



# STATE AND LOCAL IMPLEMENTATION GRANT PROGRAM (SLIGP)

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June 19, 2013



# AGENDA

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- **Background**
- **Application Process**
- **Application Topics/Themes**
- **Post-Award**
- **Questions and Comments**



# BACKGROUND

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- **The Middle Class Tax Relief and Job Creation Act of 2012 (Act) requires the National Telecommunications and Information Administration (NTIA) to establish the State and Local Implementation Grant Program (SLIGP)**
- **Up to \$135 million is available to establish a grant program**
- **SLIGP will make grants to states to assist state, regional, tribal, and local governments:**
  - **To identify, plan, and implement the most efficient and effective way to utilize and integrate the infrastructure, equipment, and other architecture associated with the nationwide public safety broadband network (PSBN) to satisfy the wireless communications and data service needs of that jurisdiction, including coverage, siting, and other needs**



# BACKGROUND

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- The 56 states and territories were eligible to apply for grants
- SLIGP is a formula-based, matching grant program
- Federal share may not exceed 80 percent of the eligible grant costs
- Non-federal share must be at least 20 percent of the total eligible grant costs
- Match may include cash, allowable in-kind contributions, or indirect costs
- The Assistant Secretary may waive, in whole or in part, the matching requirement for good cause shown and if the waiver is determined to be in the public interest
- Waivers will be considered only in extraordinary circumstances



## ALLOWABLE GRANT ACTIVITIES: PHASE ONE

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- **Establish or enhance a governance structure to consult with FirstNet**
- **Develop procedures to ensure local and tribal representation when the State is consulting with FirstNet**
- **Conduct education and outreach for all relevant stakeholders**
- **Identify potential public safety users for the public safety broadband network**
- **Develop a standard Memorandum of Agreement (MOA) appropriate for each state to facilitate the possible sharing of infrastructure with FirstNet**
- **Develop staffing plans to involve local and tribal stakeholders in a future data collection in consultation with FirstNet**
- **Prepare a comprehensive plan as part of the Statewide Communications Interoperability Plan (SCIP), or a plan complementary and similar to the SCIP, describing the public safety needs that each state expects FirstNet to address, plus relevant milestones**



## ALLOWABLE GRANT ACTIVITIES: PHASE TWO

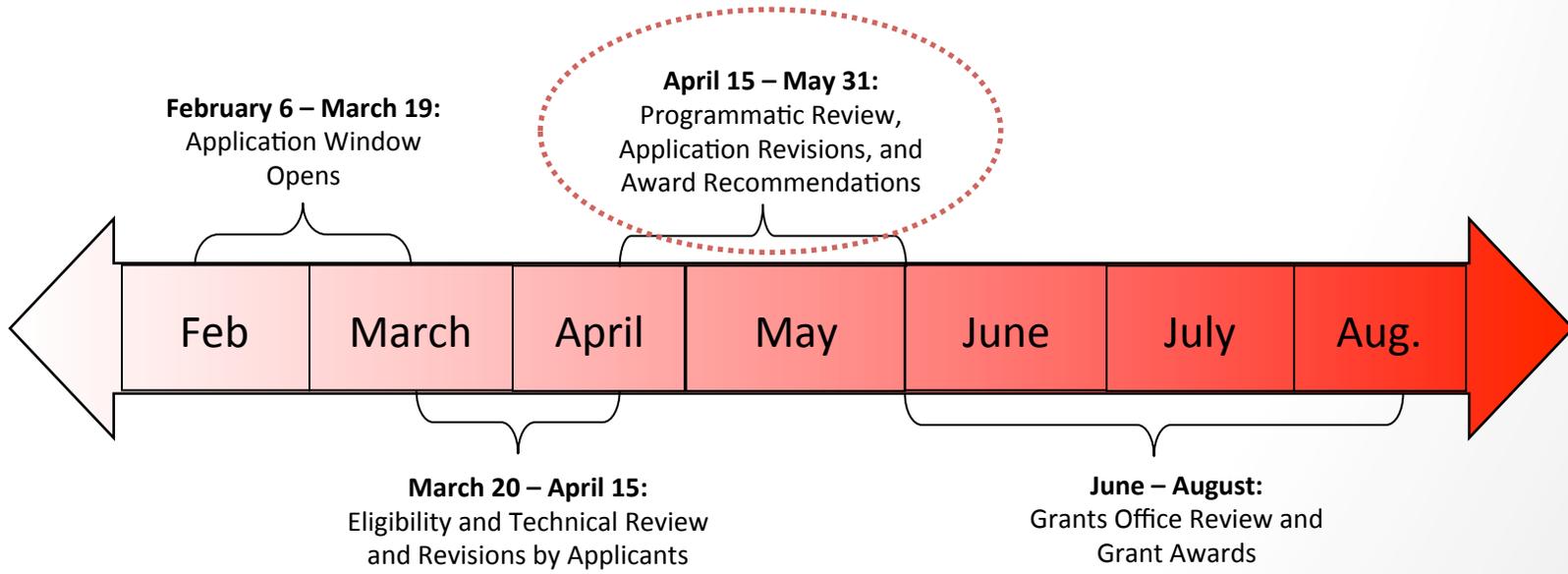
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- **NTIA will reserve 50 percent of the funds through a Special Award Condition (SAC) for phase two activities**
- **Reserve funds are intended to be made available to allow for additional consultation with FirstNet and planning to undertake data collection activities**
- **Reserve funds will be released when either:**
  - **NTIA requests a revised budget for second phase activities; or**
  - **FirstNet has consulted with the state-designated contact, and advises NTIA it is ready for data collection**
- **Once the SAC is satisfied, recipients can initiate data collection activities plus continue all of the approved phase one activities (e.g., planning, governance, outreach, education, consultation)**



# TIMELINE

## SLIGP Timeline for February – July 2013



**Note:** NTIA anticipates starting to award SLIGP funds in July 2013 on a rolling basis



# REVIEW PROCESS

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- Each application was assessed by a minimum of three individual peer reviewers
- Peer reviewers had to be federal, state, local, or tribal government employees who met one or more of the following criteria:
  - Knowledge about public safety communications
  - Experience with public sector information technology
  - A first responder experienced in public safety communications
  - An elected or appointed official knowledgeable about public safety communications
  - Program managers experienced in public safety communications
- NTIA federal staff reviewed each application for conformance with programmatic requirements, focusing on:
  - Project Feasibility
  - Supplemental Application Narrative
  - Budget Spreadsheets
- Next step is review by the NIST Grants Office



# WHAT IS THE REQUEST FOR CLARIFICATION?

- The Requests for Clarifications (RFC) include specific questions related to the Supplemental Application Narrative and budget documents
- Each applicant received an RFC on Tuesday, May 7
- RFC responses were due back to NTIA on Tuesday, May 14
- NTIA provided points of contact to work with each applicant on necessary revisions

 State and Local Implementation Grant Program (SLIGP)  
Request for Clarification from SLIGP Applicants

State:	
SLIGP Application Point of Contact:	Mike Dame <a href="mailto:mdame@ntia.doc.gov">mdame@ntia.doc.gov</a> (202) 482-1181
Revision Due Date:	

**General Guidelines:**

- Please revise your supplemental application narrative, budget narrative, and budget spreadsheet as described below.
- In the supplemental application narrative, revise your original text, highlight any changes in yellow, and submit a Microsoft Word version of the updated application by TBD to [SLIGP@ntia.doc.gov](mailto:SLIGP@ntia.doc.gov).
- If you have any questions about the necessary revisions below, please contact the specific SLIGP application point of contact identified above.

**Sequestration Reduction Amount:**

	Federal Share	Minimum Non-Federal Share	Total Project Cost
Original			
Revised			

**Supplemental Application Narrative:**

*Governance*

The Statewide Communications Commission and Public Safety Communications Advisory Council are presented as the primary organization for outreach and education in the State, but the narrative lacks specificity and detail to demonstrate that these organizations will be successful. Provide these additional clarifications:

- Since inception in 2011, how often have the two organizations each met? You may include subcommittee meetings, as appropriate
- What is the participation rate (e.g., 50 percent of members) during these meetings?
- Given their respective memberships and their responsibilities as defined in the narrative, demonstrate how these organizations will effectively reach, coordinate, and engage with New Jersey's local stakeholders.

*Statewide Communication Interoperability Plan (SCIP)*

The application details some of the State's existing communications activities, but it does not specifically discuss public safety wireless broadband. Please provide these additional clarifications:

- What process will be used to update the existing SCIP to include broadband-related provisions and obtain stakeholder buy-in? Does New Jersey currently have a Statewide



# SEQUESTRATION IMPACT

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- Total federal funds awarded will be reduced by \$3.35 million due to budget sequestration
- This reduces the allocation for each state and territory by approximately 2.34 percent
- Each state and territory received the new Federal and minimum non-Federal amounts resulting from the budget sequestration in the RFCs on May 7



# APPLICATION TOPICS/THEMES

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- **Existing Governance Body**
  - Applicants will stand up or repurpose existing bodies for broadband-related activities, often using SLIGP grant funds
- **Statewide Communication Interoperability Plan (SCIP)**
  - Many states will update their SCIPs to include broadband goals and priorities using the new OEC template, generally leveraging SLIGP funding for the broadband portions
  - Applicants have used the SCIP update process in the past as an opportunity to engage local jurisdictions in planning efforts
- **State-level Involvement**
  - Applicants demonstrated involvement from both the Statewide Interoperability Coordinators (SWIC) and Chief Information Officers, as well as various state-level agencies and organizations
- **Coordination with Local Governments**
  - Many states plan to work through regional bodies and associations to gain local representation for SLIGP efforts
  - Applicants demonstrated local participation on governance bodies or broadband committees



# APPLICATION TOPICS/THEMES

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- **Regional Coordination**
  - Many states have existing intrastate regional structures that they intend to leverage for SLIGP outreach
  - Most applicants participate in interstate regional bodies and collaborate with neighboring states focused on Land Mobile Radio (LMR) and will leverage those past relationships for new public safety broadband activities
- **Tribal Nations**
  - Many states with Federally Recognized Tribes demonstrated significant challenges in the past to engage tribal governments in planning efforts
  - NTIA will work closely with states to help meet this sometimes challenging, yet critical, activity of tribal engagement for FirstNet consultation
- **Rural Coverage**
  - States clearly exhibited a strong understanding of rural areas, often using an existing definition for rurality within their state
  - Many states expressed the desire, but had difficulty explaining how it will prioritize rural jurisdictions when executing SLIGP activities



# APPLICATION TOPICS/THEMES

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- **Network Users**
  - States generally provided minimal detail on how to identify potential users beyond conducting surveys
- **Education and Outreach**
  - States presented plans with varying levels of detail for conducting education and outreach
  - Often, applicants described various methods including conducting stakeholder meetings, offering webinars, hosting conferences and workshops, conducting surveys, and developing and distributing educational materials
- **Budgets**
  - Original applicant budget documents lacked appropriate detail to understand how budget totals were calculated
  - Many states included limited or insufficient details on federal and non-federal costs



# UNALLOWABLE COSTS

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- **Interoperable communications (i.e., land mobile radio) that are not related to broadband**
- **Full SWIC salary (or any other position) when he or she supports activities that are not related to broadband**
- **Governance meetings when only a portion of the meetings are focused on broadband**
- **Exercises**
- **Network design, engineering, and architecture**
- **Technical build-out**
- **Building, deploying, implementing, or operating network components**
- **Broadband Equipment (e.g., cell-on-wheels, future devices)**
- **Opt-in/opt-out considerations and decision-making**
- **Unreasonable pre-award costs for preparing grant applications**



# POST-AWARD

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- **Grants Administration & Management**
  - Federal Program Officers (FPO) will monitor recipients' compliance with federal grant regulations, allowable costs, budget amendments, and changes in planned activities or timelines
  - FPOs will be easily accessible and provide support to recipients in implementing programs, by being a resource for questions, providing technical assistance, and facilitating networking and information sharing
  - Grant recipients can anticipate ongoing discussions with FPOs and other SLIGP staff to monitor progress and overcome challenges
- **Outreach & Technical Assistance**
  - SLIGP workshops to encourage collaboration
  - Best practices and lessons learned
  - Guidance materials
  - Regular webinars and conference calls



# BASELINE/EXPENDITURE PLAN

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- Provides insight into specific activities the recipient will accomplish over the three-year award period
- Focuses on quantifiable outputs—what recipients will do under SLIGP
- Identifies “Milestone Activity Categories” (e.g., stakeholder meetings, training sessions) that reflect the types of activities that recipients will undertake
- Recipients will:
  - Provide short descriptions of their specific plans for relevant Milestone Activity Categories (e.g., hold quarterly governance meetings)
  - Identify the number of activities they plan to undertake in each quarter of the award period
  - Project Federal and match spending, by cost category, for each quarter of the award period
- Helps NTIA monitor recipients’ progress throughout the award period



# BASELINE/EXPENDITURE PLAN

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
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OMB CONTROL NO. 0660-0038  
EXPIRATION DATE: 07/31/2013

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**MILESTONE CATEGORIES**

All projects must be completed within three years following the date of the issuance of the award. Please use the table provided to indicate your anticipated number of activities you plan to complete each quarter for every year of your project. Year One begins July 1, 2013. Please include any data attributable to early activities (i.e., January - June 2013) in your baseline data for "Q1, Year 1."

Please also provide a brief description (100 words or less) of the primary activities involved in meeting each milestone (a single description should be provided for each milestone, covering all quarters in years one through three). Please write "N/A" if your project does not include an activity. If necessary, please insert additional milestones at the bottom of the chart.

MILESTONE ACTIVITY CATEGORIES	Description of Activity	TOTAL	YEAR 1				YEAR 2				YEAR 3			
			Q1	Q2	Q3	Q4	Q5	Q6	Q7	Q8	Q9	Q10	Q11	Q12
1. Stakeholder Meetings														
2. Training Sessions														
3. Broadband Conferences														
4. Staff Hires (Full Time Equivalent)														
5. Contract Executions														
6. Statutory or Regulatory Changes														
(Add other activities per row)														

According to the Paperwork Reduction Act, as amended, no person is required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0660-0038, expiring 07/31/2013. Public reporting burden for this collection of information is estimated to average 3 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Michael D. Mayo, Director, State and Local Implementation Grant Program, Office of Public Safety Communications, National Telecommunications and Information Administration, U.S. Department of Commerce (DOC), 1401 Constitution Avenue, N.W., HCHB, Room 7324, Washington, D.C. 20230.



# BASELINE/EXPENDITURE PLAN

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OMB CONTROL NO. 0660-0038  
EXPIRATION DATE: 07/31/2013

**Cost Class Category Federal Expenditures**

The completion of your project budget (federal funds) should be reported in the quarter you are anticipating expending the funds. Year One begins July 1, 2013. Please include any data attributable to early activities (i.e., January - June 2013) in your baseline data for "Q1, Year 1."

Quarterly Cost Category Expenditures	TOTAL FEDERAL	YEAR 1				YEAR 2				YEAR 3			
		Q1	Q2	Q3	Q4	Q5	Q6	Q7	Q8	Q9	Q10	Q11	Q12
a. Personnel													
b. Fringe Benefits													
c. Travel													
d. Equipment													
e. Supplies													
f. Contractual													
g. Construction													
h. Other													
i. Total Direct Charges (sum of a-h)													
j. Indirect Charges													
k. TOTAL (sum i and j)													

**Cost Class Category Non-Federal Expenditures**

The completion of your project budget (non-federal, matching funds) should be reported in the quarter you are anticipating expending the funds. Year One begins July 1, 2013. Please include any data attributable to early activities (i.e., January - June 2013) in your baseline data for "Q1, Year 1."

Quarterly Cost Category Expenditures	TOTAL FEDERAL	YEAR 1				YEAR 2				YEAR 3			
		Q1	Q2	Q3	Q4	Q5	Q6	Q7	Q8	Q9	Q10	Q11	Q12
a. Personnel													
b. Fringe Benefits													
c. Travel													
d. Equipment													
e. Supplies													
f. Contractual													
g. Construction													
h. Other													
i. Total Direct Charges (sum of a-h)													
j. Indirect Charges													
k. TOTAL (sum i and j)													

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# NTIA & FIRSTNET

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- **Outreach**
  - NTIA is working closely with FirstNet to ensure outreach activities are well coordinated
  - NTIA and FirstNet participate in regular conference calls on the overlap of program activities
- **Phase 2**
  - NTIA is working with the FirstNet Technical Team to review potential/preliminary data collection elements for SLIGP Phase 2



State and Local Implementation Grant Program (SLIGP)  
National Telecommunications and Information Administration  
U.S. Department of Commerce  
[sligp@ntia.doc.gov](mailto:sligp@ntia.doc.gov)

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## QUESTIONS AND COMMENTS