

U.S. Department of Commerce Performance Progress Report			2. Award or Grant Number:	21-10-S13021
			4. EIN:	610600439
1. Recipient Name	Commonwealth of Kentucky		6. Report Date (MM/DD/YYYY)	1/20/2017
3. Street Address	702 Capitol Avenue Room 195		7. Reporting Period End Date: (MM/DD/YYYY)	12/31/2016
5. City, State, Zip Code	Frankfort, KY 40601		8. Final Report Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	9. Report Frequency Quarterly <input checked="" type="checkbox"/>
10a. Project/Grant Period				
Start Date: (MM/DD/YYYY)	7/1/2013	10b. End Date: (MM/DD/YYYY)	12/31/2017	
11. List the individual projects in your approved Project Plan				
	Project Type (Capacity Building, SCIP Update,	Project Deliverable Quantity (Number & Indicator Description)	Description of Milestone Category	
1	Stakeholders Engaged	38	<i>Actual number of individuals reached via stakeholder meetings during the quarter</i>	
2	Individuals Sent to Broadband Conferences	2	<i>Actual number of individuals who were sent to third-party broadband conferences using SLIGP grant funds during the quarter</i>	
3	Staff Hired (Full-Time Equivalent)(FTE)	1	<i>Actual number of state personnel FTEs who began supporting SLIGP activities during the quarter (may be a decimal)</i>	
4	Contracts Executed	0	<i>Actual number of contracts executed during the quarter</i>	
5	Governance Meetings	4	<i>Actual number of governance, subcommittee, or working group meetings held during the quarter</i>	
6	Education and Outreach Materials Distributed	553	<i>Actual volume of materials distributed (inclusive of paper and electronic materials) plus hits to any website or social media account supported by SLIGP during the quarter</i>	
7	Subrecipient Agreements Executed	0	<i>Actual number of agreements executed during the quarter</i>	
8	Phase 2 - Coverage	Stage 6	<i>For each Phase 2 milestone category, please provide the status of the activity during the quarter:</i> <ul style="list-style-type: none"> • Stage 1 - Process Development • Stage 2 - Data Collection in Progress • Stage 3 - Collection Complete; Analyzing/Aggregating Data • Stage 4 - Data Submitted to FirstNet • Stage 5 - Continued/Iterative Data Collection • Stage 6 - Submitted Iterative Data to FirstNet 	
9	Phase 2 - Users and Their Operational Areas	Stage 6		
10	Phase 2 - Capacity Planning	Stage 6		
11	Phase 2 - Current Providers/Procurement	Stage 6		
12	Phase 2 - State Plan Decision	Stage 4		
11a. Describe your progress meeting each major activity/milestone approved in the Baseline Report for this project; any challenges or obstacles encountered and mitigation strategies you have employed; planned major activities for the next quarter; and any additional project milestones or information.				
<p>(1.) KY had 2 attend the Fall SPOC 2016 conference in Phoenix, AZ. Nov 16-17. The Agenda concentrated on the State Plan Process and the SLIGP 2.0 grant considerations. (2.) The Programmatic Environmental Impact Study (PEIS) was done for the South Region and FirstNet held a public, meeting in KY, on Oct 25th. The PEIS analyzed potential impacts of the deployment and operation of the NPSBN on natural and human environment. The 4hr meeting was held in Frankfort and was open to the public. (3.) Three Public Safety working Group meetings were held in Q14. (4.) Ky's Spoc talked to the Committee on Advanced Communications on October 12th about FirstNet. (5.) The Kentucky's Governance Body (KWIEC) met on December 13th, 2016. Ehrin Ellert, the region IV FirstNet lead, spoke to our Governance body about FirstNet and the Governor's decision to Opt in or Out. Key considerations were discussed for the FirstNet State plan. A brief FirstNet update about the RFP status was also given to the members/Stakeholders. (6) Our FirstNet Contractor, Baker & Associates contract ends January 31st, 2017 and will not be renewed. KY has hired one new contractor (started November 1, 2016) and will bring one more on board in January to pick up Outreach workload that will be left after end of Baker contract. Obstacles that we will be dealing with are transitioning the KYFirstNet website from Baker to the Commonwealth. (7) Ky continues to plan outreach. We will be reaching out on Facebook (KYFirstNet Facebook page) and Twitter beginning Jan 1, 2017 or soon after.</p>				
11b. If the project team anticipates requesting any changes to the approved Baseline Report in the next quarter, describe those below. Note that any substantive changes to the Baseline Report must be approved by the Department of Commerce before implementation.				
Matching Fringe looks to be under-funded. May need a modification done.				

11c. Provide any other information that would be useful to NTIA as it assesses this project's progress.

KY continues to update our website with local and national FirsNet information. The KyFirstNet team is planning to participate in several upcoming state conferences to continue outreach to specific groups.

11d. Describe any success stories or best practices you have identified. Please be as specific as possible.

Ky will use state first responder conferences to continue outreach, i.e., Emergency Services Conference, Ky Sherrif's association, local fire and rescue venues, etc. With the Baker contract ending in January, Ky is bringing on 2 new personnel

12. Personnel

12a. If the project is not fully staffed, describe how any lack of staffing may impact the project's time line and when the project will be fully staffed.

Two new Contractor's have been requested to be hired starting in Q14 or 15. These Contractors will be used for rural and grass root outreach. One started Nov 1, 2016 and another contractor will start Jan 1, 2017.

12b. Staffing Table - Please include all staff that have contributed time to the project. Please do not remove individuals from this table.

Job Title	FTE%	Project (s) Assigned	Change
SWIC	75%	Provide Oversight of SLIGP Project	no
Staff Member	100%	Provide Administrative Support	no
Staff Member	100%	Provide Project Support	no
Staff Member	100%	Provide project Support	yes


13. Subcontracts (Vendors and/or Subrecipients)

13a. Subcontracts Table - Include all subcontractors. The totals from this table must equal the "Subcontracts Total" in Question 14f.

Name	Subcontract Purpose	Type (Vendor/Subrec.)	RFP/RFQ Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated
Micheal Baker & Associates	Website Development	Vendor	N	Y	2/20/2014	6/30/2017	\$225,000.00	
Micheal Baker & Associates	Data Collection	Vendor	N	Y	2/20/2014	6/30/2017	\$500,000.00	
Micheal Baker & Associates	Outreach & Education	Vendor	N	Y	2/20/2014	6/30/2017	\$543,750.00	

13b. Describe any challenges encountered with vendors and/or subrecipients.

The Baker Contract will end January 31st. Challenges include Website move, and all data that is stored on Bakers Sharepoint server will have to be captured and saved.

14. Budget Worksheet						
Columns 2, 3 and 4 must match your current project budget for the entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved.						
Project Budget Element (1)	Federal Funds Awarded (2)	Approved Matching Funds (3)	Total Budget (4)	Federal Funds Expended (5)	Approved Matching Funds Expended (6)	Total funds Expended (7)
a. Personnel Salaries	\$227,240.00	\$318,840.00	\$546,080.00	\$175,769.28	\$303,821.99	\$479,591.27
b. Personnel Fringe Benefits	\$6,000.00	\$144,000.00	\$150,000.00	\$5,789.12	\$145,465.88	\$151,255.00
c. Travel	\$165,000.00		\$165,000.00	\$43,372.51	\$0.00	\$43,372.51
d. Equipment	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
e. Materials/Supplies	\$7,048.00	\$297.00	\$7,345.00	\$596.18	\$239.42	\$835.60
f. Subcontracts Total	\$1,268,750.00		\$1,268,750.00	\$805,070.58	\$0.00	\$805,070.58
g. Other	\$178,510.00		\$178,510.00	\$8,152.70	\$0.00	\$8,152.70
h. Indirect	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
i. Total Costs	\$1,852,548.00	\$463,137.00	\$2,315,685.00	\$1,038,750.37	\$449,527.29	\$1,488,277.66
j. % of Total	80%	20%	100%	70%	30%	100%
15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose(s) set forth in the award documents.						
16a. Typed or printed name and title of Authorized Certifying Official:				16c. Telephone (area code, number, and extension)	16d. Email Address:	
Derek Nesselrode				(502) 782-2064	derek.nesselrode@ky.gov	
16b. Signature of Authorized Certifying Official:				Date:		
				1/9/17		