

U.S. Department of Commerce Performance Progress Report				2. Award or Grant Number:	36-10-S13036
1. Recipient Name	New York State Division of Homeland Security and Emergency Services			4. EIN:	146013200
3. Street Address	1220 Washington Ave, State Campus Building 7A, Suite 610			6. Report Date (MM/DD/YYYY)	<del>1/19/2017</del> 4/14/17
5. City, State, Zip Code	Albany, NY 12226			7. Reporting Period End Date: (MM/DD/YYYY)	3/31/2017
				8. Final Report Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	9. Report Frequency Quarterly <input checked="" type="checkbox"/>
10a. Project/Grant Period					
Start Date: (MM/DD/YYYY)		8/1/2013	10b. End Date: (MM/DD/YYYY)	1/31/2018	
11. List the individual projects in your approved Project Plan					
	Project Type (Capacity Building, SCIP Update,	Project Deliverable Quantity (Number & Indicator Description)	Description of Milestone Category		
1	Stakeholders Engaged	165	Actual number of individuals reached via stakeholder meetings during the quarter		
2	Individuals Sent to Broadband Conferences	0	Actual number of individuals who were sent to third-party broadband conferences using SLIGP grant funds during the quarter		
3	Staff Hired (Full-Time Equivalent)(FTE)	0.0411	Actual number of state personnel FTEs who began supporting SLIGP activities during the quarter (may be a decimal)		
4	Contracts Executed	0	Actual number of contracts executed during the quarter		
5	Governance Meetings	1	Actual number of governance, subcommittee, or working group meetings held during the quarter		
6	Education and Outreach Materials Distributed	866	Actual volume of materials distributed (inclusive of paper and electronic materials) plus hits to any website or social media account supported by SLIGP during the quarter		
7	Subrecipient Agreements Executed	0	Actual number of agreements executed during the quarter		
8	Phase 2 - Coverage	Stage 6	For each Phase 2 milestone category, please provide the status of the activity during the quarter: • Stage 1 - Process Development • Stage 2 - Data Collection in Progress • Stage 3 - Collection Complete; Analyzing/Aggregating Data • Stage 4 - Data Submitted to FirstNet • Stage 5 - Continued/Iterative Data Collection • Stage 6 - Submitted Iterative Data to FirstNet		
9	Phase 2 - Users and Their Operational Areas	Stage 6			
10	Phase 2 - Capacity Planning	Stage 6			
11	Phase 2 - Current Providers/Procurement	Stage 4			
12	Phase 2 - State Plan Decision	Stage 1			
11a. Describe your progress meeting each major activity/milestone approved in the Baseline Report for this project; any challenges or obstacles encountered and mitigation strategies you have employed; planned major activities for the next quarter; and any additional project milestones or information.					
#1 - Stakeholders were engaged at the Public Safety Broadband Working Group on January 12th, the Statewide Interoperable and Emergency Communication Board meeting on January 31st, and the Interoperability Communications Consortium Symposium on March 27th. #2 - None this quarter #3 - Empire Fellow within DHSES Counsel's Office is now assigned to work with OIEC and we have begun tracking the match for SLIGP. #5 - A meeting of the State Interoperable and Emergency Communication Board was held on January 31st. #6 - Hit count for the New York State Public Safety Broadband website plus the number of direct e-mail recipients of the PSBB newsletter. #12 - As mentioned during a previous quarterly review call, we require a better definition of the "stages" as it applies to this item. Does this apply to the document FirstNet originally requested, or to our evaluation of the state plan deliverable.					
11b. If the project team anticipates requesting any changes to the approved Baseline Report in the next quarter, describe those below. Note that any substantive changes to the Baseline Report must be approved by the Department of Commerce before implementation.					
New York State and the SLIGP office are working to modify our budget for the SLIGP voluntary turnback program.					



11c. Provide any other information that would be useful to NTIA as it assesses this project's progress.

11d. Describe any success stories or best practices you have identified. Please be as specific as possible.

**12. Personnel**

12a. If the project is not fully staffed, describe how any lack of staffing may impact the project's time line and when the project will be fully staffed.

Note that the FTE% reflects actual percentage of hours spent working on SLIGP during this quarter. The SWIC left the agency in Q12 and a new one started in Q13. The SPOC is not tracked here. The senior administrative assistant retired and the attorney from staff has been added to our match.

12b. Staffing Table - Please include all staff that have contributed time to the project. Please do not remove individuals from this table.

Job Title	FTE%	Project (s) Assigned	Change
SWIC & OIEC Director	2.22%	Overall SLIGP and policy oversight and liaison	
Radio Engineer #1	14.78%	SLIGP/State FirstNet primary	Continues Work
			Continues Work
Radio Engineer #2	0.00%	Support SLIGP and FirstNet Activities	Remains in position, no SLIGP work this quarter
Agency Budget Analyst	3.67%	Supports communications office budget/fiscal. Assists in preparation of SLIGP budget reports.	Continues Work
Senior Administrative Analyst	0.00%	Supports office activities, including the interop board and its working groups	Remains in position, no SLIGP work this quarter
Senior Administrative Assistant	0	Support office outreach and project management efforts.	Employee Retired
Information Tech Specialist 5 (GIS)	0	Supervises GIS staff, provides overall GIS liaison effort on data collection and mapping	No longer working on project
ITS Specialist II (GIS)	0	Performs GIS work related to data collection and mapping	No longer working on project
Assistant Director, OIEC	0.44%	Assistant OIEC director. Works for the SWIC and provides operational and policy oversight	Continues Work
Empire Fellow	4.11%	DHSES Counsel's Office representative (legal)	Began supporting PSBB and OIEC this quarter

**13. Subcontracts (Vendors and/or Subrecipients)**

13a. Subcontracts Table -- Include all subcontractors. The totals from this table must equal the "Subcontracts Total" in Question 14f.

Name	Subcontract Purpose	Type (Vendor/Subrec.)	RFP/RFQ Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated
New York State Technology Enterprise Corporation (NYSTEC)	Outreach, project management, support	Vendor	Not needed (existing State contract)	Y	8/1/2013	01/31/2018 (executed no-cost extension)	\$2,013,960.00	\$0.00

13b. Describe any challenges encountered with vendors and/or subrecipients.

No challenges at this time. NYSTEC contract was reissued (at no increase in cost) to account for the new SLIGP end date and new OGS centralized contract.

**14. Budget Worksheet**

Columns 2, 3 and 4 must match your current project budget for the entire award, which is the SF-424A on file.  
Only list matching funds that the Department of Commerce has already approved.

Project Budget Element (1)	Federal Funds Awarded (2)	Approved Matching Funds (3)	Total Budget (4)	Federal Funds Expended (5)	Approved Matching Funds Expended (6)	Total funds Expended (7)
a. Personnel Salaries	\$0.00	\$363,921.00	\$363,921.00	\$0.00	\$154,718.92	\$154,718.92
b. Personnel Fringe Benefits	\$0.00	\$211,377.00	\$211,377.00	\$0.00	\$84,120.66	\$84,120.66
c. Travel	\$83,160.00	\$0.00	\$83,160.00	\$30,834.98	\$0.00	\$30,834.98
d. Equipment	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
e. Materials/Supplies	\$12,000.00	\$0.00	\$12,000.00	\$10,999.19	\$0.00	\$10,999.19
f. Subcontracts Total	\$4,757,052.00	\$618,396.00	\$5,375,448.00	\$809,758.47	\$0.00	\$809,758.47
g. Other	\$15,000.00	\$0.00	\$15,000.00	\$0.00	\$0.00	\$0.00
h. Indirect	\$0.00	\$23,109.00	\$23,109.00	\$0.00	\$0.00	\$0.00
i. Total Costs	\$4,867,212.00	\$1,216,803.00	\$6,084,015.00	\$851,592.64	\$238,839.58	\$1,090,432.22
j. % of Total	80%	20%	100%	78%	22%	100%

15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose(s) set forth in the award documents.

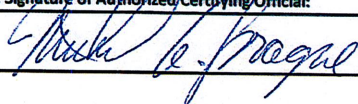
16a. Typed or printed name and title of Authorized Certifying Official:

Michael A. Sprague, Director, Office of Interoperable and Emergency Communications

16c. Telephone (area code, number, and extension)

518-242-8275

16b. Signature of Authorized Certifying Official:



16d. Email Address:

michael.sprague@dhses.nv.gov

Date:

4/19/2017