U.S. Department of Commerce
Performance Progress Report

2. Award or Grant Number: 21-10-513021

4. ERN: 0660-0038
Expiration Date: 8/31/2016

1. Recipient Name: Commonwealth of Kentucky
2. Street Address: 702 Capital Avenue Room 195
3. City, State, Zip Code: Frankfort, KY 40601

Stage 2, 3, 4
Stage 2, 3, 4
Stage 2, 3, 4
Stage 2, 3, 4
Stage 2, 3, 4
Stage 2, 3, 4
Stage 2, 3, 4
Stage 2, 3, 4
Stage 2, 3, 4
Stage 2, 3, 4
Stage 2, 3, 4

1. Recipient Name: Commonwealth of Kentucky

702 Capital Avenue Room 195

10a. Project/Grant Period
Start Date: (MM/DD/YYYY) 7/1/2013
End Date: (MM/DD/YYYY) 12/31/2017

10b. End Date: 12/31/2017

11. Describe your progress in the project dedicated in the approved project plan:

1. Stakeholder Meetings
2. Broadband Conferences
3. Staff Hires
4. Contract Executions
5. Governance Meetings
6. Education and Outreach
7. Subrecipient Agreement Executed
8. Phase 2 - Coverage
9. Phase 2 - Users and Their Operational Areas
10. Phase 2 - Capacity Planning
11. Phase 2 - Current Providers/Procurement
12. Phase 2 - State Plan Decision

Project Deliverable Quantity (Number & Indicator Description)
648
2
0
0
4
10,116
0
Stage 2, 3, 4
Stage 2, 3, 4
Stage 2, 3, 4
Stage 2, 3, 4
1

Total Federal Funding Amount: 
Total Federal Funding Amount expended at the end of this reporting period: 
Percent of Total Federal Amount expended:

Milestone activities for Q1:
1. Phase 2 SLIGP grant money was approved and released to KY July 13, 2015.
2. We have continued outreach and education. KY developed and implemented a plan for outreach and data collection using emails, face to face meetings, state first responder conferences, KY’s FirstNet website and phone calls. The KY FirstNet team built out ten specific stakeholder workgroups across the state centering on urban cities and reaching out to surrounding counties. The workgroup face to face meetings were held from Aug 1st to Sept 15th that included ten different state and local first responders and local 911 PSAP’s.
3. There were three public safety working group meetings and one Executive Governance meeting held in Q1.
4. All four of the data elements were collected using a data survey tool developed by our FirstNet contractors. Data gathered was sent to FirstNet by the Sept 30th deadline.
5. The KY SPOC/SCIC attended and spoke to the KY Emergency Service annual conference in Louisville-Sept 6.
6. KY’s governance body (KWEIC) met on August 12th. A short FirstNet presentation was given by the team and focused on data collection.
7. Two from KY attended the APCO 2015 conference in DC, August 16-18.

Planned Major events for Q1:
1. Three from KY will attend the Fall 2015 SPOC meeting in Westminster, Colorado.
2. KY will continue to work on the Governor’s state plan decision and other data collection required by FirstNet. Kentucky will be electing a new governor in November.
3. KY SPOC will attend the NASEMSO in Louisville.
4. Kentucky will be voting in a new Governor on Nov 3.

11b. If the project team anticipates requesting any changes to the approved Baseline Report in the next quarter, describe those below. Note that any substantive changes to the Baseline Report must be approved by the Department of Commerce before implementation.

No changes requested.
11c. Provide any other information that would be useful to NTIA as it assesses this project’s progress.

KY FirstNet website up and working to provide training and updated information out the the stakeholders/users. Website link: https://www.kyfirstnet.com/ Baker & Associates have developed and continue to maintain the KY FirstNet website as well as developed the outreach training material with assistance and approval from the KY team.

11d. Describe any success stories or best practices you have identified. Please be as specific as possible.

The Kentucky State Police and Baker teams are continuing outreach to all public safety & first responders across the state. Breaking the state up into 10 specific user workgroups using the counties with larger metro’s worked quite well. These work groups included local fire, ems, police, 911 coordinators, and em personnel. These were smaller groups and usually one or two were willing to make contact with other 1st responder’s in adjacent counties or give us contact names of individual’s that would be willing to work with us on getting data. Kentucky is continuing to receive data and put out FirstNet information through the workgroups in OCO.

12. Personnel

12a. If the project is not fully staffed, describe how any lack of staffing may impact the project’s time line and when the project will be fully staffed.

12b. Staffing Table

<table>
<thead>
<tr>
<th>Job Title</th>
<th>FTE%</th>
<th>Project(s) Assigned</th>
<th>Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>SWIC</td>
<td>75%</td>
<td>Provide Oversight of SLIGP project</td>
<td>No Change</td>
</tr>
<tr>
<td>Staff Member</td>
<td>100%</td>
<td>Provide Administrative Support</td>
<td>No Change</td>
</tr>
<tr>
<td>Staff Member</td>
<td>100%</td>
<td>Provide Project Support</td>
<td>No Change</td>
</tr>
</tbody>
</table>

13. Subcontracts (Vendors and/or Subrecipients)

13a. Subcontracts Table – Include all subcontractors. The totals from this table must equal the “Subcontracts Total” in Question 14f.

<table>
<thead>
<tr>
<th>Name</th>
<th>Subcontract Purpose</th>
<th>Type</th>
<th>RFP/RFQ Issued (Y/N)</th>
<th>Contract Executed (Y/N)</th>
<th>Start Date</th>
<th>End Date</th>
<th>Total Federal Funds Allocated</th>
<th>Total Matching Funds Allocated</th>
</tr>
</thead>
<tbody>
<tr>
<td>Michael Baker &amp; Associates</td>
<td>Website Development</td>
<td>Vendor</td>
<td>N</td>
<td>Y</td>
<td>2/20/2014</td>
<td>6/30/2016</td>
<td>$225,000.00</td>
<td></td>
</tr>
<tr>
<td>Michael Baker &amp; Associates</td>
<td>Data Collection</td>
<td>Vendor</td>
<td>N</td>
<td>Y</td>
<td>2/20/2014</td>
<td>6/30/2016</td>
<td>$500,000.00</td>
<td></td>
</tr>
<tr>
<td>Michael Baker &amp; Associates</td>
<td>Outreach &amp; Education</td>
<td>Vendor</td>
<td>N</td>
<td>Y</td>
<td>2/20/2014</td>
<td>6/30/2016</td>
<td>$543,750.00</td>
<td></td>
</tr>
</tbody>
</table>

13b. Describe any challenges encountered with vendors and/or subrecipients.

No Challenges or Issues.
14. Budget Worksheet

Columns 2, 3 and 4 must match your current project budget for the entire award, which is the SF-424A on file.

Only list matching funds that the Department of Commerce has already approved.

<table>
<thead>
<tr>
<th>Project Budget Element (1)</th>
<th>Federal Funds Awarded (2)</th>
<th>Approved Matching Funds (3)</th>
<th>Total Budget (4)</th>
<th>Federal Funds Expended (5)</th>
<th>Approved Matching Funds Expended (6)</th>
<th>Total Funds Expended (7)</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Personnel Salaries</td>
<td>$227,240.00</td>
<td>$318,840.00</td>
<td>$546,080.00</td>
<td>$93,781.78</td>
<td>$368,641.84</td>
<td>$293,808.94</td>
</tr>
<tr>
<td>b. Personnel Fringe Benefits</td>
<td>$5,000.00</td>
<td>$10,400.00</td>
<td>$15,400.00</td>
<td>$5,789.37</td>
<td>$9,278.37</td>
<td>$14,667.74</td>
</tr>
<tr>
<td>c. Travel</td>
<td>$165,000.00</td>
<td>$165,000.00</td>
<td>$165,000.00</td>
<td>$19,253.94</td>
<td>$0.00</td>
<td>$19,253.94</td>
</tr>
<tr>
<td>d. Equipment</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>e. Materials/Supplies</td>
<td>$7,048.00</td>
<td>$7,345.00</td>
<td>$7,345.00</td>
<td>$124.37</td>
<td>$136.42</td>
<td>$136.42</td>
</tr>
<tr>
<td>f. Subcontracts Total</td>
<td>$1,268,750.00</td>
<td>$1,268,750.00</td>
<td>$1,268,750.00</td>
<td>$620,129.61</td>
<td>$218,129.61</td>
<td>$838,259.22</td>
</tr>
<tr>
<td>g. Other</td>
<td>$178,510.00</td>
<td>$178,510.00</td>
<td>$178,510.00</td>
<td>$5,615.70</td>
<td>$0.00</td>
<td>$5,615.70</td>
</tr>
<tr>
<td>h. Indirect</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>i. Total Costs</td>
<td>$1,852,548.00</td>
<td>$463,137.00</td>
<td>$2,315,685.00</td>
<td>$754,694.52</td>
<td>$290,853.57</td>
<td>$1,045,548.09</td>
</tr>
<tr>
<td>j. % of Total</td>
<td>80%</td>
<td>20%</td>
<td>100%</td>
<td>72%</td>
<td>28%</td>
<td>100%</td>
</tr>
</tbody>
</table>

15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose(s) set forth in the award documents.

16a. Typed or printed name and title of Authorized Certifying Official:

Derek Nesselrode

16b. Signature of Authorized Certifying Official:

[Signature]

16c. Telephone (area code, number, and extension) (502) 782-2064

16d. Email Address: derek.nesselrode@ky.gov

Date: 3/31/15