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| U.S. Department of Commerce Performance Progress Report | | | 2. Award or Grant Number: | 15-10-513015 |
| | | | 4. EIN: | 996000896 |
| 3. Recipient Name | State Department of Defense | | 6. Report Date (MM/DD/YYYY) | 10/21/2016 |
| 3. Street Address | 3949 Diamond Head Road | | 7. Reporting Period End Date: (MM/DD/YYYY) | 9/30/2016 |
| 5. City, State, Zip Code | Honolulu, Hawaii 96816 | | 8. Final Report Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | 9. Report Frequency Quarterly <input checked="" type="checkbox"/> |
| 10a. Project/Grant Period | | | | |
| Start Date: (MM/DD/YYYY) | 9/1/2013 | 10b. End Date: (MM/DD/YYYY) | 2/18/2018 | |
| 11. List the individual projects in your approved Project Plan | | | | |
| | Project Type (Capacity Building, SCIP Update, etc.) | Project Deliverable Quantity (Number & Indicator Description) | <small> 1. Project Title 2. Project Description 3. Project Start/End Dates 4. Project Status 5. Project Funding Source 6. Project Budget 7. Project Risks 8. Project Deliverables 9. Project Milestones 10. Project Evaluation </small> | |
| 1 | Stakeholder Meetings | 953 | | |
| 2 | Broadband Conferences | 0 | | |
| 3 | Staff Hires | 0 | | |
| 4 | Contract Executions | 0 | | |
| 5 | Governance Meetings | 4 | | |
| 6 | Education and Outreach | 513 | | |
| 7 | Subrecipient Agreement Executed | 0 | | |
| 8 | Phase 2 - Coverage | Stage 5 | | |
| 9 | Phase 2 - Users and Their Operational Areas | Stage 5 | | |
| 10 | Phase 2 - Capacity Planning | Stage 5 | | |
| 11 | Phase 2 - Current Providers/Procurement | Stage 5 | | |
| 12 | Phase 2 - State Plan Decision | Stage 5 | | |
| 11a. Describe your progress meeting each major activity/milestone approved in the Baseline Report for this project; any challenges or obstacles encountered and mitigation strategies you have employed; planned major activities for the next quarter; and any additional project milestones or information. | | | | |

Activities in the third quarter involved continued updates on FirstNet to E911 Board for on-going feedback and inclusion of the members of that Board and the Public Safety attendees from across the state. Attendees maintain interest in the progress and maintain interest in the state plan. During this quarter, the SCIP meetings focused on FirstNet state plan presentation related to Hawaii Consultation and QPP CTT presented by Doug Harder and Ed Parkinson, for the first presentation and Doug Harder for the second presentation. Counties and state agency representatives were actively engaged and asked questions of the presenters. The SPOC and members of the National Governor's Association policy academy on interoperable communications have briefed cabinet level officials, mayors or their representatives, and public safety leaders as to the value of governance in several ways: an SIEB Summit held in July with members of the SIEB, 2 SCIP meetings devoted to FirstNet, and a second state subcommittee meeting of SIEB members in September. All actions were in anticipation of formalizing a strong governance board to oversee public safety communications and deployment of FirstNet. The Band 14 Proposal for Hawaii was written and submitted to NTIA; Hawaii was notified of its award in September. Meetings were held with stakeholders to begin preliminary planning for implementation of the Plan and review vendors on the state price list. SWIC continues participated in on-going meetings with the Western States committee, a group of states which meet bi-monthly to share ideas and work on FN within their jurisdictions. The Project was also audited by NTIA during this quarter.

11b. If the project team anticipates requesting any changes to the approved Baseline Report in the next quarter, describe those below. Note that any substantive changes to the Baseline Report must be approved by the Department of Commerce before implementation.

11c. Provide any other information that would be useful to NTIA as it assesses this project's progress.

An on-going issue for Hawaii remains the lack of resources, both in personnel and dollars. Travel intrastate and to the mainland is essential to keep local stakeholders engaged and keep the SPOC and at least one or two other individuals involved in national efforts. As the time gets closer to FirstNet submitting the Hawaii state plan, the issue of dollars is even more critical. Dollars must be available to assist the state in getting the key stakeholders to meetings and to contract, if necessary, with an additional expert or experts to help advise the Hawaii team. By the time the Plan is submitted to the state (according to FN's projection) most of the grant dollars may be gone.

11d. Describe any success stories or best practices you have identified. Please be as specific as possible. Being a one of the States selected to participate in the NGA Policy Academy is important to help move forward governance in Hawaii. This was a competitive process and we were proud to be selected as one of 5 states. FN was present at the kick-off meeting and tied the efforts of the Academy to how important is governance in moving forward state plans, as well as 12. Personnel

12a. If the project is not fully staffed, describe how any lack of staffing may impact the project's time line and when the project will be fully staffed.

Lack of staffing continues to be a challenge. Current staff already have full time responsibilities which are also critical. While there is a synergy, it is still a challenge to keep current and keep up the performance we expect from ourselves. This statement was made in the last report. It has not changed.

12b. Staffing Table

| Job Title | FTE% | Project (s) Assigned | Change |
|--|------|--|--------|
| Statewide Interoperable Communications Coordinator | 0 | The state has now began paying the salary for the SLIGP full time. | Yes |
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13. Subcontracts (Vendors and/or Subrecipients)

13a. Subcontracts Table – include all subcontracts. The totals from this table must equal the "Subcontracts Total" in Question 14f.

| Name | Subcontract Purpose | Type (Vendor/Subrec.) | RFP/RFQ Issued (Y/N) | Contract Executed (Y/N) | Start Date | End Date | Total Federal Funds Allocated | Total Matching Funds Allocated |
|--------------------|-----------------------|-----------------------|----------------------|-------------------------|------------|-----------|-------------------------------|--------------------------------|
| Keller and Heckman | Legal consultation | vendor prime | Y | Y | Fall 2013 | 6/30/2015 | \$200,000.00 | \$0.00 |
| Keller and Heckman | Legal consultation | vendor prime | Y | Y | 8/4/2015 | 6/30/2016 | \$95,000.00 | \$0.00 |
| SSFm | Outreach and Planning | vendor prime | Y | Y | 4/15/2015 | 7/1/2016 | \$336,563.00 | \$0.00 |

13b. Describe any challenges encountered with vendors and/or subrecipients.

None

| 14. Budget Worksheet | | | | | | |
|--|---------------------------|-----------------------------|------------------|--|--|--------------------------|
| Columns 2, 3 and 4 must match your current project budget for the entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved. | | | | | | |
| Project Budget Element (1) | Federal Funds Awarded (2) | Approved Matching Funds (3) | Total Budget (4) | Federal Funds Expended (5) | Approved Matching Funds Expended (6) | Total funds Expended (7) |
| a. Personnel Salaries | \$118,750.00 | \$122,530.00 | \$241,280.00 | \$48,333.00 | \$124,268.00 | \$172,601.00 |
| b. Personnel Fringe Benefits | \$51,050.00 | \$51,775.00 | \$102,825.00 | \$20,386.00 | \$40,750.00 | \$61,136.00 |
| c. Travel | \$127,412.00 | | \$127,412.00 | \$133,782.00 | | \$133,782.00 |
| d. Equipment | | | \$0.00 | | | \$0.00 |
| e. Materials/Supplies | | | \$0.00 | | | \$0.00 |
| f. Subcontracts Total | \$574,863.00 | \$43,714.00 | \$618,577.00 | \$459,664.00 | | \$459,664.00 |
| g. Other | | | \$0.00 | | | \$0.00 |
| h. Indirect | | | \$0.00 | | | \$0.00 |
| i. Total Costs | \$872,075.00 | \$218,019.00 | \$1,090,094.00 | \$662,165.00 | \$165,018.00 | \$827,183.00 |
| j. % of Total | 80% | 20% | 100% | 80% | 20% | 100% |
| 15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose(s) set forth in the award documents. | | | | | | |
| 16a. Typed or printed name and title of Authorized Certifying Official: | | | | 16c. Telephone (area code, number, and extension) | 808-733-4246 | |
| Arthur J. Logan Major General, Adjutant General | | | | 16d. Email Address: | dolorescook@dod.hawaii.gov | |
| 16b. Signature of Authorized Certifying Official: | | | | Date: | November 7, 2016 | |
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