline. I'm sorry. |
| 15 | MR. SALEMME: So, as someone who |
| 16 | has actually seen the report that has been |
| 17 | done by the Adjacent Band/Dynamic Spectrum |
| 18 | Access Subcommittee both on enforcement and |
| 19 | sharing, and I think that you would see that |
| 20 | there probably is some activity that's already |
| 21 | that would help that process. |
| 22 | We can either build off what is |

| | Page 161 |
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| 1 | done there or start fresh, which the committee |
| 2 | can decide. Unlicensed seems to be the other |
| 3 | area that isn't, you know, that probably |
| 4 | should be addressed. So, that's just a |
| 5 | recommendation that if there's a new one to be |
| 6 | done, I would recommend unlicensed. |
| 7 | CO-CHAIR TRAMONT: Bob wanted to |
| 8 | say something. |
| 9 | MR. GURSS: I just wanted to second |
| 10 | what Gerry said. It sounds like we want to |
| 11 | keep our powder dry until we get this other |
| 12 | report. So, we don't want to create two new |
| 13 | reports, two new things today, and then see |
| 14 | that report and say hey, that - there is one |
| 15 | or two other areas in that report that could |
| 16 | use some more expansion upon, and then we're |
| 17 | overburdened. |
| 18 | CO-CHAIR HATFIELD: Go ahead. |
| 19 | CO-CHAIR TRAMONT: Well, what I |
| 20 | wondered is whether or not in an effort to |
| 21 | since the sharing request was sort of a |
| 22 | specific one that someone tomorrow for all |

Page 162 practical purposes, could work with David, 1 2 take that part out of his report and begin 3 working with that as a separate draft. And 4 then you would do the rest of the report, of 5 you -6 MR. DONOVAN: I think I'll be 7 honest with you, I'd just rather just in terms 8 of meeting the goal is keep it in, push it 9 out. 10 And then if you want further - and then use it as the framework for further work. 11 12 CO-CHAIR TRAMONT: Commissioner 13 Furchtgott-Roth, and then we'll go to you, 14 Brian. 15 MR. FONTES: Thanks. 16 MR. FURCHTGOTT-ROTH: Simple 17 thought. Secretary Strickling could simply we're here to help. If he has some 18 19 priorities, why don't we let him pick. Ιf 20 they're really important to him he should just 21 tell us and we'll do it. 22 CO-CHAIR TRAMONT: I think that's

Page 163 accurately characterized. NTIA has always 1 2 been very open about the committee can select whichever course of direction, but it was 3 4 flagged that sharing is something of 5 particular interest and particular relevance 6 right now. So, it was not any way, you know, 7 we really want you to do sharing. It was this 8 is something we're really focused on, it would 9 be very helpful. So, I want to be clear about 10 what was and was not requested. 11 Mr. Fontes. 12 MR. FONTES: Thank you, and I appreciated all the comments on the 13 14 presentations that were made earlier today. 15 With respect to relying on beyond just the 16 initial report. I think there will be some 17 areas, that would require, how should I say 18 this, probably additional work/controversy or 19 controversy and work. 20 And I think that in order to be 21 effective in moving forward without spreading 22 everybody too thin, I kind of agree with what

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| 1 | Jennifer said, I think that we need to figure | |
| 2 | out where these reports are going to lead us, | |
| 3 | address a few of those issues on a continuing | |
| 4 | basis. And then if Larry or others say okay, | |
| 5 | sharing is critically important, then let's | |
| 6 | focus on that perhaps as an area for future | |
| 7 | work so that we're not running parallel | |
| 8 | meetings here that may in fact be spilling | |
| 9 | over to work that's being done on the reports | |
| 10 | themselves. | |
| 11 | CO-CHAIR HATFIELD: Thank you. I | |
| 12 | understand. I'm a little concerned that we | |
| 13 | use our time effectively. Would there be a | |
| 14 | possibility that we could be drafting for | |
| 15 | consideration a work statement and scope of | |
| 16 | work so that next time we would be ready to | |
| 17 | adopt it? | |
| 18 | In other words, for example, in | |
| 19 | the sharing and that sort of - I'd hate to | |
| 20 | wait until everything is all done, then pick | |
| 21 | it up again, but that might be a way forward. | |
| 22 | It would also give us a chance to | |

| | | Page 165 |
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| 1 | run it by NTIA to see if we've captured what | |
| 2 | they had in mind when they proposed - or | |
| 3 | Secretary Strickling suggested that we ought | |
| 4 | to be looking more - we probably want to look | |
| 5 | more at sharing. | |
| 6 | MR. CROSBY: Mr. Chairman, would | |
| 7 | that be three? Would that be unlicensed, | |
| 8 | sharing and enforcement? | |
| 9 | CO-CHAIR TRAMONT: I think that's | |
| 10 | actually sharing. I think we have a separate | |
| 11 | decision to make as a group, and I guess I'm | |
| 12 | taking Jennifer's entreaty to scale back our | |
| 13 | expectations slightly. | |
| 14 | (Off the record comments.) | |
| 15 | CO-CHAIR TRAMONT: We're going to | |
| 16 | do one more. Maybe one more makes sense and | |
| 17 | we can pick it could be unlicensed, it could | |
| 18 | be Kevin's discussion of data collection | |
| 19 | reporting, it could be the National Spectrum | |
| 20 | Plan, it could be radars, it could be harmful | |
| 21 | interference, could be receiver standards. | |
| 22 | Any of those. Pick one of them, and that | |

| 1 | |
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| 1 | would be the that work group could go ahead |
| 2 | and get launched now, basically. |
| 3 | MR. ROSSTON: My guess is that the |
| 4 | Incentives Committee although it has - our new |
| 5 | chairman will probably have incentives for |
| 6 | unlicensed in the document would be a guess, |
| 7 | and I think that's an appropriate place to |
| 8 | think about unlicensed versus licensed |
| 9 | incentives. |
| 10 | So, at least part of that will be |
| 11 | included in the Incentives Committee, I think. |
| 12 | CO-CHAIR TRAMONT: And it does |
| 13 | underscore again, that depending what topic we |
| 14 | pick, some of it will be in the circulated |
| 15 | scope of work the Chairman will circulate |
| 16 | the scope of work and it will be we'll try |
| 17 | to keep it within our on our lanes on various |
| 18 | discussions. |
| 19 | MR. CROSBY: Don't let the good |
| 20 | doctor force you to resign before you even |
| 21 | start. |
| 22 | (Laughter.) |

Page 167 MR. PARTICIPANT: But it's a good 1 2 point that there's almost necessarily overlay because incentives would be for sharing as 3 4 well. And in sharing, you know, so that's the 5 sharing thing, and sharing may be unlicensed 6 or something else. We'll have to consider all 7 that. 8 CO-CHAIR TRAMONT: So, in an effort 9 to drive selection of the one additional subcommittee that we will form today, can we 10 just do a rough show of hands for people who 11 12 are for these following topics: So, receiver Harmful interference? Radars? 13 standards? 14 MR. DONOVAN: We don't have that. 15 (Laughter.) CO-CHAIR TRAMONT: Unlicensed 16 17 policy. National Spectrum Plan. And then 18 finally the data collection reporting, sort of 19 Kevin's permutation on how we sort of keep a 20 spectrum inventory current. 21 MR. CROSBY: Well, that one almost 22 needs to be added to the spectrum inventory

Page 168 thing. 1 2 MR. COOPER: Well, we'll have some 3 comments on it. 4 MR. CROSBY: Exactly. 5 MR. COOPER: We're talking about 6 having another subcommittee. 7 CO-CHAIR TRAMONT: Yes, that's what 8 we're proposing here. 9 DR. KHAN: Maybe that they can add 10 enough content, it depends on how deep you want to go, though. 11 12 CO-CHAIR TRAMONT: Folks on the phone, your preferences? 13 14 MR. FONTES: Well, I certainly think the unlicensed is going to be critically 15 16 important. Certainly the inventory and 17 keeping that dynamic is always going to be a 18 challenge. 19 And what somebody said earlier 20 today, that we'll be sitting in a room ten 21 years from now or 20 years from now trying to 22 update the spectrum use out there.

Page 169 I can simply identify with that 1 2 because I've been to quite a few of those over 3 the years. So, I do think that trying to keep 4 spectrum current, and the monitoring of it is 5 -- keep spectrum use current is important as 6 well. 7 CO-CHAIR TRAMONT: Okay. Anyone 8 else? 9 MR. LEWIS: I'd probably go with 10 unlicensed and National Spectrum Plan. Those 11 are the two that are going to be issues we 12 need to look at eventually. 13 CO-CHAIR TRAMONT: thanks, Jim. 14 Any others on the phone? Anyone taking us off mute to tell 15 16 us what they thought? All right. So, in light of that I 17 18 think we have a rough consensus around the 19 unlicensed approach or the unlicensed scope. 20 Now, if we could get a volunteer 21 to chair that subcommittee, someone who has 22 perhaps not served yet, that would be

| | Page 170 |
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| 1 | terrific, and to draft the scope of work. |
| 2 | So, do we have any volunteers for |
| 3 | that or are we going to - Mr. Salemme? |
| 4 | Excellent. Terrific. |
| 5 | So, Gerry will draft a scope of |
| 6 | work and will also take volunteers to serve on |
| 7 | his committee. So, we'll circulate an e-mail, |
| 8 | Joe, to get volunteers to serve with Gerry. |
| 9 | And then draft a scope of work, get that |
| 10 | around, and then you can go ahead and start |
| 11 | working the committee with the idea of getting |
| 12 | an interim report available for the May |
| 13 | meeting. |
| 14 | CO-CHAIR HATFIELD: Are you guys |
| 15 | going to draft the statement of work on |
| 16 | sharing? You talked about that being done |
| 17 | also. |
| 18 | CO-CHAIR TRAMONT: I think once we |
| 19 | have David's draft - how did we say we're |
| 20 | going to do this? |
| 21 | We're going to see his draft, I |
| 22 | think at least, and then we'll need a chair |

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| 1 | for the sharing committee actually. | | |
| 2 | CO-CHAIR HATFIELD? Pick that up | | |
| 3 | and extend it to a new committee with a new | | |
| 4 | scope of work. | | |
| 5 | MS. WARREN: Can I make the | | |
| 6 | suggestion that somebody could offer to do the | | |
| 7 | statement of work without committing to be the | | |
| 8 | chair of the committee. I mean, seriously | | |
| 9 | just to get this going in the interim. | | |
| 10 | CO-CHAIR TRAMONT: Would someone | | |
| 11 | like to - | | |
| 12 | MR. EPSTEIN: I will. | | |
| 13 | CO-CHAIR TRAMONT: Mr. Epstein. | | |
| 14 | Excellent. So, Mr. Epstein will be in charge | | |
| 15 | of drafting the scope of work, and we'll be in | | |
| 16 | charge of drafting him to be committee chair. | | |
| 17 | (Laughter.) | | |
| 18 | CO-CHAIR TRAMONT: So, thank you on | | |
| 19 | these subcommittee chairs. That's terrific. | | |
| 20 | Okay. So with that, I think we have a path | | |
| 21 | forward. | | |
| 22 | Everyone comfortable with where we | | |

Page 172 Anyone on the phone, any additional 1 are? 2 questions about that? 3 MR. FONTES: Nope - well, just a 4 quick question. Joe will be circulating the 5 new committees, new chairs so that we can know 6 who to contact as well as volunteer? 7 CO-CHAIR TRAMONT: Yes, Joe's going 8 to circulate a petition essentially for people 9 to volunteer to serve on either of the two new subcommittees. 10 11 MR. FONTES: Great. Thank you. 12 CO-CHAIR TRAMONT: Absolutely. 13 Okay. With that, we open it up for public comment on the work of the CSMAC. 14 15 Anyone have a public comment? Ιf 16 you can, identify yourself so everybody on the record and all that stuff. 17 18 MR. SNIDER: Can I just ask a 19 question of you? It's Jim Snider, from 20 First, I want to applaud the iSolon. 21 committee for addressing the transparency 22 issue, especially the transparency

Page 173

subcommittee.

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| 2 | I worked on those quite a bit, and |
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| 3 | was interested in the whole topic of spectrum |
| 4 | transparency in the early 2000s, it was Karl |
| 5 | and Joe who I bothered quite bit with my |
| 6 | questions will recall and perhaps some others |
| 7 | in this room, it was a great time, and I think |
| 8 | you guys really executed. |
| 9 | But I have two questions. Now I'm |
| 10 | fairly active in the open government community |
| 11 | in DC, and as you know the administration is |
| 12 | pushing aggressively with their open |
| 13 | government directive. One of the areas that |
| 14 | is being looked at is transparency of federal |
| 15 | advisory committees like this one, in which |
| 16 | there are a thousand of 40,000 individuals |
| 17 | like you. |
| 18 | And one of the questions that has |
| 19 | come up, not perhaps one of the most important |
| 20 | questions compared to webcasting and things |
| 21 | like that, is to make accessible the |
| 22 | applications, the statement of credentials of |

| 1people that apply to these bodies, and I2haven't found an argument why they shouldn't3be public.4Most of this information is5already generally available on the web, so I6would be curious if anybody, doesn't have to7be now, maybe after, if there are any public8interest objections to making the applications9for federal advisory committee members public?10Most of this stuff again is11readily on the web. Why shouldn't this be12made public as part of an effort to open up13federal advisory. So, that's a question.14And then another question, which15might be a little bit more peripheral on the16transparency issue, but I think this is17important, is no one says that federal18advisory committees are academic institutions19and would follow standards of academic20Eut to put out a hypothetical | | Page 174 |
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| 3 be public. 4 Most of this information is 5 already generally available on the web, so I 6 would be curious if anybody, doesn't have to 7 be now, maybe after, if there are any public 8 interest objections to making the applications 9 for federal advisory committee members public? 10 Most of this stuff again is 11 readily on the web. Why shouldn't this be 12 made public as part of an effort to open up 13 federal advisory. So, that's a question. 14 And then another question, which 15 important, is no one says that federal 18 advisory committees are academic institutions 19 and would follow standards of academic 20 integrity. | 1 | people that apply to these bodies, and I |
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| And then another question, which might be a little bit more peripheral on the transparency issue, but I think this is important, is no one says that federal advisory committees are academic institutions and would follow standards of academic integrity. | 12 | made public as part of an effort to open up |
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| 16 transparency issue, but I think this is 17 important, is no one says that federal 18 advisory committees are academic institutions 19 and would follow standards of academic 20 integrity. | 14 | And then another question, which |
| <pre>17 important, is no one says that federal 18 advisory committees are academic institutions 19 and would follow standards of academic 20 integrity.</pre> | 15 | might be a little bit more peripheral on the |
| <pre>18 advisory committees are academic institutions 19 and would follow standards of academic 20 integrity.</pre> | 16 | transparency issue, but I think this is |
| <pre>19 and would follow standards of academic 20 integrity.</pre> | 17 | important, is no one says that federal |
| 20 integrity. | 18 | advisory committees are academic institutions |
| | 19 | and would follow standards of academic |
| 21 But to put out a hypothetical | 20 | integrity. |
| | 21 | But to put out a hypothetical |
| 22 example, if in one of your papers you were | 22 | example, if in one of your papers you were |

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| 1 | citing somebody prominently, okay, quite | |
| 2 | purposefully had engaged in substantial | |
| 3 | plagiarism. Now, very well written, useful | |
| 4 | information. | |
| 5 | Would that be a concern of this | |
| 6 | body not because it's not an academic | |
| 7 | institution. Why would it care, you wouldn't | |
| 8 | want to check into such a thing, but are | |
| 9 | academics standards of integrity appropriate | |
| 10 | for a federal advisory body such as this one? | |
| 11 | So, if you have any thoughts | |
| 12 | afterwards, please let me know. And I'm | |
| 13 | expecting legislation opening up the FACA even | |
| 14 | within the next few weeks to be introduced, | |
| 15 | and so a lot of the questions as to what might | |
| 16 | go into that legislation. | |
| 17 | CO-CHAIR TRAMONT: I appreciate | |
| 18 | your comments and consider those topics going | |
| 19 | forward and take them into advisement. Thank | |
| 20 | you. | |
| 21 | Other comments? Prudence? | |
| 22 | MS. PARKS: Yes. My name is | |
| | | |

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| 1 | Prudence Parks, and I'm with the Utilities | |
| 2 | Telecom Council. Just so that you're aware, | |
| 3 | the Utilities Telecom Council, UTC, is an | |
| 4 | association of energy utilities and pipelines. | |
| 5 | My comments go to the spectrum | |
| 6 | inventory and the report. We certainly | |
| 7 | understand - our members have expressed some | |
| 8 | concern about the inventory, and we certainly | |
| 9 | understand the reason behind the inventory, | |
| 10 | but we feel as if it's one thing to gather | |
| 11 | information and create a database that is | |
| 12 | going to become a basis or to inform the | |
| 13 | spectrum management allocation process, and | |
| 14 | it's quite another to provide information on | |
| 15 | the granular level as to what purposes and | |
| 16 | functions and missions that that spectrum | |
| 17 | serves especially when it relates to critical | |
| 18 | and infrastructure functions such as the | |
| 19 | supply of electricity and clean water in the | |
| 20 | United States. | |
| 21 | We understand a lot of this | |
| 22 | information is on the ULS, however when you | |

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| 1 | take all of this information and coordinate it |
| 2 | and make it publicly accessible on a website, |
| 3 | what you're doing is putting a neat little bow |
| 4 | on all of this information that is publicly |
| 5 | accessible at the stroke of a key for those |
| 6 | who wish to do us harm. |
| 7 | And I'm referencing specifically |
| 8 | those stated networks that have been subject, |
| 9 | CNN reports, the recent one that was on - a |
| 10 | recent CNN report on cyber shock. The Cyber |
| 11 | Shockwave I believe it was called, where you |
| 12 | could take down all of the electric grid and |
| 13 | put the entire nation at risk because of the |
| 14 | infiltration of these networks. |
| 15 | There are two issues here. And |
| 16 | one of them that we are most concerned about |
| 17 | is the security of the information. Most of |
| 18 | our licensees are FCC licensees. However with |
| 19 | the introduction of smart grid you're going to |
| 20 | have an interconnected system. |
| 21 | So, all of the information, |
| 22 | whether it's NTIA or FCC, we're all going to |

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| 1 | be connected, the vulnerability of one is the | |
| 2 | vulnerability of all. | |
| 3 | So, I'm not sure whether the | |
| 4 | report on the spectrum inventory is going to | |
| 5 | address those issues in particular, but it is | |
| 6 | of great concern to our members. | |
| 7 | And to wrap up, this is a classic | |
| 8 | - it seems like a classic case of one hand | |
| 9 | doing what the other - one hand not knowing | |
| 10 | what the other hand is doing. And that is | |
| 11 | spectrum policy issues are being done to use | |
| 12 | common, the town's nomenclature right now, | |
| 13 | stovepiping spectrum policy issues and | |
| 14 | security issues. | |
| 15 | Congress is very concerned about | |
| 16 | cyber security and the electric grade, and on | |
| 17 | the other hand they want to have open access | |
| 18 | to all the spectrum information all the way | |
| 19 | down to what we use it for. | |
| 20 | So, we are very concerned about | |
| 21 | that and just want to bring that to your | |
| 22 | attention. | |

Page 179 CO-CHAIR TRAMONT: Of course we 1 2 welcome submission of comments or other documentation or comments on the draft 3 4 reports, so thank you. 5 Other public comments? Great. 6 Hearing done. We are going to be working, 7 Joe, with Larry to figure out some dates for 8 in May. I don't think we have those dates 9 yet; is that correct? MR. GATTUSO: Well, I did do a 10 11 check of Larry's calendar for mid-May. The 12 week of the 17th. CO-CHAIR TRAMONT: Calendars out, 13 14 BlackBerrys out, everyone. 15 So, we're looking at the week of 16 the 17th? 17 MR. GATTUSO: That was just in 18 terms of a broad request from the secretary 19 saying what would be a good week for Larry 20 Strickling. 21 And she gave me 17th, 18th, 19th 22 to the 21st. So, she didn't tell me what was

Page 180 pending before or after, but that was the 1 2 recommendation. 3 CO-CHAIR TRAMONT: Okay. I would 4 modestly, and not for any particular reason, 5 propose Wednesday, the 19th. 6 MR. CROSBY: We'll be recovering 7 from the APCO policy dinner banquet the night 8 before, but -9 CO-CHAIR TRAMONT: It's always a hard one. 10 11 (Laughter.) 12 CO-CHAIR TRAMONT: How do people feel about Wednesday, the 19th? 13 14 MS. WARREN: Looks good. 15 CO-CHAIR TRAMONT: Okay. We're 16 going to tentatively put that as our date subject to the secretary's calendar. 17 18 Anything else? Excellent. Thank 19 you very much. 20 (The meeting was adjourned at 21 12:05 p.m.) 22

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